Sensory Integration Therapy and Auditory Integration Training

Policy Number: 2021T0314U
Effective Date: December 1, 2021

Coverage Rationale

The following are unproven and not medically necessary for treating any condition due to insufficient evidence of efficacy:
- Sensory integration therapy (SIT)
- Auditory integration training (AIT)

Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by the member specific benefit plan document and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

<table>
<thead>
<tr>
<th>CPT Code</th>
<th>Description</th>
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<tr>
<td>97533</td>
<td>Sensory integrative techniques to enhance sensory processing and promote adaptive responses to environmental demands, direct (one-on-one) patient contact, each 15 minutes</td>
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Description of Services

Sensory Integration Therapy (SIT)

SIT seeks to improve perception and integration of sensory information and thereby help children with learning disabilities improve their sensorimotor skills. In theory, this will result in improved behavior and academic performance. Therapy is usually provided by an occupational therapist (OT), and combines primitive forms of sensation with motor activity during an individual therapy session that typically lasts 60 to 90 minutes. The therapist provides vestibular, proprioceptive, and tactile stimulation during activities designed to elicit appropriate adaptive motor responses. Sensory integration techniques include the use of textured mitts, carpets, scooter boards, ramps, swings, bounce pads, suspended equipment, and weighted vests and blankets.
to encourage a noncognitive, creative, and explorative process. Therapy is usually given in 1 to 3 sessions per week over several months or a few years and it does not involve tutoring, the more traditional approach to treatment of learning disabilities (Salokorpi, 2002; Uyanik, 2003).

**Auditory Integration Training**

AIT was developed as a technique for improving abnormal sound sensitivity in individuals with behavioral disorders including autism spectrum disorders (Sinya et al., 2011). The Berard AIT protocol requires that a participant listen to modulated music on a specific device using high quality headphones for a total of 10 hours, over 10 or 12 consecutive days under the supervision of a professionally trained AIT practitioner (AIT Institute, 2018).

**Clinical Evidence**

**Sensory Integration Therapy (SIT)**

In a population-based cohort study, Tzang et al. (2019) investigated whether intervention with sensory integration training (SI) in children with attention deficit hyperactivity disorder (ADHD) was associated with a reduced risk of subsequent mental disorders. “From children < 8-years-old newly diagnosed with ADHD in a nationwide population-based dataset, we established a SI cohort and a non-SI cohort (N= 1945) matched by propensity score. Incidence and hazard ratios of subsequent psychiatric disorders were compared after a maximum follow-up of 9 years. The incidence of psychiatric disorders was 1.4-fold greater in the SI cohort, with an adjusted hazard ratio of 1.41 (95% confidence interval 1.20–1.67), comparing to the non-SI cohort. Risks were elevated for emotional disturbances, conduct disorders, and adjustment disorders independent of age, gender, or comorbidity. Among children with only psychosocial intervention, the incidence of psychiatric disorders was 3.5-fold greater in the SI cohort than in the non-SI cohort.” The authors stated that to their knowledge, this is the first study showing an increased risk of developing psychiatric disorders in children with ADHD who received SI, compared to other children who did not receive SI. They further stated that potential adverse effects of SI in children with ADHD should be carefully examined.

Kashefimehr et al. (2018) studied the effect of SIT on different aspects of occupational performance in children with ASD. The study was conducted on an intervention group (n = 16) receiving SIT and a control group (n = 15) with 3- to 8-year-old children with ASD. The Short Child Occupational Profile (SCOPE) was used to compare the two groups in terms of the changes in their occupational performance and the Sensory Profile (SP) was used to assess sensory problems. The intervention group showed significantly greater improvement in all the SCOPE domains, as well as in all the SP domains, except for the “emotional reactions” and “emotional/social responses” domains, (p < .05). The authors concluded that the effectiveness of SIT in improving occupational performance in children with ASD as a health-related factor is supported by their findings. Limitations of this study include small patient population and lack of long-term follow-up.

In a small case series, Koller et al. (2018) examined autonomic physiological responses and observational data on five children and adolescents with motor and verbal deficits (n=5) in complex continuing care within a large rehabilitation hospital. Responses to Snoezelen and watching television were compared against baseline data collected during pre-intervention phases. This preliminary study found that individual participant responses varied considerably. Four of the five participants were reported to exhibit significant changes between sessions on one or two distinct physiological measures. The authors call on additional studies that apply unique and multiple methods capable of accessing the preferences of children and adolescent in rehabilitative care. Study limitations include small patient population and non-randomization.

Bodison and Parham (2018) conducted a systematic review to examine the effectiveness of specific sensory techniques and sensory environmental modifications to improve participation of children with sensory integration (SI) difficulties. Abstracts of 11,436 articles were examined. Studies were included if designs reflected high levels of evidence, participants demonstrated SI difficulties, and outcome measures addressed function or participation. Eight studies met inclusion criteria. Seven studies evaluated effects of specific sensory techniques for children with ASD or attention deficit hyperactivity disorder: Qigong massage, weighted vests, slow swinging, and incorporation of multisensory activities into preschool routines. One study of sensory environmental modifications examined adaptations to a dental clinic for children with ASD. Strong evidence supported Qigong massage, moderate evidence supported sensory modifications to the dental care environment, and limited evidence supported weighted vests. The evidence is insufficient to draw conclusions regarding slow linear swinging and incorporation of multisensory activities into preschool settings. Pfeiffer et al. (2018) drew similar conclusions in their systematic review.
In a systematic review of 3 randomized controlled trials, 1 retrospective review, and 1 single-subject ABA design, Schaal et al. (2018) studied the effects of ASI in children with autism. The authors reported that the evidence is strong that ASI intervention demonstrates positive outcomes for improving individually generated goals of functioning and participation as measured by Goal Attainment Scaling for children with autism. Moderate evidence supported improvements in impairment-level outcomes of improvement in autistic behaviors and skills-based outcomes of reduction in caregiver assistance with self-care activities. Child outcomes in play, sensory-motor, and language skills and reduced caregiver assistance with social skills had emerging but insufficient evidence. This review is limited by the small number of studies, and unknown long-term follow-up.

In a non-randomized controlled trial, Lecuona et al. (2017) investigated the effect of Ayres Sensory Integration® (ASI) on the development of premature infants in the first 12 months of life. A pre-/post-test experimental design was used to randomly divide 24 premature infants from a low socioeconomic setting. Developmental status was determined with the Bayley III Scales of Infant and Toddler Development, the Test of Sensory Functions in Infants and the Infant/Toddler Sensory Profile. Infants were divided into a control and experimental group. The experimental group received 10 weeks of ASI intervention. The authors reported that ASI intervention had a positive effect on the sensory processing and development of premature infants, especially in terms of cognitive, language and motor development. This study is limited by small sample size, lack of long-term follow-up and non-randomization.

A comparative effectiveness review was conducted by Weitlauf et al. (2017) for the Agency for Healthcare Research and Quality (AHRQ) to evaluate the effectiveness and safety of interventions targeting sensory challenges in autism spectrum disorder (ASD). Twenty-four studies were identified including 20 randomized controlled trials (RCTs), 1 nonrandomized trial and 3 retrospective cohort studies. The included studies compared interventions incorporating sensory-focused modalities with alternative treatments or no treatment. The authors concluded that sensory-related outcomes improved in children receiving a sensory integration (SI)-based intervention compared with those receiving usual care or other treatment (low strength of evidence). Motor skills outcomes were improved in children receiving SI-based treatment compared with those receiving usual care or other treatment (low strength of evidence). Studies in the review had small sample sizes and typically limited duration of intervention and follow-up after intervention.

A systematic review which examined the research evidence for SIT and sensory-based intervention (SBI), for children with ASD and sensory processing disorders was conducted by Case-Smith et al (2015). A total of 19 studies were reviewed; 5 examined the effects of sensory integration therapy and 14 examined sensory-based intervention. Two of the five SIT studies were randomized controlled trials (RCTs); one RCT compared SIT to usual care, one compared SIT to a fine motor activity protocol, and one was a case report. Two RCTs found positive effects for SIT on child performance using Goal Attainment Scaling (effect sizes ranging from .72 to 1.62); other studies (Levels III-IV) found positive effects on reducing behaviors linked to sensory problems. Sensory-based interventions are characterized as classroom-based interventions that use single-sensory strategies (weighted vests or therapy balls), to influence a child's state of arousal. The authors concluded that although small RCTs resulted in positive effects for SIT, additional rigorous trials using manualized protocols for SIT are needed to evaluate effects for children with ASDs and sensory processing problems. The studies were small samples, did not use blinded evaluation, examined short-term interventions, and did not examine retention of intervention gains.

Leong et al. (2015) conducted a systematic analysis on the outcomes of 17 single case design studies on SIT for people with, or at-risk of, a developmental or learning disability, disorder or delay. The authors noted that SIT is a controversial intervention that is widely used for people with disabilities. An assessment of the quality of methodology of the studies found most used weak designs and poor methodology. The authors concluded that based on limited comparative evidence, functional analysis-based interventions for challenging behavior were more effective that SIT. They further stated that the studies did not provide convincing evidence for the efficacy of SIT and advise that the use of SIT be limited to experimental contexts.

In a systematic review, Watling and Hauer (2015) evaluated the effectiveness of Ayres Sensory Integration (ASI) and sensory-based interventions (SBIs) for individuals with ASD. The authors describe ASI as a play-based method that uses active engagement in sensory activities to draw out the individual’s adaptive responses and improve their ability to successfully meet environmental challenges. Twenty-three abstracts met the inclusion criteria, 3 of which were systematic reviews and 5 of which were randomized control trials (RCTs). The authors concluded that moderate evidence was found to support the use of ASI and the results for sensory-based methods were mixed. The authors recommended that higher level studies with larger samples, using the fidelity measure in studies of ASI, and using systematic methods in examination of SBIs should be performed.
Pfeiffer et al. (2011) evaluated the effectiveness of sensory integration (SI) interventions in children with ASD. Thirty-seven children (ages 6-12) with ASD were randomly assigned to a fine motor or SI treatment group. Significant improvements were observed, including goal attainment (sensory processing and regulation, functional motor skills, and social-emotional skills), although the effect size was small when rated by parents (0.125) and moderate when rated by teachers (0.360). Autistic mannerisms, measured by a subscale of the Social Responsiveness Scale (SRS), also significantly improved compared with controls, with a small effect size (0.131). No other significant differences were reported in other behavioral measures, such as the Sensory Processing Measure (SPM) or the Vineland Adaptive Behavior Scales, 2nd Edition (VABS-2). No follow-up assessments beyond the study endpoint were conducted. The significance of this study is limited by small sample size and short follow-up period.

Collins et al. (2011) evaluated the effectiveness of a weighted vest for children with difficulty attending to tasks. Ten participants were randomly assigned to an intervention or a control group to compare participants' percentage of time on task with and without a vest. Control group participants wore a non-weighted vest. Participants, classroom teachers, and research assistants who coded the data were blind as to the group to which the participants were assigned. The results of the study indicated that the weighted vests were not effective in increasing time on task. According to the authors, these results should be generalized cautiously owing to the small sample size and participant selection process.

Twenty-seven studies were systematically reviewed to identify, evaluate, and synthesize the research literature on the effectiveness of sensory integration (SI) intervention on the ability of children with difficulty processing and integrating sensory information to engage in desired occupations and to apply these findings to occupational therapy practice. Results suggest the SI approach may result in positive outcomes in sensorimotor skills and motor planning; socialization, attention, and behavioral regulation; reading-related skills; participation in active play; and achievement of individualized goals. Gross motor skills, self-esteem, and reading gains may be sustained from 3 months to 2 years. Findings may be limited by Type II error because of small sample sizes, variable intervention dosage, lack of fidelity to intervention, and selection of outcomes that may not be meaningful to clients and families or may not change with amount of treatment provided. According to the authors, replication of findings with methodologically and theoretically sound studies is needed to support current findings (May-Benson 2010).

Chan et al. (2010) systematically reviewed studies that investigated the effects of multisensory environment in relation to outcomes. One hundred and thirty-two studies were identified from database search of which 17 met the inclusion criteria for review. The evidence supports that participants' had displayed more positive behavior after multisensory therapy sessions. There is no strong evidence supporting that multisensory therapy could help in reducing challenging behavior or stereotypic self-stimulating behavior. According to the authors, this systematic review demonstrates a beneficial effect of multisensory therapy in promoting participants' positive emotions. While the authors acknowledge the difficulty in carrying out randomized controlled trial in people with developmental disabilities and challenging behavior, the lack of trial-derived evidence makes it difficult to arrive at a conclusion of the effectiveness of the multisensory therapy.

Hodgetts et al. (2010) conducted a small, randomized and blinded study measuring the effects of wearing a weighted vest on stereotyped behaviors and heart rate for six children with autism in the classroom. Weighted vests did not decrease motoric stereotyped behaviors in any participant. Verbal stereotyped behaviors decreased in one participant. Weighted vests did not decrease heart rate. Heart rate increased in one participant. According to the investigators, based on this study, the use of weighted vests to decrease stereotyped behaviors or arousal in children with autism in the classroom was not supported.

Lotan et al. (2009) evaluated the therapeutic influence of the Snoezelen approach which is a multisensory intervention approach. Twenty-eight relevant articles relating to individual (one-to-one) Snoezelen intervention with individuals with intellectual and developmental disabilities (IDD) were reviewed. A meta-analysis regarding the significance of the reduction of maladaptive behavior and the enhancement of adaptive behavior was implemented. The authors concluded that weaknesses in the examined research methodologies, the heterogeneity between research designs, the small number of available research projects, and the small number of participants in each research project, prevent a confirmation of this method as a valid therapeutic intervention at this time.

Wuang et al. (2009) compared the effect of sensory integrative (SI) therapy, neurodevelopmental treatment (NDT), and perceptual-motor (PM) approach on children with mild mental retardation. A total of 120 children were randomly assigned to intervention with SI, NDT, or PM; another 40 children served as control participants. All children were assessed with measures of sensorimotor function. After intervention, the treatment groups significantly outperformed the control group on almost all measures. The SI group demonstrated a greater pretest-posttest change on fine motor, upper-limb coordination, and SI
functioning. The PM group showed significant gains in gross motor skills, whereas the NDT group had the smallest change in most measures. Confidence in the conclusions about the efficacy of SI for improvements in sensorimotor function among children with mild mental retardation was reduced by the restricted age range (ages 7 to 8) of the study sample, a nonequivalent control group, differences in the intensity and frequency of home practice sessions, and a lack of long-term follow-up.

A randomized controlled trial conducted by Fazlioglu et al. (2008) examined the effects of a sensory integration (SI) protocol on low-functioning children (ages 7 to 11) with autism. Study participants were randomized to a treatment group (n=15) and a control group (n=15). The control group patients did not participate in SI program, but attended regularly scheduled special education classes. The intervention program used in this study was based on “The Sensory Diet” and included a prescribed schedule of somatosensory stimulation activities targeting 13 behaviors across sensory modalities and motor skills development and conducted in a specially arranged sensory room. The results from the study suggested that sensory integration programs have positive effects on behaviors of children with autism. Study limitations include lack of power analysis to determine if study had enough power to accurately detect differences between treatment and controls and lack of a follow up period.

In a pilot randomized controlled trial by Miller et al. (2007) the effectiveness of occupational therapy using a sensory integration approach was conducted with children who had sensory modulation disorders. Twenty-four children were randomly assigned to one of three treatment groups: occupational therapy using a sensory integration, activity protocol, and no treatment. Pretest and post-test measures of behavior, sensory and adaptive functioning, and physiology were evaluated. Comparisons among the 3 groups showed that the occupational therapy using a sensory integration group made significant gains on goal attainment scaling and on the Attention subtest and the Cognitive/Social composite of the Leiter International Performance Scale-Revised. The occupational therapy using a sensory integration group showed improvement trends in the hypothesized direction on the Short Sensory Profile, Child Behavior Checklist, and electrodermal reactivity. These findings suggest that occupational therapy using a sensory integration may be effective in ameliorating difficulties of children with sensory modulation disorders; however, larger randomized controlled studies are needed to determine whether occupational therapy using sensory integration is an effective intervention.

**Clinical Practice Guidelines**

**American Academy of Pediatrics (AAP)**

The AAP Council on Children with Disabilities published guidelines for the identification, evaluation and management of children with autism spectrum disorders (ASDs). Regarding sensory therapies, the guidelines state that sensory based interventions may be included in the context of motor and behavioral therapies and in educational settings, and the evidence to support the general use of commonly used sensory based interventions is limited. Sensory goals may be included in treatment objectives.

**American Occupational Therapy Association (AOTA)**

In an updated practice guideline for individuals with autism spectrum disorder (Tomchek et al., 2016), the AOTA includes the following as interventions for sensory integration:

- Ayres Sensory Integration (ASI)* to address individualized goal areas with measurement by Goal Attainment Scaling (B-moderate evidence)
- Multisensory activities to improve occupational performance and behavior regulation (B-moderate evidence)
- ASI to improve sleep, adaptive skills, autism features, and sensory processing (C-I-weak/insufficient evidence)
- Multisensory center and non-customized sensory diets to improve occupational performance and behavioral regulation (I- insufficient evidence)
- Sound therapies to improve behavioral regulation (I-insufficient evidence)
- Dynamic seating to improve in-seat and on-task behavior and engagement (I- insufficient evidence)
- Linear movement or tactile input (via surgical brush) to improve learning or behavior (I- insufficient evidence)
- Environmental modifications (i.e., sound-absorbing walls and ceiling with additional halogen lighting) to improve attention behaviors, emotional control, and classroom performance (I- insufficient evidence)
- Weighted vests to support improved behavior or performance in daily life activities (D-not recommended due to ineffectiveness and/or potential harm outweighs the benefits)
Clinical Trials
A clinical trial to test the efficacy of SIT to improve functional skills in children with Autism Spectrum Disorders (ASD) compared to commonly applied behavioral treatments is currently recruiting. (NCT02536365).

Auditory Integration Training (AIT)
The Agency for Healthcare Research and Quality (AHRQ) published an updated comparative review on interventions targeting sensory challenges in children with autism spectrum disorder (ASD). Inclusion criteria were studies comparing interventions incorporating sensory-focused modalities with alternative treatments or no treatment, and inclusion of at least 10 children with ASD ages 2–12 years. The authors extracted and summarized data qualitatively because of the significant heterogeneity, as well as the strength of evidence (SOE). In regard to auditory integration–based approaches which included evidence in 4 small RCTs (2 moderate and 2 high risk of bias), they concluded that these did not improve language outcomes (low SOE) (Weitlauf et al., 2017).

In a guidance document for the support and management of autism spectrum disorder in patients under 19 years of age, NICE states that auditory integration training to manage speech and language problems in children and young people with autism should not be used. (2013)

Sokhadze et al. (2016) conducted a study using Berard’s technique of auditory integration training (AIT) to improve sound integration in children with autism. It was proposed that exposure to twenty 30-min AIT sessions (total 10 h of training) would result in improved behavioral evaluation scores, improve profile of cardiorespiratory activity, and positively affect both early [N1, mismatch negativity (MMN)] and late (P3) components of evoked potentials in auditory oddball task. Eighteen children with autism spectrum disorder (ASD) participated in the study. A group of 16 typically developing children served as a contrast group in the auditory oddball task. The study reflected a linear increase of heart rate variability measures and respiration rate. Comparison of evoked potential characteristics of children with ASD versus typically developing children revealed several group difference findings, more specifically, a delayed latency of N1 to rare and frequent stimuli, larger MMN: higher P3a to frequent stimuli, and at the same time delayed latency of P3b to rare stimuli in the autism group. Parental questionnaires demonstrated improvements in behavioral symptoms such as irritability, hyperactivity, repetitive behaviors and other important behavioral domains. The authors concluded that the results of the study propose that more controlled research is necessary to document behavioral and psychophysiological changes resulting from Berard AIT and to provide explanation of the neural mechanisms of how auditory integration training may affect behavior and psychophysiological responses of children with ASD. The findings of this study need to be validated by larger, well-designed studies.

Sinha et al. (2011) conducted a systematic review to evaluate AIT and included 6 randomized controlled trials (RCTs) with 171 autistic individuals. Three RCTs did not demonstrate the benefit of AIT over control conditions. The remaining trials identified improvements at 3 months for the AIT group based on improvements of total mean scores for the Aberrant Behavior Checklist, which is of questionable validity. There were no reported significant adverse effects of AIT. The reviewers concluded that more research is needed to determine the effectiveness of AIT for autism.

Clinical Practice Guidelines
American Academy of Audiology (AAA)
A 2010 position statement by the AAA Task Force on Auditory Integration Training (AIT) concludes that AIT (by any name) is investigational. The Academy believes that prospective, systematic research of this technique is needed to demonstrate its efficacy. (Spangler et al., 2010)

American Speech-Language-Hearing Association (ASHA)
The ASHA prepared an evidenced-based technical report regarding AIT (ASHA, 2004). They noted that, despite approximately one decade of practice, this method has not met scientific standards for efficacy and safety that would justify its inclusion as a mainstream treatment for a variety of communication, behavioral, emotional and learning disorders.

U.S. Food and Drug Administration (FDA)
This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.
The equipment used for sensory integration therapy and auditory integration training is not considered medical in nature, and therefore not regulated by the FDA.

References


Baltazar A. The American Occupational Therapy Association (AOTA), Inc. Addressing sensory integration and sensory processing disorders across the lifespan: the role of occupational therapy. 2015.


Policy History/Revision Information

<table>
<thead>
<tr>
<th>Date</th>
<th>Summary of Changes</th>
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<tbody>
<tr>
<td>11/01/2022</td>
<td>• Updated list of related policies to reflect title change for the Medicare Advantage Coverage Summary titled Rehabilitation: Cardiac and Medical (previously titled Rehabilitation: Medical Rehabilitation (OT, PT and ST, Including Cognitive Rehabilitation))</td>
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<tr>
<td>12/01/2021</td>
<td><strong>Supporting Information</strong></td>
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<tr>
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<td>• Updated Description of Services, Clinical Evidence, and References sections to reflect the most current information</td>
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<td>• Archived previous policy version 2020T0314T</td>
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Instructions for Use

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the member specific benefit plan document must be referenced as the terms of the member specific benefit plan may differ from the standard plan. In the event of a conflict, the member specific benefit plan document governs. Before using this policy, please check the member specific benefit plan document and any applicable federal or state mandates. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

This Medical Policy may also be applied to Medicare Advantage plans in certain instances. In the absence of a Medicare National Coverage Determination (NCD), Local Coverage Determination (LCD), or other Medicare coverage guidance, CMS allows a Medicare Advantage Organization (MAO) to create its own coverage determinations, using objective evidence-based rationale relying on authoritative evidence (Medicare IOM Pub. No. 100-16, Ch. 4, §90.5).

UnitedHealthcare may also use tools developed by third parties, such as the InterQual criteria, to assist us in administering health benefits. UnitedHealthcare Medical Policies are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.