INSTRUCTIONS FOR USE

This Medical Policy provides assistance in interpreting UnitedHealthcare benefit plans. When deciding coverage, the member specific benefit plan document must be referenced. The terms of the member specific benefit plan document [e.g., Certificate of Coverage (COC), Schedule of Benefits (SOB), and/or Summary Plan Description (SPD)] may differ greatly from the standard benefit plan upon which this Medical Policy is based. In the event of a conflict, the member specific benefit plan document supersedes this Medical Policy. All reviewers must first identify member eligibility, any federal or state regulatory requirements, and the member specific benefit plan coverage prior to use of this Medical Policy. Other Policies and Coverage Determination Guidelines may apply. UnitedHealthcare reserves the right, in its sole discretion, to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the MCG™ Care Guidelines, to assist us in administering health benefits. The MCG™ Care Guidelines are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.

BENEFIT CONSIDERATIONS

Before using this policy, please check the member specific benefit plan document and any federal or state mandates, if applicable.

Essential Health Benefits for Individual and Small Group

For plan years beginning on or after January 1, 2014, the Affordable Care Act of 2010 (ACA) requires fully insured non-grandfathered individual and small group plans (inside and outside of Exchanges) to provide coverage for ten categories of Essential Health Benefits (“EHBs”). Large group plans (both self-funded and fully insured), and small group ASO plans, are not subject to the requirement to offer coverage for EHBs. However, if such plans choose to provide coverage for benefits which are deemed EHBs, the ACA requires all dollar limits on those benefits to be removed on all Grandfathered and Non-Grandfathered plans. The determination of which benefits constitute EHBs is made on a state by state basis. As such, when using this policy, it is important to refer to the member specific benefit plan document to determine benefit coverage.

COVERAGE RATIONALE

Genome-wide comparative genomic hybridization microarray testing or single nucleotide polymorphism (SNP) chromosomal microarray analysis is proven and medically necessary for evaluating an embryo/fetus in the following cases:
• Women undergoing invasive prenatal testing (i.e., amniocentesis, chorionic villus sampling or fetal tissue sampling)
• **Intrauterine Fetal Demise or Stillbirth**

**Genome-wide comparative genomic hybridization microarray testing or SNP chromosomal microarray analysis is proven and medically necessary for evaluating individuals with one or more of the following:**
• Multiple anomalies not specific to a well-delineated genetic syndrome and cannot be identified by a clinical evaluation alone
• Non-syndromic Developmental Delay/Intellectual Disability
• Autism spectrum disorder

**Genome-wide comparative genomic hybridization microarray testing or SNP chromosomal microarray analysis are unproven and not medically necessary for all other populations and conditions including but not limited to the following:**
• For evaluating an embryo/fetus in the following cases:
  o Preimplantation Genetic Diagnosis (PGD) in embryos
  o Preimplantation Genetic Screening (PGS) in embryos
• Epilepsy

There is insufficient evidence in the clinical literature demonstrating that genome-wide comparative genomic hybridization microarray testing or SNP chromosomal microarray analysis has a role in clinical decision-making or has a beneficial effect on health outcomes for other indications such as PGD in embryos, PGS in embryos, or epilepsy. Further studies are needed to determine the analytic validity, clinical validity, and clinical utility of this test for indications other than those listed above as proven.

**Note:** Genome-wide comparative genomic hybridization microarray testing or SNP chromosomal microarray analysis for the evaluation of cancer is addressed in the Medical Policy for Molecular Oncology Testing for Cancer Diagnosis Prognosis, and Treatment Decisions.

**Genetic Counseling**

Genetic counseling is strongly recommended prior to this test in order to inform persons being tested about the advantages and limitations of the test as applied to a unique person.

**DEFINITIONS**

**Developmental Delay:** Developmental Delay may be used to describe children younger than 5 years of age who present with delays in the attainment of developmental milestones at the expected age (Moeschler and Shevell 2014).

**Intellectual Disability:** Intellectual Disability may be used to describe persons 5 years of age and older (when standardized measures of intelligence become reliable and valid) who exhibit deficits in intelligence (IQ), adaptive behavior, and systems of support (Moeschler and Shevell 2014).

**Intrauterine Fetal Demise or Stillbirth:** Fetal death at or after 20 weeks gestation (ACOG, 2009, reaffirmed 2016).

**Preimplantation Genetic Diagnosis (PGD):** A laboratory test performed on an embryo prior to transfer when one or both genetic parents carry a gene mutation or a balanced chromosomal rearrangement to determine whether that specific mutation or an unbalanced chromosomal complement has been transmitted to the oocyte or embryo (Practice Committee of the Society for Assisted Reproductive Technology 2008).

**Preimplantation Genetic Screening (PGS):** A laboratory test performed on an embryo prior to transfer when the genetic parents are known or presumed to be chromosomally normal to determine if the embryo has a genetic or chromosomal disorder (Practice Committee of the Society for Assisted Reproductive Technology 2008).

**Prenatal Diagnosis:** A laboratory test performed on fetal DNA or chromosomes before birth to determine if a fetus has a genetic or chromosomal disorder (American College of Obstetricians and Gynecologists 2016a).

**APPLICABLE CODES**

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by the member specific benefit plan document and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Coverage Determination Guidelines may apply.
### CPT Code | Description
--- | ---
81228 | Cytogenomic constitutional (genome-wide) microarray analysis; interrogation of genomic regions for copy number variants (e.g., bacterial artificial chromosome [BAC] or oligo-based comparative genomic hybridization [CGH] microarray analysis)
81229 | Cytogenomic constitutional (genome-wide) microarray analysis; interrogation of genomic regions for copy number and single nucleotide polymorphism (SNP) variants for chromosomal abnormalities
81479 | Unlisted molecular pathology procedure

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### HCPCS Code | Description
--- | ---
S3870 | Comparative genomic hybridization (CGH) microarray testing for developmental delay, autism spectrum disorder and/or intellectual disability

### ICD-10 Diagnosis Code | Description
--- | ---
F70 | Mild intellectual disabilities
F71 | Moderate intellectual disabilities
F72 | Severe intellectual disabilities
F73 | Profound intellectual disabilities
F78 | Other intellectual disabilities
F79 | Unspecified intellectual disabilities
F80.0 | Phonological disorder
F80.1 | Expressive language disorder
F80.2 | Mixed receptive-expressive language disorder
F80.4 | Speech and language development delay due to hearing loss
F80.81 | Childhood onset fluency disorder
F80.82 | Social pragmatic communication disorder
F80.89 | Other developmental disorders of speech and language
F80.9 | Developmental disorder of speech and language, unspecified
F81.0 | Specific reading disorder
F81.2 | Mathematics disorder
F81.81 | Disorder of written expression
F81.89 | Other developmental disorders of scholastic skills
F81.9 | Developmental disorder of scholastic skills, unspecified
F82 | Specific developmental disorder of motor function
F84.0 | Autistic disorder
F84.3 | Other childhood disintegrative disorder
F84.5 | Asperger’s syndrome
F84.8 | Other pervasive developmental disorders
F84.9 | Pervasive developmental disorder, unspecified
F88 | Other disorders of psychological development
F89 | Unspecified disorder of psychological development
F90.8 | Attention-deficit hyperactivity disorder, other type
H93.25 | Central auditory processing disorder
O02.1 | Missed abortion
O03.4 | Incomplete spontaneous abortion without complication
O03.9 | Complete or unspecified spontaneous abortion without complication
O35.1XX0 | Maternal care for (suspected) chromosomal abnormality in fetus, not applicable or unspecified
O35.1XX1 | Maternal care for (suspected) chromosomal abnormality in fetus, fetus 1
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<td>Duplications with other complex rearrangements</td>
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<td>Marker chromosomes in normal individual</td>
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<td>Marker chromosomes in abnormal individual</td>
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<td>Other specified trisomies and partial trisomies of autosomes</td>
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<td>Z37.3</td>
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<td>Z37.4</td>
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<td>Z37.60</td>
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<td>Triplets, some liveborn</td>
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<td>Z3A.28</td>
<td>28 weeks gestation of pregnancy</td>
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Chromosome abnormalities are a well-established cause of congenital anomalies, dysmorphic features, Developmental Delay, Intellectual Disability, and other neurodevelopmental disorders. Two chromosome microarray genetic tests that are being evaluated for detection of chromosomal abnormalities are array comparative genomic hybridization (CGH) and single nucleotide polymorphism (SNP). These tests analyze multiple sequences of deoxyribonucleic acid (DNA) by identifying multiple deletions and duplications across the genome simultaneously. The microarray may be targeted in nature, assaying certain regions of the genome known to be associated with a specific syndrome or phenotype, or may be genome-wide (Shaffer et al., 2007). Currently, most clinical applications of chromosome microarray testing are being investigated for the diagnosis of chromosomal abnormalities in fetuses and newborns, in children with developmental disorders, in patients who have cancer, or for Preimplantation Genetic Diagnosis (PGD) in embryos. For prenatal testing, chromosome microarray testing requires an invasive procedure (e.g., amniocentesis or chorionic villous sampling) for the collection of fetal cells.

Chromosomal microarray analysis (CMA) includes both CGH and SNP arrays. CGH microarray testing, also known as array comparative genomic hybridization (aCGH) is a technology that can be used for the detection of genomic copy number variations (CNVs). CNVs are alterations that include deletion and/or duplication of one or more sections of DNA. This method allows the detection of chromosome imbalances that can provide more information than detected by conventional chromosome analysis [e.g., standard karyotype or fluorescence in situ hybridization (FISH)]. The array CGH approach compares patient DNA extracted from skin, blood, or fetal cells to a control or reference DNA from a normal individual. These are labelled separately with different colored fluorescent dyes and then mixed together and allowed to combine or hybridize to an array containing known DNA sequences called probes. The amount of hybridization is measured by the amount and color of light emitted from each spot. Computer analysis of the fluorescent signals is used to read and interpret the findings. Areas of unequal hybridization, mostly large deletions and duplications, signify a DNA alteration. CNVs may be benign, with no effect on clinical phenotype, or may be pathogenic and result in a variety of phenotypic abnormalities (Kearney et al., 2011). If an unknown CNV is detected, a genomic database is used to determine if the abnormality has been previously reported and if it has been associated with a benign or proposed pathogenic condition. The disadvantages of array CGH testing include the detection of a large number of variants of unknown clinical significance, potential false positive results that will require further testing, and the inability to detect certain anomalies such as those with balanced rearrangements where there is no net gain or loss of the chromosomes (Fruhman and Van den Veyver 2010; Bui 2011).

SNP arrays are sequence variants in which a single base pair differs from a specified reference sequence. For each SNP, a person generally has two alleles, one inherited from each parent. The absence of one allele in multiple contiguous SNPs indicates the presence of a chromosomal deletion, while an increase in SNP copy number indicates the presence of a chromosomal duplication. SNP microarrays are being studied as a way to evaluate the pattern of SNPs in a particular individual. Particular patterns of SNPs can be used as markers for inherited disease susceptibility or for detecting loss of heterozygosity of particular genetic alleles in tumor DNA. Like aCGH, SNP microarrays offer a cytogenetic evaluation at a significantly higher resolution than a standard karyotype analysis, as well as the ability to look for genomic imbalances throughout the genome in a single assay. The main challenge with microarray testing, including SNP microarray analysis, is the identification of CNVs of unknown clinical significance.
Use in Obstetrics
Routine chromosome analysis has been used historically to identify chromosome abnormalities during pregnancy when risk factors are present, such as advanced maternal age and chromosome abnormalities. Chromosome microarray analysis (CMA) does not require cell culture or dividing cells, so it provides an advantage in turn-around time for time sensitive analysis, as is often the case during pregnancy. In addition, CMA can identify smaller chromosomal abnormalities than a routine chromosome analysis, and is able to identify chromosomal breakpoints that are unbalanced, but may appear balanced on a conventional karyotype. CMA does have limitations, in that it cannot detect totally balanced chromosomal material or low level mosaicism. Some arrays may not detect triploidy. Clinicians may use CMA as a first line test, or only when fetal abnormalities are identified (Society for Maternal-Fetal Medicine 2016).

Prenatal Diagnosis
Analytical Validity
Pauta et al. (2017) performed a systemic review of the literature and meta-analysis to determine the utility of CMA by either aCGH or SNP-microarray, when compared to traditional karyotyping in early pregnancy loss. In twenty three studies, 5520 pregnancies losses up to 20 weeks gestational age were reviewed. CMA provided informative results on 95% of cases compared to 67% with karyotyping, and CMA provided a 2% greater yield of pathogenic CNV. The authors concluded that CMA resulted in diagnostic information in early pregnancy loss in significantly more cases when compared to conventional chromosome analysis.

Clinical Validity
A variety of studies have evaluated the diagnostic yield of aCGH in prenatal cases, most often referred for advanced maternal age, abnormal ultrasound findings, abnormal maternal serum screening, a known chromosome abnormality requiring further characterization, or a family history suggestive of a genetic syndrome or chromosome abnormality. Array CGH detects chromosomal imbalances in 1.3% to 10.2% of prenatal samples referred for abnormal ultrasound, advanced maternal age, abnormal maternal serum screening, family history, and/or parental anxiety (Fiorentino et al. 2011; Breman et al. 2012; Lee et al. 2012; Shaffer et al. 2012a; Wapner et al. 2012; Lovrecic et al. 2016). Several studies reported that the diagnostic yield of aCGH in prenatal populations varied with the resolution of the platform used, with the lowest rates (1.3% and 1.8%) among studies using a targeted array and the highest rates (7.6% and 10.2%) among studies that included arrays with genome-wide coverage (Shaffer et al. 2008; Breman et al. 2012; Wapner et al. 2012). The available evidence also suggests that the diagnostic yield for aCGH in prenatal populations varies depending on the referral indication, with the highest rate of pathogenic CNVs most often being found in fetuses with multiple malformations identified by ultrasound, with a frequency ranging from 5.4% to 17% (Breman et al. 2012; Lee et al. 2012; Shaffer et al. 2012a; Wapner et al. 2012; Lovrecic et al. 2016). Among studies reporting the rate of CNVs of unknown clinical significance, the rate ranged from 0% to 4.4% (Fiorentino et al. 2011; Breman et al. 2012; Lee et al. 2012; Shaffer et al. 2012a; Wapner et al. 2012).

Faas et al. (2012) evaluated the clinical and laboratory aspects of offering quantitative fluorescence (QF)-PCR followed by non-targeted whole genome 250K single-nucleotide polymorphism array analysis instead of routine karyotyping for prenatal diagnosis of fetuses with structural anomalies. Upon the detection of structural fetal anomalies, parents were offered a choice between QF-PCR and 250K single-nucleotide polymorphism array analysis (QF/array) or QF-PCR and routine karyotyping (QF/karyo). Two hundred twenty fetal samples were included. In 153/220 cases (70%), QF/array analysis was requested. In 35/153 (23%), an abnormal QF-PCR result was found. The remaining samples were analyzed by array, which revealed clinically relevant aberrations, including two known micro- deletions, in 5/118 cases. Inherited copy number variants were detected in 11/118 fetuses, copy number variants with uncertain clinical relevance in 3/118 and homozygous stretches in 2/118. In 67/220 (30%) of the fetuses, QF/karyo was requested: 23/67 (34%) were abnormal with QF-PCR, and in 3/67, an abnormal karyotype was found. The authors concluded that even though QF/array does not reveal a high percentage of submicroscopic aberrations in fetuses with unselected structural anomalies, it is preferred over QF/karyo, since it provides a whole genome scan at high resolution, without additional tests needed and with a low chance on findings not related to the ultrasound anomalies.

Reddy et al. (2012) compared the results of karyotype and microarray analyses of samples obtained in stillbirths (fetal death at or after 20 weeks of gestation) after delivery. A single-nucleotide polymorphism array was used to detect copy-number variants of at least 500 kb in placental or fetal tissue. Variants that were not identified in any of three databases of apparently unaffected persons were then classified into three groups: probably benign, clinical significance unknown, or pathogenic. In the analysis of samples from 532 stillbirths, microarray analysis yielded results more often than did karyotype analysis (87.4% vs. 70.5%) and provided better detection of genetic abnormalities (aneuploidy or pathogenic copy-number variants, 8.3% vs. 5.8%). In this cohort, 443 were antepartum stillbirths, and CMA identified more abnormalities than karyotype alone, (8.8% vs. 6.5%) including 67 stillbirths with congenital anomalies (29.9% vs. 19.4%). As compared with karyotype analysis, microarray analysis provided a
relative increase in the diagnosis of genetic abnormalities of 41.9% in all stillbirths, 34.5% in antepartum stillbirths, and 53.8% in stillbirths with anomalies. The authors concluded that microarray analysis is more likely than karyotype analysis to provide a genetic diagnosis, primarily because of its success with nonviable tissue, and is especially valuable in analyses of stillbirths (fetal death at or after 20 weeks of gestation) with congenital anomalies or in cases in which karyotype results cannot be obtained.

In a systematic review, Grande et al. (2015) estimated the incremental yield of detecting copy number variants (CNVs) by genomic microarray over karyotyping in fetuses with increased nuchal translucency (NT) diagnosed by first-trimester ultrasound. Seventeen studies met the inclusion criteria for analysis. Meta-analysis indicated an incremental yield of 5.0% for the detection of CNVs using microarray when pooling results. Stratified analysis of microarray results demonstrated a 4.0% incremental yield in cases of isolated NT and 7.0% when other malformations were present. The pooled prevalence for variants of uncertain significance was 1%. The authors concluded that the use of genomic microarray provides a 5.0% incremental yield of detecting CNVs in fetuses with increased NT and normal karyotype.

Dhillon et al. (2014) evaluated whether CMA testing on the products of conception following miscarriage provides better diagnostic information compared with conventional karyotyping in a systematic review and meta-analysis that included 9 studies. There was agreement between CMA and karyotyping in 86.0% of cases. CMA detected 13% additional chromosome abnormalities over conventional full karyotyping. In addition, traditional, full karyotyping detected 3% additional abnormalities over CMA. The incidence of a variant of unknown significance (VOUS) being detected was 2%. The authors concluded that compared with karyotyping, there appears to be an increased detection rate of chromosomal abnormalities when CMA is used to analyze the products of conception; however, some of these abnormalities are VOUS, and this information should be provided when counseling women following miscarriage and when taking consent for the analysis of miscarriage products by CMA.

In a systematic review and meta-analysis, Hillman et al. (2011) evaluated whether array CGH testing in the prenatal population provides diagnostic information over that available from conventional karyotyping. Studies were selected if array CGH was used on prenatal samples or if array CGH was used on postnatal samples following termination of pregnancy for structural abnormalities that were detected on an ultrasound scan. Of the 135 potential articles, 10 were included in this systematic review and eight were included in the meta-analysis. The pooled rate of extra information detected by array CGH when the prenatal karyotype was normal was meta-analyzed using a random-effects model. The pooled rate of receiving an array CGH result of unknown significance was also meta-analyzed. Array CGH detected 3.6% additional genomic imbalances when conventional karyotyping was 'normal', regardless of referral indication. This increased to 5.2% more than karyotyping when the referral indication was a structural malformation on ultrasound. The authors concluded that there appears to be an increased detection rate of chromosomal imbalances, compared with conventional karyotyping, when array CGH techniques are employed in the prenatal population. However, some are copy number imbalances that are not clinically significant. Therefore, maternal anxiety may be generated by an abnormal test result that has little clinical significance.

de Wit et al. (2014) conducted a systematic review to evaluate the diagnostic and prognostic value of genomic array testing in pregnancies with fetuses with a structural ultrasound anomaly (restricted to one anatomical system) and a normal karyotype. Combined data of the reviewed studies (n = 18) indicated that fetuses with an ultrasound anomaly restricted to one anatomical system (n = 2220) had a 3.1-7.9% chance of carrying a causative submicroscopic CNV, depending on the anatomical system affected. This chance increased to 9.1% for fetuses with multiple ultrasound anomalies (n = 1139). According to the authors, this review indicates that 3.1-7.9% of fetuses with a structural ultrasound anomaly restricted to one anatomical system and a normal karyotype will show a submicroscopic CNV, which explains its phenotype and provides information for fetal prognosis. The authors concluded that microarray has considerable diagnostic and prognostic value in these pregnancies.

Papoulidis et al. (2015) evaluated the diagnostic yield of comparative genomic hybridization microarrays (aCGH) and compare it with conventional karyotype analysis of standard >5-Mb resolution. A total of 1763 prenatal samples were analyzed by aCGH (CytoChip Focus Constitutional microarrays, BlueGnome, Cambridge). The diagnostic yield of chromosomal abnormalities detected by aCGH was assessed, compared with conventional karyotype analysis. The result was pathogenic/unknown penetrance in 125 cases (7.1%), and a variant of unknown significance (VOUS) was detected in 13 cases (0.7%). Out of the 125 cases with abnormal findings, 110 were also detected by conventional karyotype analysis. The aCGH increment in diagnostic yield was 0.9% (15/1763) and 1.6% when VOUS were included. Stratifying the sample according to indications for prenatal invasive testing, the highest values of diagnostic yield increment were observed for patients positive for second-trimester sonographic markers (1.5%) and for the presence of fetal structural anomalies (1.3%). In contrast, the incremental yield was marginal in patients with fetus with increased nuchal translucency (0.5%). The authors concluded that the routine implementation of aCGH offers an incremental yield over conventional karyotype analysis, which is also present in cases with 'milder' indications, further supporting its use as a first-tier test.
Srebnia et al. (2016) evaluated the diagnostic value of single-nucleotide polymorphism (SNP) array testing in 1033 fetuses with ultrasound anomalies by investigating the prevalence and genetic nature of pathogenic findings. Pathogenic findings were classified into three categories: causative findings; unexpected diagnoses (UD); and susceptibility loci (SL) for neurodevelopmental disorders. After exclusion of trisomy 13, 18, 21, sex-chromosomal aneuploidies and triploidies, in 76/1033 (7.4%) fetuses a pathogenic chromosome abnormality was detected by genomic SNP array: in 19/1033 cases (1.8%) a microscopically detectable abnormality was found and in 57/1033 (5.5%) fetuses a pathogenic submicroscopic chromosome abnormality was detected. 58% (n=44) of all these pathogenic chromosome abnormalities involved a causative finding, 35% (n=27) a SL for neurodevelopmental disorder, and 6% (n=5) a UD of an early-onset untreated disease. In 0.3% of parental samples an incidental pathogenic finding was encountered. According to the authors, these results confirm that a genomic array should be the preferred first-tier technique in fetuses with ultrasound anomalies.

Hillman et al. (2013) conducted a prospective cohort study of 243 women undergoing chromosomal microarray analysis (CMA) alongside karyotyping when a structural abnormality was detected on prenatal ultrasound. A systematic review of the literature was also performed. A total of 25 primary studies were included in the systematic review. The cohort study found an excess detection rate of abnormalities by CMA of 4.1% over conventional karyotyping when the clinical indication for testing was an abnormal fetal ultrasound finding; this was lower than the detection rate of 10% (95% CI, 8-13%) by meta-analysis. The rate of detection for variants of unknown significance (VOUS) was 2.1% (95% CI, 1.3-3.3%) when the indication for CMA was an abnormal scan finding. The VOUS detection rate was lower (1.4%; 95% CI, 0.5-3.7%) when any indication for prenatal CMA was meta-analyzed. The authors concluded that there is a higher detection rate by CMA than by karyotyping not just in the case of abnormal ultrasound findings but also in cases of other indications for invasive testing. According to the authors, it is likely that CMA will replace karyotyping in high-risk pregnancies.

Wapner et al. (2012) evaluated the accuracy, efficacy, and incremental yield of chromosomal microarray analysis as compared with karyotyping for routine prenatal diagnosis. Villus or amniotic fluid samples from women undergoing prenatal diagnosis at 29 centers were sent to a central karyotyping laboratory. Each sample was split in two; standard karyotyping was performed on one portion and the other was sent to one of four laboratories for chromosomal microarray. A total of 4406 women were enrolled with indications for prenatal diagnosis for advanced maternal age (46.6%), abnormal result on Down’s syndrome screening (18.8%), structural anomalies on ultrasonography (25.2%), and other indications (9.4%). In 4340 (98.8%) of the fetal samples, microarray analysis was successful; 87.9% of samples could be used without tissue culture. Microarray analysis of the 4282 non-mosaic samples identified all the aneuploidies and unbalanced rearrangements identified on karyotyping but did not identify balanced translocations and fetal triploidy. In samples with a normal karyotype, microarray analysis revealed clinically relevant deletions or duplications in 6.0% with a structural anomaly and in 1.7% of those whose indications were advanced maternal age or positive screening results. The authors concluded that for prenatal diagnostic testing, chromosomal microarray analysis identified additional, clinically significant cytogenetic information as compared with karyotyping and was equally efficacious in identifying aneuploidies and unbalanced rearrangements but did not identify balanced translocations and triploidies. According to the authors, these data indicate a benefit to chromosomal microarray testing as a standard part of prenatal testing.

Rosenfeld et al. (2015) determined the frequency of clinically significant chromosomal abnormalities identified by chromosomal microarray in pregnancy losses at any gestational age and compared microarray performance with that of traditional cytogenetic analysis when testing pregnancy losses. Among 535 fetal demise specimens of any gestational age, clinical microarray-based comparative genomic hybridization (aCGH) was performed successfully on 515, and a subset of 107 specimens underwent additional single nucleotide polymorphism (SNP) analysis. Overall, clinically significant abnormalities were identified in 12.8% (64/499) of specimens referred with normal or unknown karyotypes. Detection rates were significantly higher with earlier gestational age. In the subset with normal karyotype, clinically significant abnormalities were identified in 6.9% (20/288). This detection rate did not vary significantly with gestational age, suggesting that, unlike aneuploidy, the contribution of submicroscopic chromosomal abnormalities to fetal demise does not vary with gestational age. In the 107 specimens that underwent aCGH and SNP analysis, seven cases (6.5%) had abnormalities of potential clinical significance detected by the SNP component, including female triploidy. aCGH failed to yield fetal results in 8.3%, which is an improvement over traditional cytogenetic analysis of fetal demise specimens. The authors concluded that both the provision of results in cases in which karyotype fails and the detection of abnormalities in the presence of a normal karyotype demonstrate the increased diagnostic utility of microarray in pregnancy loss. According to the authors, chromosomal microarray testing is a preferable, robust method of analyzing cases of pregnancy loss to better delineate possible genetic etiologies, regardless of gestational age.

Clinical Utility
Shaffer et al. (2012b) evaluated the diagnostic utility of comparative genomic hybridization (CGH)-based microarrays for pregnancies with abnormal ultrasound findings. The authors conducted a retrospective analysis of 2858 pregnancies with abnormal ultrasounds and normal karyotypes (when performed) tested in their laboratory using CGH
Chromosomal microarray testing is recommended for a patient with a fetus with one or more major structural abnormalities identified on ultrasonographic examination and who is undergoing invasive prenatal diagnosis. This test typically can replace the need for fetal karyotype.

In a patient with a structurally normal fetus who is undergoing invasive prenatal diagnostic testing, either fetal karyotyping or a chromosomal microarray analysis can be performed.

Chromosomal microarray analysis of fetal tissue (i.e., amniotic fluid, placenta, or products of conception) is recommended in the evaluation of intrauterine fetal death or stillbirth when further cytogenetic analysis is desired because of the test's increased likelihood of obtaining results and improved detection of causative abnormalities.

Professional Societies

American College of Obstetricians and Gynecologists (ACOG)

In a 2016 Committee Opinion on Microarrays and Next-Generation Sequencing Technology (American College of Obstetricians and Gynecologists, 2016a), ACOG and SMFM make the following recommendations and conclusions for the use of chromosomal microarray analysis and newer genetic technologies in prenatal diagnosis (AGOG, Committee Opinion, 2016):

- Most genetic changes identified by chromosomal microarray analysis that typically are not identified on standard karyotype are not associated with increasing maternal age; therefore, the use of this test can be considered for all women, regardless of age, who undergo prenatal diagnostic testing.
- Prenatal chromosomal microarray analysis is recommended for a patient with a fetus with one or more major structural abnormalities identified on ultrasonographic examination and who is undergoing invasive prenatal diagnosis. This test typically can replace the need for fetal karyotype.
- In a patient with a structurally normal fetus who is undergoing invasive prenatal diagnostic testing, either fetal karyotyping or a chromosomal microarray analysis can be performed.
- Chromosomal microarray analysis of fetal tissue (i.e., amniotic fluid, placenta, or products of conception) is recommended in the evaluation of intrauterine fetal death or stillbirth when further cytogenetic analysis is desired because of the test's increased likelihood of obtaining results and improved detection of causative abnormalities.
- Comprehensive patient pretest and posttest genetic counseling from an obstetrician–gynecologist or other health care provider with genetics expertise regarding the benefits, limitations, and results of chromosomal microarray analysis is essential. Chromosomal microarray analysis should not be ordered without informed consent, which should include discussion of the potential to identify findings of uncertain significance, non-paternity, consanguinity, and adult-onset disease.

In a 2016 Practice Bulletin (American College of Obstetricians and Gynecologists, 2016b) on prenatal diagnostic testing for genetic disorders, ACOG and the Society for Maternal-Fetal Medicine (SMFM) recommend the following based on good and consistent scientific evidence (Level A):

- Chromosome microarray analysis should be made available to any patient choosing to undergo invasive diagnostic testing.

Chromosome Microarray Testing (Non-Oncology Conditions)

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• Chromosome microarray analysis should be the primary test (replacing conventional karyotype) for patients undergoing prenatal diagnosis for the indication of a fetal structural abnormality detected by ultrasound.

The 2016 Practice Bulletin further stated that prenatal diagnostic testing for genetic disorders makes the following recommendation based on limited or inconsistent scientific evidence (Level B):
• Chromosomal microarray analysis can be used to confirm an abnormal FISH test.

Society for Maternal-Fetal Medicine (SMFM)
In an SMFM Consult Series publication (2016) on the use of chromosomal microarray for prenatal diagnosis, SMFM makes the following recommendations:
• Chromosomal microarray analysis (CMA) should be offered when genetic analysis is performed in cases with fetal structural anomalies and/or stillbirth and replaces the need for fetal karyotype in these cases (GRADE 1A)
• Providers should discuss the benefits and limitations of CMA and conventional karyotype with patients who are considering amniocentesis and chorionic villus sampling (CVS) and that both options be available to women who choose to undergo diagnostic testing (GRADE 1B)
• The use of CMA is not recommended as a first-line test to evaluate first trimester pregnancy losses due to limited data (GRADE 1C)
• Pre- and post-test counseling should be performed by trained genetic counselors, geneticists or other providers with expertise in the complexities of interpreting CMA results (Best practice).

Preimplantation Genetic Screening (PGS) and Preimplantation Genetic Diagnosis (PGD)
PGS is an analysis performed on an embryo prior to transfer to screen for aneuploidy, deletions and duplications of genomic material, generally referred to as copy number variations (CNVs). The term Preimplantation Genetic Diagnosis (PGD) is sometimes also used to refer to aneuploidy and CNV screening of embryos, but PGD primarily refers to the analysis of single gene or other inherited disorders in an embryo. Use of this technology could potentially increase the success of infertility treatment, especially in women who have worse outcomes due to advanced maternal age, history of recurrent miscarriage, failed in vitro fertilization (IVF) (CDC, 2017) or a balanced chromosome translocation. In addition, it has been explored as a way to enable single embryo transfer (SET) rather than using multiple embryos to increase the odds of having a successful pregnancy without the risk of multiparity. However, there is limited evidence that the use of PGS improves fertility treatment outcomes. In the most recent update from the Centers of Disease Control on the status of assisted reproductive technologies (ART) in the US, PGS was used in only 5% of 231,936 cycles tracked among 464 clinics.

Analytical Validity
Treff et al. (2011a) studied various whole genome amplification (WGA) techniques in single cells, as accurately amplifying the genome is critical to accurately and successfully genotyping an individual cell. The authors compared three commercially available WGA kits; GenomePlex, REPLI-g, and GenomiPhi, and compared them based on the purpose of the analysis. They specifically studied how well each produced whole genome DNA, and then how well various tests performed; SNP microarray copy number, ability to call individual SNPs, and molecular karyotyping. Human fibroblast cell lines from the Coriell Cell Repository with known karyotypes and genetic results were used. GenomiPhi was able to amplify 88% of single cells yielding >250 ng of DNA, and REPLI-G and GenomePlex yielded >250 ng of DNA in 100% of single cells. REPLI-G provided 88% of genome coverage, and GenomiPhi and GenomePlex provided 74% and 78% respectively. SNP copy number accuracy was 99% for GenomePlex, 95% for REPLI-G, and 62% for GenomiPhi. Chromosome ploidy accuracy was 99% for GenomePlex, 97% for REPLI-G, and 75% for GenomiPhi. The karyotyping diagnostic accuracy was 100% for GenomePlex, 83% for REPLI-G, and 0% for GenomiPhi. This study provides an example of the range of reliability of commercial WGA kits that may be used to predict the reproductive potential and chromosomal status of embryos.

Gutiérrez-Mateo et al. (2011) did an analysis of two aCGH approaches to determine the best method for evaluating single cells in preimplantation genetic screening, and compared aCGH to FISH. Group 1 had WGA with GenomePlex and Group 2 with SurePlex. Results were compared to FISH to determine the error rate. 161 embryos were analyzed in Group 1, and 654 embryos in Group 2. Group 1 had an amplification failure of 11%, and Group 2 was 3%. A total of 66 Group 1 and 54 Group 2 embryos were disaggregated and the remaining cells were analyzed by FISH. The discordance rate between aCGH in Group 1 was 9%, and Group 2 was 2%. Overall, 759 embryos had clear aCGH results regardless if they were Group 1 or 2. 65% of the embryos were classified as abnormal. A comparison of FISH in 120 embryos found that 75% were abnormal, and 44% were mosaic. In reviewing aneuploid events in the cohort, aCGH identified 1615 aneuploid events. FISH would only have found 41% of these with nine probes and 54% with 12 probes. In embryos with two or more abnormalities, 12-chromosome FISH would have identified up to 87% of the abnormal embryos detected by CGH. Implantation rate across both groups was 42%. Overall the authors concluded that CGH will detect 42% more abnormalities and 13% more abnormal embryos as compared to 12-chromosome standard FISH.
Novik et al. (2014) published a comparison of fluorescence in situ hybridization (FISH) methods used to evaluate chromosomal mosaicism in IVF embryos with CMA to determine the limits of mosaicism detection, accuracy, and mosaicism prevalence. Chromosomal mosaicism is higher in IVF created embryos than in other prenatal specimens, and may be found in 71-73% of human embryos. Low levels of mosaicism in prenatal specimens suggest selective pressure against mosaic embryos for ongoing pregnancy. Mosaicism has been reported in embryos evaluated by CMA using trophoectoderm (TE) biopsies, but the effect of TE mosaicism on development, implantation and pregnancy outcome is unknown. To determine the limits of mosaicism detection, the authors mixed different ratios of amplified DNA from aneuploid and euploid cells, as well as tested clinical samples. Overall, they were able to identify the limit of mosaicism detection with CMA at 25-37% for gains of DNA, and 37-50% for losses. They used the CMA technique developed to CMA was used to determine if an embryo was euploid, non-mosaic aneuploidy, or mosaic aneuploidy. The diagnostic accuracy of the CMA test was assessed by FISH analysis on non-transferred embryos. In 47 embryos, 26 were considered to be non-mosaic aneuploid by CMA, and 100% were confirmed by FISH. In the mosaic category, 95% were confirmed by FISH. The single embryo not confirmed by FISH did have a discordant result with 7% of nuclei with an aneuploid FISH signal that was below the threshold to call the embryo abnormal. Embryos predicted to the euploid by CMA were not tested by FISH. The authors concluded that CMA testing can identify mosaicism in day 5/6 blastocysts, and that FISH confirms that the mosaicism is real and not likely a technical artifact.

Capalbo et al. (2015) compared SNP based microarray screening, aCGH and qPCR techniques for screening embryos. The authors conducted a prospective double blind observational study from Oct. 2012-Dec. 2013. TE biopsies were done on day 5-6. 45 patients were included who had indications of advanced maternal age, recurrent miscarriage or parental carrier of a balanced translocation. A total of 124 blastocysts underwent aCGH. Of these, 122 survived warming and re-expansion and underwent TE biopsy and qPCR analysis. Two samples failed qPCR and were excluded. 82% of embryos showed the same diagnosis between aCGH and qPCR. 18% were discordant for at least one chromosome. Discordant blastocysts were warmed and TE was biopsied again on 21 embryos that survived another re-warming and underwent a blinded SNP array analysis. A conclusive result was obtained in 18 of the 21. In 4 of these, the qPCR, aCGH and SNP array did not match and were considered mosaic aneuploid. Overall, when the data is viewed per chromosome, the aCGH and qPCR results were consistent in 99.9% of cases where both methods were performed on TE biopsy from the same embryo. The SNP based reanalysis, however, showed a higher discordant rate between aCGH and qPCR. The authors concluded that TE biopsies can be a highly reliable and effective approach for PGS, and that until aCGH is studied for their clinical negative predictive value, this comparative study can only demonstrate that aCGH results in a higher aneuploidy rate than other contemporary and better validated methods of chromosome screening.

Kurahashi et al. (2015) conducted a comprehensive review of the literature regarding the analytical validity of CMA for PGS. The authors reported that while oligonucleotide arrays (CMA) are the standard for clinical analysis of individuals with developmental delay and congenital anomalies, the need to use a single cell and then perform WGA when using CMA for PGS may introduce amplification bias. Uneven amplification can occur of various regions of the DNA sampled from the embryo and lead to inaccuracy in the test results. Newer technologies including bacterial artificial chromosome (BAC) and a multiple displacement method are being explored as ways to mitigate amplification bias. Mosaicism in the embryo is also reported by the authors as a factor to overcome in using CMA for PGS. It has been demonstrated in the oocyte and blastomere that the spindle assembly process that regulates chromosome segregation is transiently deficient, which leads to a high rate of mosaicism during this stage, and raises the question of whether or not a single cell biopsied during this stage is representative of the whole embryo. In addition, self-correction of the mosaicism to a euploid embryo has been demonstrated, so low level mosaicism may not be a concern. Studies have shown that CMA can identify mosaicism in only 25% of embryos and so may miss low levels of mosaicism. This review further describes issues of cell cycle replication as a confounding factor for CMA. DNA replication begins at more than 10,000 sites in a genome, and during S phase, some parts of the genome have finished replicating and have two copies while other regions have not completed replicating and have a single copy of DNA. This variation in copy number could be incorrectly interpreted as abnormal or as high background noise. The risk of cell cycle issues may be mitigated by performing cell sampling just after cell division, or by trophoectoderm biopsy in the blastocyst state. Finally, CMA is not optimal for identifying polyploidy which is a significant limitation because triploidy is one of the most common chromosome abnormalities found in miscarriages. Microarrays that are SNP based can be used for detection of polyploidy, but at the time of publication, SNP arrays have not been optimized for WGA. Overall, Kurahashi et al. (2015) concludes that CMA for PGS is slowly becoming a clinical standard, but states that the procedure needs to be optimized on an individual basis and tailored protocols are required.

**Clinical Utility**

Schoolcraft et al. (2010) first used a SNP based microarray to examine all 24 chromosomes for aneuploidy screening as a clinical selection tool for blastocyst transfer for assisted reproduction patients. The authors studied 132 patients who met the inclusion criteria of > 38 years old, recurrent pregnancy loss, or recurrent implantation failure. All embryos were biopsied on day 5 or 6. The authors report that the sensitivity and specificity is 98.6% and 100%, respectively. Ninety four of the patients produced euploid embryos that were eligible for transfer. Of the 175 embryos transferred, 118 implanted, 111 are ongoing and healthy, or live births. At the time of publication, 28 healthy babies...
were born to 16 patients. In the transfer group, the biochemical pregnancy rate was 89%, and the clinical pregnancy rate was 77.8%.

Fishel et al. (2011) studied the use of aCGH on analyzing the first oocyte polar body (PB 1) and providing dependable data within 48 hours to support fresh embryo transfer. 134 couples undergoing IVF participated in the study and inclusion criteria included advanced maternal age, recurrent miscarriage, and previously failed IVF. Polar bodies were biopsied and WGA was accomplished using SurePlex. Of the 134 patients, there were 150 cycles. A total of 861 polar bodies were tested, and 67% were aneuploid. 47% of these involved a single chromosome. 26% of cycles failed to results in embryo transfer because there were no euploid oocytes. The live birth rate per embryo transfer was 24%.

Yang et al. (2012) studied the value of aCGH in identifying single embryos for transfer. Single embryo transfer (SET) is a strategy utilized by some clinics as a means to reduce the number of multiple gestation pregnancies. In this study, patients included in the study were first time assisted reproduction patients, had normal karyotypes, were < age 35, and seeking elective SET. They were randomized into two groups. Group A had 55 patients who produced 425 blastocysts, and embryos for transfer were selected by morphology and aCGH results. Group B had 48 patients and 389 blastocysts, and embryos were selected based on morphology alone. Amongst the transfers, the clinical pregnancy rate was 71% for Group A, and 46% for Group B. The ongoing pregnancy rate was 69% for Group A, and 42% for Group B. There were no twin pregnancies. Overall, aCGH significantly improved the SET pregnancy rate when compared to using morphology alone.

Liu et al. (2012) utilized a Rubicon WGA kit and an oligo microarray to analyze the pregnancy rate after screening embryos for aneuploidy. They received biopsied cells from the inner cell mass (ICM) or trophoectoderm (TE) for 258 blastocysts from 51 cycles. 95% of blastocysts had intact DNA signals after microarray. 57% were aneuploid, with embryos from advanced maternal age women more likely to be abnormal. All euploid embryos survived after warming, and of these, a 63% implantation rate was observed. The authors concluded that using oligo CMA screened embryos can significantly increase the clinical pregnancy rate.

Liang et al. (2013) explored the clinical utility of using an oligo microarray for embryo PGS. The team analyzed 383 blastocysts from 72 patients who were advanced maternal age or experience recurrent miscarriage. Biopsied cells underwent Rubicon WGA and screened with an oligo microarray. Some aneuploidy blastocysts were analyzed further by FISH to evaluate the accuracy of results. Overall, 58% of embryos were abnormal. Transfer of normal embryos resulted in an implantation rate of 54%. The FISH and microarray analysis matched in all aneuploid embryos analyzed. The authors concluded that the oligo array platform was able to identify aneuploidy and other small gains and losses, and improved embryo implantation rates.

Tobler et al. (2014) conducted a retrospective analysis comparing SNP-array and aCGH in 543 embryos from 63 couples, of which one parent carried a reciprocal translocation. Couples were from 16 different fertility centers with samples being analyzed at one lab. SNP-array was used for molecular karyotyping from 2007 to 2011, and from 2011 to 2014 aCGH was used. No embryo was analyzed by both methods. A cell was obtained from the embryo at day 5 or the blastocyst stage and placed in a stabilizing buffer and frozen for transport. Whole genome amplification (WGA) was accomplished for the SNP-array using a phi 29 polymerase protocol, and aCGH WGA was done using a Klenow fragment and a modified random priming protocol. Molecular karyotypes were obtained on 92% (498) of the biopsied embryos. In the 8% (45) samples that failed, WGA failed and was strongly correlated with poor embryo quality. Overall, 45% of embryos were chromosomally normal, and the remaining had translocation errors or aneuploidy. The pregnancy rates were equivalent for SNP (60%) and aCGH (65%). The pregnancy rate was slightly higher if the biopsy was done on blastocysts (65%) vs. cleavage stage embryos (59%). Overall the authors concluded that SNP or aCGH microarray technologies demonstrate equivalent clinical findings that maximize the pregnancy potential in patients with known parental reciprocal chromosome translocations.

Gleicher and Orvieto (2017) conducted a comprehensive literature review through January, 2017 on research related to current PGS methodologies and outcomes using comparative chromosome screening on 5-6 day TE biopsies, which they call PGS 2.0. This includes aCGH and SNP-based array technologies. Overall they noted that the literature has a skewed view of clinical utility, and uses embryo transfer as the starting point for measuring success, whereas generally IVF literature uses the initiated IVF cycles as the starting point. When using initiated cycles as a starting point, non-PGS cycles result in a higher live birth rate over PGS cycles. In addition, they report from their analysis that TE mosaicism may be present in at least half of all embryos, and mathematical models suggest that that the likelihood of false negative and positive results is too high to safely determine which embryos should be transferred or not. Their overall conclusion is that PGS 2.0 does not have clinical utility and may in fact reduce live birth rates.

Treff et al. (2011b) evaluated the clinical validity of using SNP microarray testing for PGD. Specifically, an Affymetrix 262K SNP microarray was used to identify genomic imbalances in the embryos of 15 patients known to carry balanced chromosome translocations. A total of 19 in vitro fertilization (IVF) treatment cycles with SNP microarray testing were performed for the 15 patients. One patient produced only aneuploid embryos. Two patients became pregnant naturally,
and 2 did not return to the clinic for embryo transfer. Twelve transfers were performed in the remaining 10 patients, 10 of which produced a biochemical pregnancy (positive beta-human chorionic gonadotropin testing) and 9 of which produced a clinical pregnancy (fetal heart beat). Six of these 9 delivered a singleton; the remaining 3 pregnancies were ongoing at the time of publication. Of the 6 children born, follow-up after delivery was possible for 4 and revealed no genomic imbalances (Treff et al. 2011b). Well designed, comparative studies with larger patient populations are needed to further describe clinical outcomes of microarray testing for PGD in patients with balanced translocations.

Zhang et al. (2017) examined the utility of using SNP-microarray in families with balanced translocations to accurately identify euploid embryos for transfer. In 68 blastocysts from 11 translocation families, SNP-microarray identified 42 unbalanced or aneuploid embryos, and 26 balanced or normal chromosomes. Ten families became pregnant on the first cycle; one family was successful on cycle three. Amniocentesis on the resulting pregnancies matched the embryo microarray analysis, resulting in a 100% sensitivity and sensitivity in this cohort, but the authors caution that a larger sample size is needed to further validate sensitivity and sensitivity.

There is insufficient data regarding the clinical utility and analytical validity of microarray testing for PGS and PGD. Comparative studies are needed to evaluate implantation and pregnancy rates after microarray analyses compared to conventional testing.

**Professional Societies**

**American Society for Reproduction Medicine (ASRM) / Society for Assisted Reproductive Technology (SART)**

In this joint Practice Committee Opinion from 2013, ASRM and SART comment on the pros and cons of blastocyst transfer and culture, which may increase pregnancy rates in good prognosis patients, but does not impact the pregnancy rate in poor prognosis patients. This technique may be associated with a small increased risk of monozygotic twinning and adverse neonatal outcomes. However, the committee comments that blastocyst culture is required to accommodate PGS, which has increasing utility, and allows for the transfer of only euploid embryos.

**Preimplantation Genetic Diagnosis International Society (PGDIS)**

The PGDIS position statement on chromosome mosaicism and preimplantation aneuploidy at the blastocyst state states that only a validated next generation sequencing (NGS) platform that can quantitatively measure copy number should be used, and can accurately measure 20% of mosaicism in a known sample.

**Use in Pediatrics**

**Developmental Disorders**

**Analytical Validity**

Baldwin et al. (2008) described the validation of an oligonucleotide array with approximately 43,000 probes spaced an average of 75 kb across the genome, a resolution of 500 kb overall, and a resolution of 50 kb in targeted regions. Initially, 10 patients with known chromosome abnormalities, including 2 supernumerary marker chromosomes, 5 telomere deletions, 2 unbalanced translocations, and a 15q11 to q13 microdeletion, were tested with the array. The array correctly identified all 10 (100%) anomalies and identified additional complex rearrangements in 2 (20%) of the cases. Another 20 patient samples, including 14 cases with abnormalities and 6 with normal cytogenetic findings, were subsequently analyzed in a blinded manner. As with the previous group of samples, the concordance rate between the aCGH results and previous cytogenetic testing was 100%.

**Clinical Validity**

Ellison et al. (2012) tested the hypothesis that chromosomal microarray analysis frequently identifies conditions that require specific medical follow-up and that referring physicians respond appropriately to abnormal test results. A total of 46,298 postnatal patients were tested by chromosomal microarray analysis for a variety of indications, most commonly intellectual disability/developmental delay, congenital anomalies, dysmorphic features, and neurobehavioral problems. The frequency of detection of abnormalities associated with actionable clinical features was tallied, and the rate of physician response to a subset of abnormal tests results was monitored. A total of 2088 diagnoses were made of more than 100 different disorders that have specific clinical features that warrant follow-up. The detection rate for these conditions using high-resolution whole-genome microarrays was 5.4%, which translates to 35% of all clinically significant abnormal test results identified in the laboratory. In a subset of cases monitored for physician response, appropriate clinical action was taken more than 90% of the time as a direct result of the microarray finding. The authors concluded that the disorders diagnosed by chromosomal microarray analysis frequently have clinical features that need medical attention, and physicians respond to the diagnoses with specific clinical actions, thus arguing that microarray testing provides clinical utility for a significant number of patients tested.

Miller et al. (2010) assessed the clinical validity of chromosomal microarray testing as a first-tier analysis in the evaluation of patients with unexplained DD, ID, ASD, and/or MCA (postnatal only). A review of 33 studies involving 21,698 patients was performed to evaluate the sensitivity of microarrays in the detection of deletions and duplications.
The analysis of data from all 33 studies, including those that involved BAC or oligonucleotide microarrays (aCGH), showed that chromosomal microarray analysis in general had a diagnostic yield of approximately 15% to 20%. In addition, it was determined that, although balanced rearrangements and low-level mosaicism were generally not detectable by microarray analysis, these anomalies were rare causes of DD/ID/MCA (< 1%). As a result, the ISCA stated that the evidence supports the use of chromosomal microarray testing as a first-tier test in the clinical evaluation of infants, children, or adults with DD, ID, ASD, and/or MCA.

Battaglia et al. (2013) evaluated the usefulness of CMA, as a first-tier tool in detecting the etiology of unexplained intellectual disability/autism spectrum disorders (ID/ASDs) associated with dysmorphic features in a large cohort of pediatric patients. The study included 349 individuals; 223 males, 126 females, aged 5 months-19 years. Blood samples were analyzed with CMA at a resolution ranging from 1 Mb to 40 Kb. The imbalance was confirmed by FISH or qPCR. Copy number variants (CNVs) were considered causative if the variant was responsible for a known syndrome, encompassed gene/s of known function, occurred de novo or, if inherited, the parent was variably affected, and/or the involved gene/s had been reported in association with ID/ASDs in dedicated databases. A total of 91 CNVs were detected in 77 (22.06%) patients: 5 (6.49%) of those presenting with borderline cognitive impairment, 54 (70.13%) with a variable degree of DD/ID, and 18/77 (23.38%) with ID of variable degree and ASDs. The CNVs caused the phenotype in 57/77 (74%) patients; 12/57 (21.05%) had ASDs/ID, and 45/57 (78.95%) had DD/ID. The authors concluded that this study provided further evidence of the high diagnostic yield of CMA for genetic testing in children with unexplained ID/ASDs who had dysmorphic features.

Bremer et al. (2011) used high-resolution whole genome array-based comparative genomic hybridization (array-CGH) to screen 223 Autism spectrum disorder (ASD) patients for gene dose alterations associated with susceptibility for autism. Clinically significant copy number variations (CNVs) were identified in 18 individuals (8%), of which 9 cases (4%) had de novo aberrations. In addition, 20 individuals (9%) were shown to have CNVs of unclear clinical relevance. Among these, 13 cases carried rare but inherited CNVs that may increase the risk for developing ASDs, while parental samples were unavailable in the remaining seven cases. Classification of all patients into different phenotypic and inheritance pattern groups indicated the presence of different CNV patterns in different patient groups. Clinically relevant CNVs were more common in syndromic cases compared to non-syndromic cases. Rare inherited CNVs were present in a higher proportion of ASD cases having first- or second-degree relatives with an ASD-related neuropsychiatric phenotype in comparison with cases without reported heredity. The authors concluded that rare CNVs, encompassing potential candidate regions for ASDs, increase the susceptibility for the development of ASDs and related neuropsychiatric disorders giving further insight into the complex genetics underlying ASDs.

Bartnik et al. (2014) evaluated the application of array Comparative Genomic Hybridization (CGH) in clinical diagnostics of developmental delay/intellectual disability in 112 children. The authors identified 37 copy number variants (CNVs) with the size ranging from 40 kb to numerical chromosomal aberrations, including unbalanced translocations and chromosome Y disomy, receiving an overall diagnostic yield of 33%. Known pathogenic changes were identified in 21.4% of the cases. Among patients with pathogenic CNVs identified by array CGH, 41.7% had a previously normal karyotype analysis. According to the authors, this study provides more insight into the benefits derived by using chromosomal microarray analysis and demonstrates the usefulness of array CGH as a first-tier clinical setting test in patients with intellectual disability.

Nicholl et al. (2014) prospectively evaluated the frequency of pathogenic chromosomal microdeletions and microduplications in a large group of referred patients with developmental delay (DD), intellectual disability (ID) or autism spectrum disorders (ASD) within a genetic diagnostic service. First tier testing was applied using a standardized oligo-array comparative genomic hybridization (CGH) platform, replacing conventional cytogenetic testing that would have been used in the past. Copy number variants (CNVs) found to be responsible for the clinical condition on the request form could all be subdivided into 3 groups: well established pathogenic microdeletion/microduplication/aneuyploid manner syndromes, predicted pathogenic CNVs as interpreted by the laboratory, and recently established pathogenic disease susceptibility CNVs. Totaed from these three groups, with CNVs of uncertain significance excluded, detection rates were: DD (13.0%), ID (15.6%), ASD (2.3%), ASD with DD (8.2%), ASD with ID (12.7%) and unexplained epilepsy with DD, ID and ASD (10.9%). According to the authors, the greater diagnostic sensitivity arising from routine application of array CGH, compared with previously used conventional cytogenetics, outweighs the interpretative issues for the reporting laboratory and referring clinician arising from detection of CNVs of uncertain significance. The authors stated that precise determination of any previously hidden molecular defect responsible for the patient’s condition is translated to improved genetic counselling.

McCormack et al. (2016) examined the utility of aCGH to replace karyotype in 5369 pre- and post-natal patients with an unexplained phenotype. In this cohort, 28% of those tested had a deletion or duplication. Ninety seven percent of cases with a CNV that was less than 5 kilobases in size would not have been detected by routine chromosome analysis. Eight hundred and forty two 15.7% had a variant of unknown significance. About 5% of the cohort met the criteria for a known syndrome. Using microarray as a primary analysis tool significantly increased the detection of CNV abnormalities, with 1 syndromic case identified per 20 referrals.
Szczaluba et al. (2016) studied the value of aCGH in newborns with multiple congenital anomalies. A group of 54 neonates with two or more birth defects were evaluated with an OGT Cytosure 8x60 K microarray. Ten newborns were found to have rearrangements detected by aCGH. One was a recurrent syndromic microdeletion, but the others were unique. Five could be seen on routine cytogenetics, but one was sub-microscopic. The other four were copy number variants that were likely pathogenic and could explain the phenotype.

Geddes et al. (2017) evaluated a protocol to direct genetic testing, including karyotyping, 22q deletion analysis, and CMA, on infants with critical congenital heart disease. In a retrospective review of data of 733 infants prior to implementing the genetic testing protocol, 433 had at least one of included genetic tests. 66% of these patients had more than one genetic test, and the rate of diagnosis was 26%. A genetic testing protocol was identified that aligned genetic testing with clinical presentation. For example, infants that were likely to have Trisomy 21 or Turner syndrome were first tested with routine chromosome analysis. Conotruncal heart lesion patients were evaluated by 22q analysis, and all others had a chromosome microarray as a first test. The protocol was implemented in January 2015 and evaluated through June 2016. In the post protocol period, 158 patients were evaluated and 121 patients had at least one genetic test. The rate of genetic testing increased to 77%, and only 24% of patients had more than one genetic test. The rate of diagnosis was 36%. Overall, in the post-protocol period, infants were less likely to undergo multiple genetic tests, and were more likely to get a genetic diagnosis. Diagnostic yield varied between pre and post-protocol tests as well. For karyotyping, the pre-protocol yield as 18%, and post-protocol was 76%. 22q analysis pre-protocol diagnostic yield was 24% and 26% post-protocol. There was no significant difference in the diagnostic yield of CMA at 22% pre-protocol and 22% post-protocol. There were no results in this cohort detected by karyotype or 22q deletion analysis that was not detectable by CMA.

Clinical Utility

Pfundt et al. (2016) assessed the diagnostic yield and potential clinical utility of a high-density chromosomal microarray (CMA) of CytoScan Dx Assay in 960 patients with developmental delay or intellectual disability. Eighty-six percent of the subjects were assessed using a microarray as part of historical routine patient care (RPC). The rate of pathogenic findings was similar between RPC (13.3%) and the CytoScan Dx Assay (13.8%). Among the 138 patients who did not receive microarray as RPC, the diagnostic yield for CytoScan Dx Assay was 23.9% as compared with 14.5%, indicating a 9.4% improvement when using higher-resolution methods. Thirty-five percent of patients with abnormal findings had predicted clinical management implications that may improve health outcomes. The authors concluded that the assay’s diagnostic yields are similar to those found in other studies of CMAs.

Fry et al. (2016) evaluated the range of rare Copy number variants (CNVs) found in 80 Welsh patients with intellectual disability (ID) or developmental delay (DD), and childhood-onset epilepsy. Molecular cytogenetic testing by single nucleotide polymorphism array or microarray-based comparative genome hybridization was performed. 8.8% (7/80) of the patients had at least one rare CNVs that was considered to be pathogenic or likely pathogenic. The CNVs involved known disease genes (EHMT1, MBD5 and SCN1A) and imbalances in genomic regions associated with neurodevelopmental disorders (16p11.2, 16p13.11 and 2q13). Prompted by the observation of two deletions disrupting SCN1A the authors undertook further testing of this gene in selected patients. This led to the identification of four pathogenic SCN1A mutations in the cohort. Five rare de novo deletions were identified, and the authors confirmed the clinical utility of array analysis in patients with ID/DD and childhood-onset epilepsy.

Shen et al. (2010) evaluated a cohort of 933 patients who received clinical genetic testing for a diagnosis of autism spectrum disorders (ASD). Clinical genetic testing included G-banded karyotype, fragile X testing, and chromosomal microarray (CMA) to test for submicroscopic genomic deletions and duplications. Diagnostic yield of clinically significant genetic changes was compared. Karyotype yielded abnormal results in 19 of 852 patients. Fragile X testing was abnormal in 4 of 861 and CMA identified deletions or duplications in 154 of 848 patients. CMA results for 59 of 848 patients were considered abnormal, which includes variants associated with known genomic disorders or variants of possible significance. CMA results were normal in 10 of 852 patients (1.2%) with abnormal karyotype due to balanced rearrangements or unidentified marker chromosome. CMA with whole-genome coverage and CMA with targeted genomic regions detected clinically relevant copy-number changes in 7.3% and 5.3% of patients, respectively, both higher than karyotype. With the exception of recurrent deletion and duplication of chromosome 16p11.2 and 15q13.2q13.3, most copy-number changes were unique or identified in only a small subset of patients. The authors concluded that CMA had the highest detection rate among clinically available genetic tests for patients with ASD. Interpretation of microarray data is complicated by the presence of both novel and recurrent copy-number variants of unknown significance. The authors stated that despite these limitations, CMA should be considered as part of the initial diagnostic evaluation of patients with ASD.

In a clinical guideline for the recognition, referral and diagnosis of children and young people with autism, the National Institute for Health and Care Excellence (NICE) recommends that genetic tests only be done in patients who have either dysmorphic features and/or intellectual disability because these are the cases where the rate of genetic abnormalities are definitely increased above general population levels. According to the guideline, most research to
date has focused on the rate and type of definite abnormalities rather than the impact of testing on children/young people with autism and their families. The authors of the guideline state that further research using CGH array would lead to a stronger evidence base to inform key decision-makers as to whether wider use of genetic testing is appropriate or not when this guideline is updated. It would also alert practitioners to any negative consequences that might occur as a result of testing (NICE 2011).

In a technology report, the Blue Cross Blue Shield Association (2015) stated that the ability to detect pathogenic CNVs underlying global developmental delay/intellectual disability (GDD/ID) and autism spectrum disorder (ASD) is improving. This improvement is likely due to higher chromosomal microarray (CMA) resolution along with increasingly extensive data for copy number variant (CNV) pathogenicity and associated phenotypes. Professional societies recommended CMA testing as first-line evaluation when genetic evaluation is desired as opposed to first obtaining a karyotype. According to the report, there is consistent evidence that the diagnostic yield obtained from CMA testing is higher than with karyotyping in children with GDD/ID or ASD, with or without congenital anomalies. (Blue Cross Blue Shield Association 2015).

**Professional Societies**

*American Academy of Pediatrics (AAP)*

In a 2014 clinical report, the Committee on Genetics AAP stated that chromosome microarray is designated as a first-line test and replaces the standard karyotype and fluorescent in situ hybridization subtelomere tests for the child with intellectual disability of unknown etiology. The authors recommend that chromosomal microarray should be performed in all children with intellectual disability (ID) or global developmental delays (GDDs) (Moeschler and Shevell, 2014).

In a guidance for the identification and evaluation of autism spectrum disorders (ASDs), the AAP stated that microarray aCGH is a promising tool that may become standard of care in the future, but this technique has not been evaluated systematically in children with ASDs (Johnson, et al. 2007, Reaffirmed September 2010).

*American Academy of Neurology (AAN)*

In a model coverage policy for chromosomal microarray analysis for intellectual disabilities, the AAN recommends the following inclusion criteria for microarray testing:

- In children with developmental delay/intellectual disability (DD/ID) or an autism spectrum disorder (ASD) according to accepted Diagnostic and Statistical Manual of Mental Disorders-IV criteria;
- If warranted by the clinical situation, biochemical testing for metabolic diseases has been performed and is negative;
- Targeted genetic testing, (for example: FMR1 gene analysis for Fragile X), if or when indicated by the clinical and family history, is negative;
- The results for the testing have the potential to impact the clinical management of the patient;
- Face-to-face genetic counseling with an appropriately trained and experienced healthcare professional has been provided to the patient (or legal guardian(s) if a minor child). Patient or legal guardians have given their consent for testing. Cognitively competent adolescent patients have given their assent for testing as well.

The AAN model coverage policy states that the following circumstances limit the value of microarray testing:

- Absence of an appropriate and informed consent from the patient, a parent (in case of minors) or a guardian (in persons with cognitive impairment) is necessary prior to testing.
- Inadequacy of knowledge about the test and the actions required to address the results of the test.
- A lack of clear value for chromosomal microarray analysis in all instances other than those delineated above. Under these circumstances the test is considered investigational.
- Chromosomal microarray analysis would not be considered medically necessary when a diagnosis of a disorder or syndrome is readily apparent based on clinical evaluation alone.

The AAN model coverage policy indicates the presence of major and minor congenital malformations and dysmorphic features should be considered evidence that microarray testing will be more likely to yield a diagnosis. However, dysmorphic and syndromic features are not required for testing (AAN, 2015).

The Quality Standards Subcommittee of the American Academy of Neurology and the Practice Committee of the Child Neurology Society systematically reviewed the evidence concerning the diagnostic yield of genetic and metabolic evaluation of children with global developmental delay or intellectual disability (GDD/ID). Relevant literature was reviewed, abstracted, and classified according to the 4-tiered American Academy of Neurology classification of evidence scheme. The authors concluded that in patients with GDD/ID, microarray testing is diagnostic on average in 7.8% (Class III), G-banded karyotyping is abnormal in at least 4% (Class II and III), and subtelomeric fluorescence in situ hybridization is positive in 3.5% (Class I, II, and III). The authors state that currently, microarray testing can identify only unbalanced copy number changes and is insufficiently sensitive for detecting genetic disorders caused by inversions, balanced insertions, reciprocal translocations, polypoidy, low-level mosaicism (less than 20%–25%), rearrangements in repeat sequences, point mutations, or duplications/deletions that are undetectable at the test’s
resolution level. According to the authors, there is consensus among clinical geneticists that microarrays should be considered first-line cytogenetic tests, preferred over subtelomeric fluorescence in situ hybridization (StFISH) testing and karyotyping, with karyotyping reserved for patients having signs of a specific chromosomal syndrome (e.g., Down syndrome), a family history of a chromosomal rearrangement, or a parent with a history of multiple miscarriages. In recommendations for future research, the authors state that research is sorely lacking on the medical, social, and financial benefits of having an accurate etiologic diagnosis. It may be that testing for relatively rare in-born errors of metabolism has a more substantial impact on families and society than testing for genetic syndromes, given how often the diagnosis directly influences patient treatment and outcome. The authors state that the ability to rate diagnostic tests on the basis of factors other than diagnostic yield, such as the availability of effective treatment, would have a positive influence on clinical practice (Michelson et al. 2011).

**American College of Medical Genetics (ACMG)**
The ACMG published a guideline in 2010 that focused on when CGH should be used. The specific recommendations listed in the 2010 guideline are as follows (Manning and Hudgins, 2010):

- Cytogenetic microarray (CMA) testing for copy number variation (CNV) is recommended as a first-line test in the initial postnatal evaluation of individuals with the following:
  - Multiple anomalies not specific to a well-delineated genetic syndrome
  - Apparently non-syndromic developmental delay/intellectual disability
  - Autism spectrum disorders
- Further determination of the use of CMA testing for the evaluation of the child with growth retardation, speech delay, and other less-well studied indications is recommended, particularly via prospective studies and aftermarket analysis.
- Appropriate follow up is recommended in cases of chromosome imbalance identified by CMA, to include cytogenetic/FISH (fluorescence in situ hybridization) studies of the patient, parental evaluation, and clinical genetic evaluation and counseling.

This guideline did not address testing for prenatal gene mutations. These guidelines also do not specify what type of microarray platform should be used (i.e., microarray-based CGH versus SNP microarray), although they do state that any ordering physician should be aware of the information generated and the limitations of the particular test performed.

The 2013 ACMG guideline for identifying the etiology of autism spectrum disorders (ASDs) lists chromosomal microarray (array-comparative genomic hybridization or single-nucleotide polymorphism arrays) as a first tier diagnostic test for the evaluation of ASDs. According to the ACMG, many recognizable syndromes (i.e., Fragile X syndrome, Rett syndrome) have a firmly documented association with ASDs. For these conditions, further investigation into the etiology of the ASD is unnecessary (Schaefer, 2013).

ACMG Practice Guidelines regarding the interpretation and reporting of microarray results in postnatal clinical settings were published in 2011 and include recommendations regarding how to define the various types of CNVs (pathogenic versus benign versus uncertain significance), the confirmation of abnormal results, the information that should be included in laboratory reports, and how to handle unanticipated or ambiguous results (Kearney et al. 2011).

The ACMG technical standard and guideline for microarray analysis for chromosome abnormalities in neoplastic disorders indicates that while microarray evaluation may provide information that is complementary to established molecular methods for detection of genetic abnormalities in tumors, it is limited by the inability to detect tumor-specific changes (acquired clonality) with a low ratio of tumor cells to normal cells. The guideline further states that microarray technologies are neither established nor recommended for post-therapy follow-up or minimal residual disease detection (Cooley et al. 2013).

**Epilepsy**
Berg et al. (2017) examined the utility of various genetic testing methodologies when used in children with early life epilepsy. The study took place at 17 US based pediatric hospitals from 2012-2015. 795 families were recruited, and 775 agreed to participate. The median age of onset of symptoms was 7.5 months, and there were 397 girls and 408 boys. 95 had acquired brain injuries. Of the remaining 680 patients, 327 had various forms of genetic testing. 132 had pathogenic variants identified. Diagnostic yield was greatest for epilepsy gene panels (29%), whole exome sequencing (28%) and least for CMA (8%).

**U.S. FOOD AND DRUG ADMINISTRATION (FDA)**
A search of the FDA website identified an approval (K042279) for the Affymetrix Genechip Microarray Instrumentation System on December 23, 2004. See the following website for more information:
The CytoScan® DX Assay (Affymetrix, Inc.) was cleared for marketing under the FDA’s 510(k) process in January 2014. The FDA classifies the devices a Type II postnatal chromosomal copy number variation detection system. According to documents filed with FDA, CytoScan Dx Assay is a qualitative assay intended for the postnatal detection of copy number variations (CNV) in genomic DNA obtained from peripheral whole blood in patients referred for chromosomal testing based on clinical presentation. CytoScan Dx Assay is intended for the detection of CNVs associated with developmental delay, intellectual disability, congenital anomalies, or dysmorphic features. The CytoScan Dx Assay is a microarray that works with Affymetrix’s existing GeneChip technology platform to perform comparative whole-genome hybridization. This device is not intended to be used for standalone diagnostic purposes, preimplantation or prenatal testing or screening, population screening, or for the detection of, or screening for, acquired or somatic genetic aberrations. The FDA’s review of the CytoScan Dx Assay included an analytic evaluation of the test’s ability to accurately detect numerous chromosomal variations of different types, sizes, and genome locations when compared with several analytically validated test methods. FDA found that the CytoScan Dx Assay could analyze a patient’s entire genome and adequately detect chromosome variations in regions of the genome associated with intellectual and developmental disabilities. See the following websites for more information:

- [http://www.accessdata.fda.gov/cdrh_docs/reviews/k130313.pdf](http://www.accessdata.fda.gov/cdrh_docs/reviews/k130313.pdf)

(Visited December 26, 2017)

Genetic tests are regulated under the Clinical Laboratory Improvement Amendments (CLIA) of 1988. All laboratories offering microarray testing have current CLIA certifications, including Ambry Genetics Corp., ARUP Laboratories, Baylor College of Medicine Medical Genetics Laboratories, GeneDx Inc., LabCorp, Quest Diagnostics Inc., and Signature Genomic Laboratories. See the following website for more information:

- [http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/IVDRegulatoryAssistance/ucm124105.htm](http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/IVDRegulatoryAssistance/ucm124105.htm)

(Visited December 26, 2017)

### Additional Products

180K Oligo Array and SNP+CGH Array (Ambry Genetics Corp.); Cytogenic SNP Microarray (2003414), Cytogenic SNP Microarray, Prenatal (2002366), and Cytogenic SNP Microarray, Products of Conception (2005633) (ARUP Laboratories); Chromosomal Microarray Analysis – HR (Test #8655), Chromosomal Microarray Analysis HR + SNP Screen (Test #8665), Chromosomal Microarray Analysis – CytoScan HD SNP Array – Non-Tumor (Test #8650), Targeted Chromosomal Microarray Analysis – Prenatal (Test #8656 [Amniocentesis] or #8657 [CVS]), and Expanded Chromosomal Microarray Analysis – Prenatal (Test #8670 [Amniocentesis] or #8671 [CVS]) (Baylor College of Medicine Medical Genetics Laboratories); Whole-Genome Chromosomal Microarray (GenomeDx), Whole-Genome Chromosomal Microarray, Prenatal, and Whole-Genome Chromosomal Microarray, Products of Conception (GeneDx Inc.); Reveals SNP Microarray- Pediatric; Reveals SNP Microarray – Prenatal, and Reveals SNP Microarray – POC (Integrated Genetics); Chromosomal Microarray, Postnatal, Clarisure Oligo-SNP (Test 16478), Chromosomal Microarray, Prenatal, Clarisure Oligo-SNP (Test 90927), and Chromosomal Microarray, POC, Clarisure Oligo-SNP (Test 90929) (Quest Diagnostics Inc.); Signature ChipOS, Signature ChipOS + SNP, Signature PrenatalChipOS, Signature PrenatalChipOS + SNP, Signature PrenatalChipTE, and Signature PrenatalChipTE + SNP (Signature Genomic Laboratories LLC).

### Medicare for Medicare and Medicaid Services (CMS)

Medicare does not have a National Coverage Determination (NCD) for chromosome microarray testing. Local Coverage Determinations (LCDs) do exist; refer to the LCDs for Biomarkers Overview, Molecular Pathology Procedures, Molecular Diagnostic Tests (MDT) and MolDX: Molecular Diagnostic Tests (MDT).

(Visited January 30, 2018)

### References


Michelson DJ, Shevell MI, Sherr EH, et al. Evidence report: Genetic and metabolic testing on children with global developmental delay: report of the Quality Standards Subcommittee of the American Academy of Neurology and the


### POLICY HISTORY/REVISION INFORMATION

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