Sublingual Immunotherapy

Policy Number: 2021T0603C
Effective Date: October 1, 2021

Coverage Rationale

Sublingual liquid immunotherapy or non-Food and Drug Administration (FDA) approved sublingual allergen extract tablets for the treatment of any condition/disease, including but not limited to allergic rhinitis and allergic rhinoconjunctivitis, are unproven and not medically necessary due to insufficient evidence of efficacy and safety.

Note: This policy does not apply to FDA approved sublingual allergen extract tablets.

Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by the member specific benefit plan document and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

Coding Clarification: CPT 95115 or 95117 should not be reported when administering sublingual immunotherapy; these CPT codes are specific to subcutaneous administration. The unlisted CPT code 95199 should be used when sublingual immunotherapy is provided in the office/ambulatory setting and furnished by a physician or other qualified health care practitioner.

Note: Certain prescription drugs require an authorization for coverage to ensure that appropriate treatment regimens are followed and may be covered under the pharmacy benefit plans. Medical drug coding and diagnosis codes, however, are generally not required for pharmacy claims submissions, therefore, these codes apply only used when sublingual immunotherapy is provided in the office/ambulatory setting and furnished by a physician or other qualified health care practitioner.
### Description of Services

Subcutaneous injection of allergen-specific immunotherapy (SCIT) is the standard approach for treating allergies. Due to the inconvenience of multiple injections, particularly in children, alternative delivery routes have been investigated; of these, sublingual immunotherapy (SLIT) is the most prominent.

Sublingual immunotherapy (SLIT, SIT) has been studied as a treatment for patients with allergic rhinitis (AR) and asthma associated with sensitivity to seasonal allergens such as grass and pollen, and to other allergens such as dust mites, mold, pet dander, or nuts. SLIT involves the administration of a diluted dose of an allergen in the form of a liquid or a tablet under the tongue, which allows the allergen to contact the oral mucosa. Generally, patients are instructed to hold the drops or tablet under the tongue for approximately 30 seconds and to repeat this treatment up to 3 times daily. This practice is thought to desensitize the patient to the allergen, as would conventional immunotherapy by injection (Hayes, 2015).

### Benefit Considerations

Sublingual Immunotherapy (SLIT) is typically covered under pharmacy benefit plans. Certain prescription drugs require an authorization for coverage to ensure that appropriate treatment regimens are followed.

### Clinical Evidence

Off-label use of sublingual drops prepared from commercial allergen extracts is widely practiced in the United States (U.S.). Commercial aqueous extract products are not FDA approved for sublingual administration, and these have not been rigorously studied in double-blind placebo-controlled studies. Thus, effective and safe dose ranges have not been characterized for commercial aqueous allergen extracts (marketed for SCIT) used in the preparation of nonapproved SLIT drops. Because of insufficient clinical data, use of aqueous SLIT formulations have not been endorsed by the American Academy of Allergy, Asthma & Immunology/American College of Allergy, Asthma & Immunology Joint Task Force (Mahler et al., 2019).

A Hayes Health Technology Assessment (2021) reviewed five double-blind, randomized, placebo-controlled trials that evaluated liquid SLIT for the treatment of allergic rhinitis or rhinoconjunctivitis that were conducted in the United States (US). No systematic review / meta-analyses specific to liquid SLIT for treatment of allergic rhinitis in the US were identified. The report noted that the studies had mixed results for patient-reported symptom and medication scores with two studies indicating SLIT therapy had better patient reported symptoms, and two studies showing no difference between SLIT and placebo. The authors stated that the body of evidence was small in size and low in quality. The report acknowledged that the evidence was limited to double-blind RCTs conducted in the US. The report concluded that liquid SLIT has potential but unproven benefit for adults in the US for treatment of allergic rhinitis and insufficient published evidence to assess safety and efficacy in children for treating allergic rhinitis.

In an updated Cochrane review assessing the safety and efficacy of SLIT for adults and children with asthma, Fortescue (2020) et al. reviewed sixty-six studies (including the original fifty-two included in a 2015 Cochrane review) with a combined 7944 participants. Twenty-three studies recruited adults and teenagers, thirty-one recruited children, three recruited both, and nine studies did not specify. The trials recruited primarily mixed populations with mild and intermittent asthma and/or rhinitis. The pattern of reporting and results remained largely unchanged from the original review despite 14 further studies. The authors noted that participant attrition was high or unknown in approximately half of the studies and approximately 25% of the studies were at high risk of performance or detection bias (or both). Primary outcome in most studies did not focus on asthma exacerbations requiring an emergency department visit or hospital visit nor did they focus on asthma or rhinitis symptoms. In combined analyses, adverse events were more frequent with SLIT than among controls, and no clear benefits SLIT were
observed on the selected outcomes of interest. The authors concluded that the evidence for outcomes such as exacerbations and quality of life remains too limited to make conclusions regarding the safety and efficacy of SLIT for people with asthma.

Scadding and colleagues (2017) conducted a randomized double-blind, placebo-controlled, 3-parallel-group study known as the GRASS trial to assess whether 2 years of treatment with grass pollen tablet SLIT, compared with placebo, provides improved nasal response to allergen challenge at 3-year follow-up. Adults (N=106) with moderate to severe seasonal AR (interfering with usual daily activities or sleep) were included with study groups divided as follows: 36 participants received 2 years of SLIT (daily tablets containing 15 µg of major allergen Phleum p 5 and monthly placebo injections), 36 received subcutaneous immunotherapy (monthly injections containing 20 µg of Phleum p 5 and daily placebo tablets) and 34 received matched double-placebo. Nasal allergen challenge was performed before treatment, at 1 and 2 years of treatment, and at 3 years (1 year after treatment discontinuation). Primary outcome was total nasal symptom scores (TNSS) comparing SLIT vs placebo at year 3. Subcutaneous immunotherapy was included as a positive control. The study was not powered to compare SLIT with subcutaneous immunotherapy. At 3 years, 92 individuals completed the study. Researchers concluded that among patients with moderate to severe seasonal AR, 2 years of sublingual grass pollen immunotherapy was not significantly different from placebo in improving the nasal response to allergen challenge at 3-year follow-up.

A comparative cohort study by Zhong and colleagues (2018) examined the safety and efficacy of SLIT in house dust mite (HDM)-induced allergic asthma (AA) in 134 adult patients. Subjects were divided into the SLIT group (N=85) and the control group (N=49). All were treated with low to moderate dose of inhaled glucocorticoid and long acting β2 agonists. Patients in the SLIT group were further treated with D. farinae drops. Clinical scores including the total asthma symptom score (TASS), total asthma medicine score (TAMS), asthma control test (ACT), and peak flow percentage (PEF%) were assessed before treatment and at yearly visits. Adverse events (AEs) were recorded on a monthly basis. Before treatment, the PEF% in the SLIT group was significantly lower than that in the control group. After 2 years, both treatments were effective in the clinical scores when compared with baseline values. Meanwhile, the SLIT group showed significantly lower TASS and TAMS and higher ACT and PEF when compared with the control group. No severe systemic AEs were reported. Authors concluded that SLIT with D. farinae drops plus pharmacotherapy is more effective than routine drug treatment in adult patients with AA. The study is limited by lack of randomization and lack of masking.

In a systematic review, Rice et al (2018) examined the efficacy and safety of subcutaneous immunotherapy (SCIT) and sublingual immunotherapy (SLIT) in pediatric allergic asthma. Forty studies were extracted; 17 of which were SCIT trials, 11 SLIT trials, 8 non-RCTs for SCIT safety, and 4 non-RCTs for SLIT safety. The authors found low-strength evidence that SLIT improves medication use and force expiratory volume in 1 second.

A systematic review of immunotherapy for asthma identified 18 randomized controlled trials on the efficacy of sublingual immunotherapy and concluded that sublingual immunotherapy is associated with improved asthma symptoms, disease-specific quality of life, medication use, and pulmonary function. The authors noted several limitations to the available data, e.g., uncertainty about whether changes in asthma symptom scores were clinically meaningful, lack of statistically significant differences in pulmonary function or quality of life between treatment and placebo arms. The authors also concluded that there was insufficient evidence about the efficacy of SLIT in children (Lin, et al. 2018).

A retrospective, secondary analysis of pooled data from 2 prospective placebo RCTs was conducted by Jerzynska et al. The goal was to identify any differences in symptom-medication scores between two groups of SLIT tablets and drops, given pre-seasonally (starting 8 weeks before the pollen season) in 41 children (ages 6-18 years) with AR sensitive to grass pollen. Treatment with both tablets and drops similarly and significantly reduced all symptoms (nasal, asthma, and ocular) within each group. When compared with the tablet therapy, there was a trend for drops therapy to be more effective in the reduction of combined symptom-medication score, but the difference was not statistically significant. The authors concluded that both protocols showed similar decreases in symptom-medication scores; however, when compared with tablet therapy, there was a trend for drops therapy to be more effective in the reduction of combined symptom-medication score (2018). The study is limited by lack of placebo comparison group, lack of randomization, and lack of masking.

The efficacy and safety of SLIT with D. farinae drops along with pharmacotherapy were evaluated in 2 retrospective case series. Subjects with AR totaled 855 with ages ranging from 2-69 years. The TNSS, total medication score (TMS), and visual analogue score (VAS) were significantly improved at 2 years (Tang et al., 2018) and 3 years (Lin et al., 2017), and no severe systemic AEs were reported. Researchers concluded that SLIT with D. farinae drops is clinically effective and safe in treating AR in both children and adults, including very young children less than 4 years old. The findings are limited by lack of comparison group.
Creticos and colleagues conducted a phase 3, placebo RCT to determine the efficacy and tolerability of standardized glycerinated short ragweed sublingual allergen immunotherapy liquid (RW-SAIL) extract in subjects with ragweed-related allergic rhinoconjunctivitis (ARC). Subjects (ages 18-55 years) with or without asthma were selected based on ARC symptom severity and erythema skin prick reaction to short ragweed. Subjects self-administered the maximum tolerated dose of RW-SAIL (N=218) or placebo (N=211) daily beginning approximately 8 to 16 weeks before and through the end of the ragweed pollen season. The primary end point was subject-assessed total combined daily rhinoconjunctivitis symptom and medication scores (TCS). During the entire season, there was a 43% decrease in TCS in the RW-SAIL group compared with placebo. Similar decreases were observed in TCS between the 2 groups during peak season (42%) and in daily symptom scores during the entire (42%) and peak (41%) seasons. Occurrences of AEs were similar between the treatment groups, and most were mild in severity. Treatment-related oromucosal local application site reactions occurred early and were transient and self-limited. No anaphylaxis occurred. Researchers concluded that once-daily SLIT-liquid administered to individuals with ragweed allergy is well tolerated and can result in highly significant clinical improvements in seasonal symptoms and rescue medication use. Further studies are needed to fully evaluate these effects (2014).

Wahn et al. (2012) conducted a randomized, double-blind, placebo-controlled trial investigating efficacy and safety of high-dose SLIT in children allergic to grass pollen. Subjects (N=207, ages 4-12 years) with grass pollen-AR/ARC with/without bronchial asthma (Global Initiative for Asthma I/II) received either high-dose grass pollen SLIT or placebo daily for 1 pre/co-seasonal period. The primary end point was the change of the area under the curve of the symptom-medication score (SMS) from the baseline season to the first season after start of treatment. Secondary outcomes were well days, responders, immunologic changes, and safety. Mean changes in the area under the curve of the SMS as well as the number of well days were greater in the active group. Changes in allergen specific IgE and IgG levels indicated a significant immunologic effect. The treatment was well tolerated, and no serious treatment-related events were reported. The authors concluded that this SLIT preparation significantly reduced symptoms and medication use in this patient population. The preparation showed significant effects on allergen-specific antibodies, was well tolerated, and appeared to be a valid therapeutic option in children allergic to grass pollen (NCT00841256).

**Clinical Practice Guidelines**

**National Heart, Lung, and Blood Institute (NHLBI) of the National Institutes of Health (NIH)**

In the 2020 focused updates to the Asthma Management Guidelines, the National Asthma Education and Prevention Program Coordinating Committee Expert Panel Working Group explored the efficacy and safety of the use of SLIT for the treatment of allergic asthma. The Expert Panel found that the evidence for SLIT provided minimal benefit for the critical outcomes of exacerbations, asthma control and quality of life. They did not find any studies that assessed the impact of SLIT on ED visits, clinic visits or hospitalizations although they did note that SLIT reduced the use of quick-relief medications and doses of inhaled corticosteroids in individuals with persistent allergic asthma.

Based on their review, the Expert Panel conditionally recommends against the use of sublingual immunotherapy in asthma treatment on the basis of currently available data for individuals with persistent allergic asthma. The Expert Panel did recommend that SLIT is beneficial for allergic rhinoconjunctivitis. In an individual with allergic asthma SLIT for allergic rhinoconjunctivitis might reduce the symptoms of allergic asthma as well which is why the Expert Panel made their recommendation conditional for those with persistent allergic asthma. For individuals whose allergic asthma symptoms benefit from SLIT for allergic rhinoconjunctivitis, the Expert Panel made the following two suggestions.

- Currently, only tablet SLIT formulations for short ragweed and dust mite mixture and for northern grass have FDA approval for treatment of allergic rhinitis with and without conjunctivitis. SLIT is not FDA approved specifically for asthma treatment.
- The clinician should administer the first dose of SLIT in the office, and the individual with asthma should wait in the office for at least 30 minutes after receiving the dose. If no problems develop, the individual may continue the SLIT dosing at home. Individuals receiving SLIT should ideally have an injectable epinephrine prescription and receive education on how to administer this medication.

**American Academy of Otolaryngic Allergy Foundation**

In 2018, a consortium of healthcare providers published an International Consensus Statement on Allergy and Rhinology: Allergic Rhinitis (ICAR:AR) funded by the American Academy of Otolaryngic Allergy Foundation. The ICAR:AR document addressed over 100 individual topics related to AR, including diagnosis, pathophysiology, epidemiology, disease burden, risk factors for the development of AR, allergy testing modalities, treatment, and other conditions/comorbidities associated with AR.
The process included a systematic review of the literature. In regard to SLIT, the reviewers examined the grades of clinical evidence on 25 studies. The group concluded with a strong recommendation that SLIT should be administered in patients who wish to reduce their symptoms and their medication use (Wise, et al.).

**American Academy of Allergy, Asthma and Immunotherapy (AAAAI)**

In a practice parameter on SLIT, the AAAAI states that alternative regimens and preparations for SLIT (e.g., liquid) have been proposed and may be used off label in the U.S. However, these products and formulations do not have FDA approval at present and have not been systematically studied in a rigorous manner in U.S. populations. Use of such products or formulations as prescribed SLIT therapy is currently off-label, at a practitioner’s discretion and liability, and is without recommendation for any current particular indication in the U.S. populations. Therefore, off label use of aqueous SLIT extracts or any other non-FDA approved SLIT formulation is not endorsed. (Strength of Recommendation: Strong; Evidence: D). (Cox et al., 2011).

Each particular aqueous SLIT formulation must independently demonstrate a safe and effective dosing regimen for a particular indication. Despite a lack of FDA-approved aqueous SLIT formulations, an AAAAI survey suggests U.S. aqueous SLIT prescriptions among respondents increased from 5.9% to 11.4% between 2007 and 2011, and 86% of respondents reported prescribing commercially available SCIT extracts for off-label use as SLIT (Greenhawt et al., 2017).

**American Academy of Otolaryngology - Head and Neck Surgeons (AAO-HNS)**

In a clinical practice guideline on AR, the AAO-HNS notes that there are no U.S. practice guidelines specifically addressing the dosing of aqueous SLIT, which is not standardized (Seidman et al., 2015).

**World Allergy Organization**

In 2013, the World Allergy Organization updated its position paper on SLIT. Evidence-based conclusions included:

- Grass-pollen SLIT is effective in seasonal allergic rhinitis in children 5 years of age or older and probably effective in children as young as 4 years of age.
- Grass or house dust mite SLIT may be used for allergic rhinitis in children with asthma, although more large, randomized trials are needed.
- Although SLIT for latex allergy, atopic dermatitis, food allergy, and hymenoptera venom is under investigation, more evidence is needed to support the use of SLIT for these indications.
- Patients eligible for SLIT should have a history of symptoms related to allergen exposure and a documented positive allergen specific IgE test.
- SLIT may be considered as initial treatment, particularly for patients whose allergy is uncontrolled with optimal pharmacotherapy (i.e., those who have severe chronic upper airway disease); patients intolerant of injections or adverse effects of pharmacotherapy; or patients who do not want to be on constant or long-term pharmacotherapy.
- Failure of pharmacotherapy is not an essential prerequisite for SLIT.

**U.S. Food and Drug Administration (FDA)**

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

There are currently no FDA-approved sublingual liquid immunotherapy formulations.

The FDA has approved several sublingual allergen extract tablets for Odactra®, Oralair®, Grastek®, and Ragwitk®. Not all sublingual allergen extract tablets are FDA-approved. To confirm FDA approval, see the following website for more information and search by product name: [http://www.fda.gov/BiologicsBloodVaccines/Allergenics/default.htm](http://www.fda.gov/BiologicsBloodVaccines/Allergenics/default.htm). (Accessed July 19, 2021)

**References**


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<td>10/01/2021</td>
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<td>Replaced language indicating “sublingual immunotherapy (SLIT) is typically covered under pharmacy benefit plans” with “certain prescription drugs may be covered under the pharmacy benefit plans”</td>
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Supporting Information

- Updated Clinical Evidence and References sections to reflect the most current information
- Archived previous policy version 2020T0603B
Instructions for Use

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the member specific benefit plan document must be referenced as the terms of the member specific benefit plan may differ from the standard plan. In the event of a conflict, the member specific benefit plan document governs. Before using this policy, please check the member specific benefit plan document and any applicable federal or state mandates. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

This Medical Policy may also be applied to Medicare Advantage plans in certain instances. In the absence of a Medicare National Coverage Determination (NCD), Local Coverage Determination (LCD), or other Medicare coverage guidance, CMS allows a Medicare Advantage Organization (MAO) to create its own coverage determinations, using objective evidence-based rationale relying on authoritative evidence (Medicare IOM Pub. No. 100-16, Ch. 4, §90.5).

UnitedHealthcare may also use tools developed by third parties, such as the InterQual® criteria, to assist us in administering health benefits. UnitedHealthcare Medical Policies are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.