

### UnitedHealthcare® Community Plan *Medical Policy*

# **Airway Clearance Devices (for New Jersey Only)**

Policy Number: CS054NJ.P Effective Date: March 1, 2023

☐ Instructions for Use

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#### **Related Policy**

<u>Durable Medical Equipment, Orthotics, Medical Supplies and Repairs/Replacements (for New Jersey Only)</u>

## **Application**

This Medical Policy only applies to the state of New Jersey.

### **Coverage Rationale**

A two-month rental trial of a high-frequency chest wall oscillation system is proven and medically necessary in the management of neuromuscular diseases, <u>Bronchiectasis</u> and cystic fibrosis when criteria have been met. For additional medical necessity clinical coverage criteria, refer to the InterQual® Client Defined, CP: Durable Medical Equipment, Secretion Clearance Devices (Custom) - UHG.

Click here to view the InterQual® criteria.

For all indications for a high-frequency chest wall oscillation system, an initial two-month rental trial must confirm individual tolerance and efficacy in using the device before ongoing medical necessity can be determined. For medical necessity determination to address ongoing use, refer to the InterQual criteria.

Intrapulmonary percussive ventilation (IPV) devices for home use are considered unproven and not medically necessary.

#### **Definitions**

Bronchiectasis: A chronic respiratory disease with multiple causes that is associated with different medical conditions. Clinical symptoms include the dilation (ectasia) of the airways or bronchi, with primary clinical manifestations of recurrent, chronic, or refractory infections. Clinically significant bronchiectasis will have at least two of the following: a cough most days of the week, sputum production most days of the week, a history of exacerbations. The presence of bronchiectasis is confirmed and classified radiographically, by high resolution, spiral, or standard computed tomography (CT) scan (Aliberti, 2022).

### **Applicable Codes**

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by federal, state, or contractual requirements and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

| HCPCS Code | Description  |
|------------|--|
| *A7025     | High frequency chest wall oscillation system vest, replacement for use with patient- owned equipment, each   |
| *A7026     | High frequency chest wall oscillation system hose, replacement for use with patient- owned equipment, each   |
| *E0481     | Intrapulmonary percussive ventilation system and related accessories   |
| E0483      | High frequency chest wall oscillation system, with full anterior and/or posterior thoracic region receiving simultaneous external oscillation, includes all accessories and supplies, each |

Codes labeled with an asterisk (\*) are not on the state of New Jersey Medicaid Fee Schedule and therefore may not be covered by the State of New Jersey Medicaid Program.

| Diagnosis Code | Description   |
|----------------|---|
| A80.0          | Acute paralytic poliomyelitis, vaccine-associated           |
| A80.1          | Acute paralytic poliomyelitis, wild virus, imported         |
| A80.2          | Acute paralytic poliomyelitis, wild virus, indigenous       |
| A80.30         | Acute paralytic poliomyelitis, unspecified                  |
| A80.39         | Other acute paralytic poliomyelitis                         |
| A80.4          | Acute nonparalytic poliomyelitis                            |
| A80.9          | Acute poliomyelitis, unspecified                            |
| B91            | Sequelae of poliomyelitis                                   |
| E74.02         | Pompe disease   |
| E74.4          | Disorders of pyruvate metabolism and gluconeogenesis        |
| E84.0          | Cystic fibrosis with pulmonary manifestations               |
| E84.9          | Cystic fibrosis, unspecified                                |
| G12.0          | Infantile spinal muscular atrophy, type I [Werdnig-Hoffman] |
| G12.1          | Other inherited spinal muscular atrophy                     |
| G12.9          | Spinal muscular atrophy, unspecified                        |
| G12.21         | Amyotrophic lateral sclerosis                               |
| G12.22         | Progressive bulbar palsy                                    |
| G12.25         | Progressive spinal muscle atrophy                           |
| G12.8          | Other spinal muscular atrophies and related syndromes       |
| G14            | Post-polio syndrome   |
| G35            | Multiple sclerosis  |
| G71.00         | Muscular dystrophy, unspecified                             |
| G71.11         | Myotonic muscular dystrophy                                 |
| G71.20         | Congenital myopathy, unspecified                            |
| G71.21         | Nemaline myopathy   |

| Diagnosis Code | Description   |
|----------------|---|
| G71.220        | X-linked myotubular myopathy                                |
| G71.228        | Other centronuclear myopathy                                |
| G71.29         | Other congenital myopathy                                   |
| G71.3          | Mitochondrial myopathy, not elsewhere classified            |
| G71.8          | Other primary disorders of muscles                          |
| G72.41         | Inclusion body myositis [IBM]                               |
| G72.89         | Other specified myopathies                                  |
| G73.1          | Lambert-Eaton syndrome in neoplastic disease                |
| G73.3          | Myasthenic syndromes in other diseases classified elsewhere |
| G73.7          | Myopathy in diseases classified elsewhere                   |
| G80.0          | Spastic quadriplegic cerebral palsy                         |
| G82.50         | Quadriplegia, unspecified                                   |
| G82.51         | Quadriplegia, C1-C4 complete                                |
| G82.52         | Quadriplegia, C1-C4 incomplete                              |
| G82.53         | Quadriplegia, C5-C7 complete                                |
| G82.54         | Quadriplegia, C5-C7 incomplete                              |
| J47.0          | Bronchiectasis with acute lower respiratory infection       |
| J47.1          | Bronchiectasis with (acute) exacerbation                    |
| J47.9          | Bronchiectasis, uncomplicated                               |
| J98.6          | Disorders of diaphragm                                      |
| M33.02         | Juvenile dermatomyositis with myopathy                      |
| M33.12         | Other dermatomyositis with myopathy                         |
| M33.22         | Polymyositis with myopathy                                  |
| M33.92         | Dermatopolymyositis, unspecified with myopathy              |
| M34.82         | Systemic sclerosis with myopathy                            |
| M35.03         | Sicca syndrome with myopathy                                |
| Q33.4          | Congenital bronchiectasis                                   |
| R53.2          | Functional quadriplegia                                     |
| Z99.11         | Dependence on respirator [ventilator] status                |

## **Description of Services**

In healthy individuals, clearance of secretions from the respiratory tract is accomplished primarily through ciliary action. Increased production of airway secretions is usually cleared by coughing. However, a number of conditions, including asthma, chronic obstructive pulmonary disease (COPD), cystic fibrosis (CF), mucociliary disorders, neuromuscular disease (NMD) and metabolic disorders can result in inadequate airway clearance, either because of increased volume of secretions, increased viscosity of secretions or difficulty in coughing. These secretions accumulate in the bronchial tree, occluding small passages and interfering with adequate gas exchange in the lungs. They also serve as a culture medium for pathogens, leading to a higher risk for chronic infection and deterioration of lung function. The blockage of mucus can result in bronchiectasis, the abnormal stretching and enlarging of the respiratory passages. Bronchiectasis may complicate chronic bronchitis, one of the groups of respiratory illnesses referred to as COPD and it can occur as a complication of CF.

When coughing alone cannot adequately clear secretions, other therapies are used. Conventional chest physical therapy (CPT) has been shown to result in improved respiratory function and has traditionally been accomplished through the use of percussion and postural drainage. Postural drainage and percussion are usually taught to family members so that the therapy

may be continued at home when needed in chronic disease. This highly labor-intensive activity requires the daily intervention of a trained caregiver which may lead to poor compliance with the recommended treatment plan.

To improve compliance and allow patients to independently manage their disease, HFCWC/high-frequency chest wall oscillation (HFCWO) devices have been developed to improve mucociliary clearance and lung function. HFCWC is a mechanical form of CPT that consists of an inflatable vest connected by tubes to a small air-pulse generator. The air-pulse generator rapidly inflates and deflates the vest, compressing and releasing the chest wall up to 20 times per second. The vibratory forces of these devices are thought to lower mucus viscosity.

An IPV is a mechanized form of chest physical therapy, which delivers mini-bursts (more than 200 per minute) of respiratory gases to the lungs via a mouthpiece. Its purpose is to mobilize endobronchial secretions and diffuse patchy atelectasis. The patient controls variables such as inspiratory time, delivery rates and peak pressure. Alternatively, a therapist will do a slapping or clapping of the patient's chest wall.

# Clinical Evidence

#### High-Frequency Chest Wall Oscillation System (HFCWOS) for Neuromuscular Disease

In a 2019 custom product brief on The Vest Airway Clearance System, ECRI identified and reviewed 1 international single-blind randomized controlled trial (RCT, n = 73), 1 international open label RCT (n = 50), and 1 prospective case series (n = 25) conducted in the U.S. They stated that the available evidence is too limited in quantity and quality to permit conclusions on the product's safety and effectiveness for use in hospitalized patients with respiratory failure who do not have CF. While all reported short-term positive outcomes, patient prognoses and complication risks weren't directly comparable. The case series was at high risk of bias from lack of a control group. The two RCTs included appropriate control groups and treatment randomization but were at high risk of bias because of small sample size, single-center focus, and one study lacked blinding as to treatment group. Each study was conducted in a different country, and results may not generalize to other health systems. Larger, multicenter, blinded RCTs are needed to validate how well HFCWO with the Vest system works relative to other mechanical or intrapulmonary flow percussion devices to guide healthcare provider decisions.

Auger et al. (2017) conducted a systematic review to analyze twelve studies that examined the benefit and risk ratio for the use of mechanical insufflation-exsufflation (MI-E) devices for airway clearance in patients with neuromuscular diseases. The following inclusion criteria for outcomes was survival outcome, hospitalization rate, respiratory exacerbation outcome, pulmonary function parameters, adverse events, and quality of life. Studies selected included four RCT, three comparative studies, and five observational studies. The authors were unable to validate the use of MI-E devices for cough augmentation in patients with neuromuscular diseases as there is a lack of robust scientific evidence. Further research is necessary to ensure the best treatment for patients with neuromuscular disease.

In a cohort study comparing healthcare claims before and after initiation of HFCWO, Lechtzin et al. (2016) examined whether this modality leads to improved respiratory outcomes as measured by lower healthcare use for patients who have a chronic neuromuscular disease (NMD). Data were obtained from 2 large databases of commercial insurance claims. Study subjects (n = 426, pediatric and adult) were commercial insurance members with an International Classification of Diseases, Ninth Revision, code for a NMD and a claim for HFCWO between 2007 and 2011. To account for the possibilities of misclassification based on diagnoses and bias due to loss to follow-up, outcomes between those lost to follow-up and those who were not, and similar results were found. The authors concluded that total medical costs, hospitalizations, and pneumonia claims were less after (versus before) initiation of HFCWO in a broad group of patients with NMD. Subject to the limitations that administrative data did not capture how HFCWO was used and that HFCWO may be a marker of generally better care, the authors' findings lend support to the routine use of this intervention in the care of patients with NMD. These findings are limited by lack of concurrent comparison group undergoing a different therapeutic approach.

In a single-center, investigator initiated, prospective study of 22 subjects, Fitzgerald et al. (2014) assessed the clinical feasibility of HFCWC therapy in neurologically impaired children with respiratory symptoms. Participants were studied for 12 months before and 12 months after initiation of HFCWC therapy, and 15 subjects were followed for an additional 12 months. The threshold of adherence to the therapy was 70%. The number of pulmonary exacerbations that required hospitalization was recorded, noting 45% of the subjects required hospital admission before initiation of HFCWC therapy. This rate decreased to 36% after the first year and to 13% after the second year with this therapy. There was a statistically significant reduction of the

number of hospital days at follow-up compared to pre-treatment. Use of an assisted-cough device or the presence of tracheostomy did not significantly affect hospitalization days. The authors concluded that regular HFCWC therapy may reduce the number of hospitalizations in neurologically impaired children. These findings are limited by lack of concurrent comparison group undergoing a different therapeutic approach.

Yuan et al. (2010) conducted a prospective RCT of HFCWC in pediatric patients with NMD or cerebral palsy (CP). Twenty-three patients (9 with CP and 14 with NMD) were randomized to receive either HFCWC or standard CPT. The mean study period was 5 months. Outcome measures included respiratory-related hospitalizations, antibiotic therapy, chest x-ray and polysomnography. No significant changes were seen between the two groups for any of these outcome measures. Adherence to prescribed regimen was however higher with HFCWC (p = 0.036). The authors concluded that the data suggests safety, tolerability and improved compliance with HFCWC but acknowledged that larger, controlled trials are needed to confirm results. Study limitations include small sample size, which could have resulted in not detecting clinically significant differences heterogenous nature of diagnoses and short-term follow-up.

Chaisson et al. (2006) conducted a randomized pilot study to evaluate the effectiveness of HFCWO administered through the Vest Airway Clearance System when added to standard care in preventing pulmonary complications and prolonging the time to death in patients with amyotrophic lateral sclerosis (ALS). Nine patients with a diagnosis of ALS and concurrently receiving non-invasive ventilatory support with bi-level positive airway pressure (BiPAP) were recruited from the outpatient clinic at a university medical center. Four patients received standard care and five patients received standard care plus the addition of HFCWO administered twice-daily for 15 min duration. Longitudinal assessments of oxyhemoglobin saturation forced vital capacity (FVC), and AEs were obtained until time of death. Pulmonary complications of atelectasis, pneumonia, hospitalization for a respiratory-related abnormality, and tracheostomy with mechanical ventilation were monitored throughout the study duration. No differences were observed between treatment groups in relation to the rate of decline in FVC. The addition of HFCWO airway clearance failed to improve time to death compared to standard treatment alone (340 days ±247 vs. 470 days ±241). The random allocation of HFCWO airway clearance to patients with ALS concomitantly receiving BiPAP failed to attain any significant clinical benefits in relation to either loss of lung function or mortality. The authors concluded that this study does not exclude the potential benefit of HFCWO in select patients with ALS who have coexistent pulmonary diseases, pre-existent mucus-related pulmonary complications, or less severe levels of respiratory muscle weakness. The sample size may have been too small to detect clinically significant group differences.

An RCT evaluated the changes in respiratory function in patients with amyotrophic lateral sclerosis (ALS) after using HFCWC. Twenty-two patients received HFCWC, and 24 patients were untreated. HFCWC users had less breathlessness and coughed more at night at 12 weeks compared to baseline. The investigators concluded that HFCWC demonstrated a slowing of the decline of forced vital capacity. Limitations of this study include small patient numbers and lack of long-term follow-up (Lange et al., 2006).

#### Intrapulmonary Percussive Ventilation (IPV)

There is insufficient quality evidence or consistency of findings to support the long-term home use of intrapulmonary percussive ventilation devices.

Nicolini et al. (2018) conducted a four-week RCT to determine if adding Intrapulmonary percussive ventilation (IPV) or high-frequency chest wall oscillation (HFCWO) with the best pharmacological therapy (PT) will provide clinical benefit to patients with chronic obstructive pulmonary disease (COPD) over just chest physiotherapy (CPT). There was a total of 63 patients randomized into three groups (20 patients completed the trial in each group): IPV group (treated with PT and IPV), PT group with (treated with PT and HFCWO), and control group (treated with PT alone). Primary outcomes measured are the dyspnea scale (modified Medical Research Council [mMRC]) and Breathlessness, Cough, and Sputum scale (BCSS), along with daily life activity [COPD Assessment Test (CAT)]. Secondary outcomes measured are pulmonary function testing (PFT), arterial blood gas analysis, and hematological examinations. Patients in both the IPV and HFCWO group showed marked improvement in dyspnea and mMRC, BCSS and CAT compared to the control group. IPV patients showed an improvement in BCSS (p = 0.001) and CAT (p = 0.02) scores in comparison with HFCWO. Both IPV and HFCWO secondary outcomes improved compared to the control group. In the group comparison analysis of the IPV group and HFCWO group variables, there was marked improvement in the IPV group in total lung capacity (TLC) and TLC% (p = 0.03), residual volume (RV) and RV% (p = 0.04), and diffusing lung capacity monoxide (DLCO), maximal inspiratory pressure (MIP), and maximal lung capacity (MEP, p = 0.01). The authors concluded that both IPV and HFCWO can improve lung function, muscular strength, dyspnea and overall health status. and that IPV demonstrated better effectiveness in improving test results in small bronchial airways and alveolar ventilation (RV and

DLCO) and muscular strength (MIP and MEP) as well as scores on daily life activity and health status assessment scales (BCSS and CAT) compared with HFCWO. A multicenter, larger population study with measurement of primary and secondary outcomes over a longer term is needed. Limitations of this study included single center, small sample size, and short duration and lack of masking or sham procedure. Furthermore, the intervention was delivered by a physical therapist; therefore, these findings may not be generalizable to IPV used at home and without professional supervision or for conditions other than COPD.

Reychler et al. (2018) conducted a systematic review to summarize the physiological and clinical effects related to the use of IPV as an airway clearance technique in chronic obstructive airway diseases. Using predetermined criteria, a search was conducted in PubMed, PEDro, and Scopus online databases. Outcomes of interest included immediate or prolonged physiological effects (e.g., gas exchange, cardiorespiratory parameters, lung function, and mechanics) and clinical effects (e.g., symptoms, adverse effects, and length of hospital stay). A total of 109 studies were identified and after further evaluation, 12 studies were included in the review. Of those, 1 study evaluated patients with bronchiectasis (n = 22), 4 studies evaluated patients with cystic fibrosis (n = 78), and 6 studies (1 study included phase I and 2 results) evaluated patients with COPD (n = 178). In patients with COPD, IPV improved gas exchange during exacerbation and reduced the hospital length of stay, however, IPV was no more beneficial than other airway clearance techniques when subjects were stable. Two studies reported complications or discomfort with IPV and in another study, 2 patients did not tolerate settings with a higher frequency of percussions (1.220 cm H<sub>2</sub>O-350 c/min and 1.840 cm H<sub>2</sub>O-350 c/min). In patients with CF, cardiorespiratory parameters and lung function did not improve with IPV. One study reported mild hemoptysis, which was associated with a respiratory infection. In patients with bronchiectasis, dyspnea and respiratory frequency improved after 1 session of IPV however, there was no difference in sputum dry weight and in patients with productive bronchiectasis, immediate efficacy of IPV vs. other airway clearance techniques did not differ. Minor adverse events (dry throat, nausea, and/or fatigue) were reported in 27% of patients treated with both IVP and chest physical therapy. The authors concluded that use of IPV as an airway clearance technique in chronic obstructive airway diseases is not supported by sufficiently strong evidence to recommend routine use in this patient population.

#### **Clinical Practice Guidelines**

#### American Academy of Neurology (AAN)

An AAN practice parameter states that there is insufficient data to support or refute HFCWC for clearing airway secretions in patients with ALS (Miller et al., 2009).

#### American Thoracic Society (ATS)

In a consensus statement on the respiratory care of patients with Duchenne muscular dystrophy (DMD), the ATS states that effective airway clearance is critical for patients with DMD to prevent atelectasis and pneumonia. Ineffective airway clearance can hasten the onset of respiratory failure and death, whereas early intervention to improve airway clearance can prevent hospitalization and reduce the incidence of pneumonia. HFCWC has been used in patients with neuromuscular weakness but there are no published data on which to base a recommendation. Any airway clearance device predicated upon normal cough is less likely to be effective in patients with DMD without concurrent use of assisted cough. Patients with DMD should be taught strategies to improve airway clearance and how to employ those techniques early and aggressively.

ATS makes the following recommendations:

- Use assisted cough technologies in patients whose clinical history suggests difficulty in airway clearance, or whose peak cough flow is less than 270 L/minute and/or whose maximal expiratory pressures are less than 60 cm H₂O.
- The committee strongly supports use of mechanical insufflation-exsufflation in patients with DMD and also recommends further studies of this modality.
- Home pulse oximetry is useful to monitor the effectiveness of airway clearance during respiratory illnesses and to identify patients with DMD needing hospitalization (Finder et al., 2004).

#### National Institute for Health and Care Excellence (NICE)

In a 2018 MedTech innovation briefing, the National Institute for Health and Care Excellence (NICE) found no published guidelines on airway clearance in people with complex neurological needs.

### **U.S. Food and Drug Administration (FDA)**

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

High-Frequency Chest Wall Compression Devices High-frequency chest wall compression devices are designed to promote airway clearance and improve bronchial drainage. They are indicated when external chest manipulation is the physician's treatment of choice to enhance mucus transport. Refer to the following website for more information (use product code BYI): <a href="http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMN/pmn.cfm">http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMN/pmn.cfm</a>. (Accessed July 28, 2022)

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# **Policy History/Revision Information**

| Date       | Summary of Changes   |
|------------|--|
| 04/01/2023 | Coverage Rationale   |
|            | Corrected reference to the InterQual® Client Defined, CP: Durable Medical Equipment, Secretion |
|            | Clearance Devices (Custom) - UHG; no change to coverage guidelines                             |

| Date       | Summary of Changes  |
|------------|---|
| 03/01/2023 | <ul> <li>Coverage Rationale</li> <li>Replaced coverage guidelines for the management of neuromuscular diseases with instruction to refer to the InterQual® Client Defined, CP: Durable Medical Equipment, Secretion Clearance Devices (Custom) - UHG for medical necessity clinical coverage criteria</li> <li>Replaced language indicating "a two-month rental trial of a high-frequency chest wall oscillation system is proven and Medically Necessary in the management of Bronchiectasis and cystic fibrosis, which are characterized by the production of excessive airway secretions, infection, and inadequate</li> </ul> |
|            | <ul> <li>airway clearance, when criteria have been met" with "a two-month rental trial of a high-frequency chest wall oscillation system is proven and medically necessary in the management of neuromuscular diseases, Bronchiectasis, and cystic fibrosis when criteria have been met"</li> <li>Definitions</li> <li>Removed definition of "Medically Necessary"</li> <li>Updated definition of "Bronchiectasis"</li> </ul>   |
|            | <ul> <li>Applicable Codes</li> <li>Revised description for HCPCS code E0483</li> <li>Added notation to indicate HCPCS codes A7025, A7026, and E0481 are not on the State of New Jersey Fee Schedule and therefore may not covered by the State of New Jersey Medicaid Program</li> </ul>  |
|            | <ul> <li>Supporting Information</li> <li>Updated <i>Clinical Evidence</i>, <i>FDA</i>, and <i>References</i> sections to reflect the most current information</li> <li>Archived previous policy version CS054NJ.O</li> </ul>  |

### **Instructions for Use**

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the federal, state or contractual requirements for benefit plan coverage must be referenced as the terms of the federal, state or contractual requirements for benefit plan coverage may differ from the standard benefit plan. In the event of a conflict, the federal, state or contractual requirements for benefit plan coverage govern. Before using this policy, please check the federal, state or contractual requirements for benefit plan coverage. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the InterQual® criteria, to assist us in administering health benefits. The UnitedHealthcare Medical Policies are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.