

**Community Plan** 

## UnitedHealthcare<sup>®</sup> Community Plan **Coverage Determination Guideline**

## SPEECH LANGUAGE PATHOLOGY SERVICES

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Guideline Number: CS112.0

Effective Date: August 1, 2019

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Instructions for Use (i)

#### **Related Community Plan Policies Cochlear Implants** Durable Medical Equipment, Orthotics, Ostomy Supplies, Medical Supplies and **Repairs/Replacements**

Skilled Care and Custodial Care Services

#### Commercial Policy

Speech Language Pathology Services

#### **Related Optum Policy**

Intensive Behavioral Therapy (IBT)/Applied • Behavior Analysis (ABA) for Autism Spectrum Disorders

#### APPLICATION

This policy only applies to the following states:

Effective Date	State
08/01/2019	Hawaii, Maryland, Michigan, New Jersey, Ohio, Rhode Island, Virginia
09/01/2019	California, Kansas, Washington, Wisconsin
09/15/2019	Nebraska
10/01/2019	Arizona, New York, Pennsylvania

This policy does not apply to the states of Florida, Louisiana, Massachusetts, Mississippi, Missouri, Tennessee, and Texas.

- For the state of Florida, refer to the Utilization Review Guidelines titled:
  - Outpatient Speech, Occupational and Physical Therapy Services (for Florida Only) 0
  - Outpatient Speech, Occupational and Physical Therapy Site of Care (for Florida Only)
- For the state of Tennessee, refer to the Coverage Determination Guideline titled Speech Language Pathology Services (for Tennessee Only).

#### COVERAGE RATIONALE

The coverage rationale for this policy contains the following sections:

- Indications for Coverage
- Sites of Service
- Restorative Therapy/Rehabilitation Services •
- Aural Rehabilitation (includes Speech and Language Therapy) .
- Early Childhood Intervention (ECI) and State/School-Based Services •
- **Required Documentation** .
- Visit Guidelines .
- **Discharge Criteria**
- Additional Considerations
- **Coverage Limitations and Exclusions**

## Indications for Coverage

## Benefit Interpretation

# Speech and Language Therapy for the treatment of disorders of speech, language, voice, communication and auditory processing are covered when the disorder results from:

- Autism spectrum disorders
- Cancer
- Congenital Anomaly (including but not limited to the following):
  - Downs syndrome
  - Cleft palate
- Injury (including but not limited to the following):
  - Otitis media resulting in hearing loss documented by testing (such as audiogram or notes of such testing)
  - Vocal cord injuries (e.g., edema, nodules, polyps)
  - o **Trauma**
  - Cerebral palsy
  - Static encephalopathy
- Stroke

# Services of a Speech-Language Pathologist or other licensed healthcare professional acting within the scope of his/her licensure to treat the above disorders may be covered when:

- Services must be ordered by a physician and be Medically Necessary for the member's plan of care.
- There is a need for the supervision of a licensed therapist for Speech–Language Therapy, swallowing or feeding Rehabilitative or Restorative Therapy services.
- The Speech and Language Therapy will correct or improve a Functional or Physical Impairment.
- The services are part of a plan of care with documented goals for functional improvement of the individual's condition, e.g., speech, articulation, swallowing or communication with or without alternative methods.
- The teaching of an individual and/or caregiver is required to strengthen muscles, improve feeding techniques or improve speech-language skills to progress toward the documented treatment plan goals. Once the individual and/or caregiver are trained, the services are no longer skilled, therefore custodial, and not a covered health service. Refer to the Coverage Determination Guideline titled <u>Skilled Care and Custodial Care Services</u>.
- Mandated benefits (federal and state) for Speech and Language Therapy. Examples may include Developmental Delay, autism, cleft palate and/or lip, aphasia.
- The services are not Duplicate Services of another service provided concurrently by any other type of therapy (such as physical therapy and occupational therapy), and must provide different treatment goals, plans, and therapeutic modalities.

### Sites of Service

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## Sites of service for outpatient Speech and Language Therapy procedures must be Medically Necessary, including cost effective, as defined below.

## An outpatient hospital site of service for outpatient therapy is considered Medically Necessary for an individual who meets ANY of the following conditions:

- Part of an annual or semi-annual Comprehensive Care Management assessment clinic visit for:
  - Cleft lip and palate
  - Paraplegia
  - Post cochlear implant
  - o Quadriplegia
  - Traumatic brain injury
  - Medical complications related to the following:
  - o Immediately following amputation
  - Major multiple trauma
  - Post-acute stroke
  - Severe burn injury

The following will be taken into account to determine whether the Speech and Language Therapy sessions are covered in an outpatient hospital:

- State Medicaid contract;
- Applicable federal and/or state requirements;
- Geographic availability of an in network provider; and
- Free standing clinic/facility capability to accommodate all Medically Necessary services

## For Medical Necessity Clinical Coverage Criteria

See the MCG<sup>TM</sup> Care Guidelines, 23<sup>rd</sup> edition, 2019.

## **Restorative Therapy/Rehabilitation Services**

Speech and Language Therapy for feeding and/or Swallowing Disorders for the purposes of Restorative Therapy/Rehabilitation services must contain the following findings:

- Evaluation results must address one or more of the following deficits:
- Coughing and choking while eating or drinking
- Coughing, choking or drooling with swallowing
- Wet-sounding voice
- $\circ$   $\;$  Changes in breathing when eating or drinking
- $\circ$   $\;$  Known or suspected aspiration pneumonia
- $_{\odot}$   $\,$  Masses on the tongue, pharynx or larynx
- Muscle weakness, or myopathy, involving the pharynx
- Neurologic disorders likely to affect swallowing
- Medical issues that affect feeding, swallowing, and nutrition
- Oral motor deficit that significantly interferes with feeding leading to difficulty gaining weight or severely restricted diet
- These findings must be indicated through one or more of the following:
  - Video fluoroscopic swallowing exam (VFSE), also sometimes called a modified barium swallow exam (MBS)
  - Fiber optic endoscopic evaluation of swallowing (FEES)
  - Clinical feeding and swallowing evaluation
- When aspiration is suspected, a VFSE, MBS, or FEES should be performed

#### Aural Rehabilitation (includes Speech and Language Therapy)

Aural Rehabilitation therapy provided by a Speech-Language Pathologist is covered:

- When the member demonstrates one of the following:
  - The presence of any degree or type of hearing loss on the basis of the results of an audiologic (aural) rehabilitation evaluation; or
  - The presence of impaired or compromised auditory processing abilities on the basis of the results of a central auditory test battery administered by an audiologist. Note: Aural rehabilitation may be provided to a member with a central auditory processing disorder if an audiologist has established a diagnosis of central auditory processing disorder

#### and

- The member has one or more of the following:
- Hearing loss (any type) with a pure tone average greater than 25db in either ear; or
- Standard score more than one (1) SD (standard deviation) below normal for chronological age on standardized tests of language, audition, speech, or auditory processing which must be documented on the basis of the results of a central auditory test battery; or
- Less than one (1)-year gain in skills (auditory, speech, processing) during a period of 12-calendar months

### Early Childhood Intervention (ECI) and State/School-Based Services

### Children Under Age 3

Federal Early Periodic Screening, Diagnostic, and Treatment (EPSDT) and/or disability regulations may provide certain Speech and Language Therapy Services to children under three years of age pursuant to the needs documented in an Individualized Family Service Plan (IFSP). States have a responsibility to implement these requirements and are given broad flexibility in how to implement them.

- An IFSP may identify certain Speech and Language Therapy Services as a needed by a child. For members who are eligible for an IFSP under applicable law, **all** of the following apply:
  - A request for Speech and Language Therapy Services must include either: (1) a written attestation stating that the member has not been evaluated for an IFSP; or (2) a copy of the IFSP
  - A request for Speech and Language Therapy Services that circumvents or attempts to circumvent those Speech and Language Therapy Services identified in the IFSP and which is authorized by an applicable state entity shall not be authorized (Note: Requesters will be directed back to the applicable state entity as appropriate)
  - A request for Speech and Language Therapy Services may be denied if the request duplicates the goals identified in the member's IFSP and is authorized by an applicable state entity. There are some members due to the significance of their medical condition who could need services both from ECI as well as through UHC because they are not addressing duplicate goals
  - A member who does not have an IFSP in place, but whose goals and assessment appear to be such that the services would be covered under an IFSP, will be referred to the local area agency to obtain the requested services

## Children Age 3 and Up Until the Child's 21st Birthday

Federal EPSDT and/or disability regulations require the development and implementation of an Individualized Education Program (IEP) that addresses the developmental needs of each child with a disability ages 3 through 21. States have a responsibility to implement these requirements and are given broad flexibility in how to implement them.

- An IEP may identify certain Speech and Language Therapy Services as a needed by a child. For members who are eligible for an IEP under applicable law, **all** of the following apply:
  - A request for Speech and Language Therapy Services must include either: (1) a written attestation stating that the member has not been evaluated for an IEP; or (2) a copy of the member's IEP
  - A request for Speech and Language Therapy Services that circumvents or attempts to circumvent those Speech and Language Therapy Services identified in the member's IEP and which is authorized by an applicable school/state entity shall not be authorized (Note: Requesters will be directed back to the applicable school/state entity as appropriate)
  - If there is no relationship between the child and an applicable school/state entity, reasonable support may be provided to the requester to coordinate services
  - A request for Speech and Language Therapy Services may be denied if the request duplicates services identified in the member's IEP and is authorized by an applicable state/school entity
  - A member who has not been evaluated for an IEP, but whose goals are related to skills that are routinely taught as part of a school curriculum will be deemed educational in nature, rather than Medically Necessary, and the member will be referred to the applicable school/state entity to obtain the requested services

### **Required Documentation**

## Hearing Screening

For members under 6 years of age:

- Documentation of a hearing screening per the member's EPSDT periodicity schedule
  - In the case of behavioral issues or the inability to participate in the hearing screen, an objective description of the behavioral issues and/or inability to participate in the hearing screen along with a statement as to why a hearing deficit is not suspected should be included
  - In the case of suspected hearing deficit, a referral to an audiologist or physician who is experienced with the pediatric population and who offers auditory services would be appropriate. Documentation of such a referral should be included in in the clinical documentation submitted

### Initial Therapy Evaluation/Initial Therapy Visit Requests

A physician referral and a copy of the well child check or intermediary physician visit documenting the need for the Speech and Language Therapy evaluation must be on file prior to the completion of the evaluation. The initial evaluation and the first therapy session should not be done on the same day. This is to allow the therapist time to develop a plan of care and for the physician to review the proposed plan of care. The therapy evaluation report must include **all** of the following:

- A statement of the member's medical history, relevant review of systems, onset date of the Illness, Injury, or exacerbation and any prior therapy treatment; and
- A description of the member's Functional Impairment including its impact on their health, safety, and/or independence; and
- A comparison prior level of function to current level of function, as applicable; and
- A clear diagnosis including the appropriate ICD-10 code; the ICD-10 code listed must be consistent with the clinical documentation; and
- Reasonable prognosis, including the member's potential for meaningful and significant progress; and
- Baseline objective measurements (current versions of Standardized Assessments), including a description of the member's current deficits and their severity level which include:
  - Current Standardized Assessment scores, age equivalents, percentage of functional delay, criterion-referenced scores and/or other objective information as appropriate for the member's condition or impairment
  - Standardized Assessments administered must correspond to the delays identified and relate to the long- and short-term goals
  - Standardized Assessments results will not be used as the sole determinant as to the Medical Necessity of the requested initial therapy visit
    - If the member has a medical condition that prevents them from completing Standardized Assessment(s), the therapist must provide in-depth objective clinical information using task analysis to describe the member's deficit area(s) in lieu of Standardized Assessments
    - The therapist should include checklists, caregiver reports or interviews, and clinical observation
  - Articulation and language screeners will not be accepted in lieu of Standardized Assessment(s). Vocabulary tests should not be used to establish eligibility for a receptive and expressive language delay;

and

- Evaluation reports must include documentation of collaboration with early intervention, head start, and public school programs as applicable. The following information must be submitted with the pre-certification request:
  - A copy of the member's current Individualized Family Service Plan (IFSP) or individualized education plan (IEP)
  - If the current IEP is not available, the requesting therapist must include a description of the goals and objectives from both therapists

### **Plan of Care**

The initial authorization for therapy must also include a plan of care (POC). The POC must be signed and dated by the referring provider (PCP) (MD, DO, PA or NP) or appropriate specialist. Providers must develop a member's POC based on the results of the evaluation. The POC must include **all** the following:

- Functional limitations
- Short and long-term therapeutic goals and objectives:
  - o Treatment goals should be specific to the member's diagnosed condition or Functional or Physical Impairment
  - o Treatment goals must be functional, measureable, attainable and time based
  - Treatment goals must relate to member-specific functional skills. Treatment goals written with targets set for achievements specific to standardized testing benchmarks will not be accepted.
- Treatment frequency, duration, and anticipated length of treatment session(s)
- Therapeutic methods and monitoring criteria

### **Bilingual and Multilingual Speakers**

Bilingual and multilingual speakers are frequently misclassified as developmentally delayed. Equivalent proficiency in both languages should not be expected. Members with exposure to more than 1 language must receive culturally and linguistically adapted norm referenced standardized testing in all languages the child is exposed to in order to compare potential deficits. For Speech and Language Therapy services to be Medically Necessary for a member who is a minority language speaker, **all** of the following criteria must be met:

- All speech deficits must be present in the language in which the members has the highest proficiency
- All language deficits must be present in the language in which the member has the highest proficiency
- Delivery of services must be in the language in which the member has the highest receptive language proficiency

### Feeding and Swallowing Disorders

For feeding and swallowing evaluations, all of the following must be submitted:

- Interview/case history
- Medical/clinical records including the potential impact of medications, if any
- Physical examination
- Previous screening and assessments
- Collaboration with physicians and other caregivers
- During assessment, Speech-Language Pathologist's determine whether the member is an appropriate candidate for treatment and/or management; this determination is based on findings that include medical stability, cognitive status, nutritional status, and psychosocial, environmental, and behavioral factors
- Assessment must result in one or more of the following outcomes:
  - o Description of the characteristics of swallowing function, including any breakdowns in swallow physiology
  - Diagnosis of a Swallowing Disorder
  - o Determination of the safest and most efficient route (oral vs. non-oral) of nutrition and hydration intake
  - o Identification of the effectiveness of intervention and support
  - Recommendations for intervention and support for oral, pharyngeal, and/or laryngeal disorders
  - Prognosis for improvement and identification of relevant factors
  - Referral for other services or professionals
  - Counseling, education, and training to the member, health care providers, and caregivers

### **Aural Rehabilitation Evaluations**

For Aural Rehabilitation evaluations, all of the following must be submitted:

- The provider shall check the functioning of hearing aids, assistive listening systems and devices, and sensory aids prior to the evaluation
- Through interview, observation, and clinical testing, the provider shall evaluate the member's skills, in both clinical and natural environments, for the following:
  - Medical and audiological history
  - o Reception, comprehension, and production of language in oral, or manual language modalities
  - Speech and voice production
  - o Perception of speech and non-speech stimuli in multiple modalities
  - Listening skills
  - o Speech-reading
  - Communication strategies

Speech Language Pathology Services UnitedHealthcare Community Plan Coverage Determination Guideline

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## **Requests for Continuation of Therapy Visits**

## Progress Reports (Summary of Progress)

Intermittent progress reports must demonstrate that the member is making functional progress to reflect that continued services are Medically Necessary. Progress reports must include:

- Start of care date
- Time period covered by the report
- Communication/swallowing diagnosis
- Member's functional communication/swallowing at the beginning of the progress report period
- Member's current status as compared to evaluation baseline data and the prior progress reports, including
  objective measures of member communication/swallowing performance in functional terms that relate to the
  treatment goals
- Changes in prognosis and why
- Changes in POC and why
- Changes in goals and why
- Consultations with other professionals or coordination of services, if applicable
- Signature and date of licensed professional responsible for the therapy services
- Signature and date of prescribing physician

#### **Re-Evaluations**

Re-evaluations must be completed at least once every six (6) months to support the need for on-going services. Reevaluations performed more often than once every 6 months should only be completed when the member experiences a Significant Change in Functional Level in their condition or functional status. The documentation must be reflective of this change. Re-evaluations must include current Standardized Assessment scores, age equivalents, percentage of functional delay, criterion referenced scores or other objective information as appropriate for the member's condition or impairment. A signed and dated physician order, less than 30 days old, is needed prior to the completion of a Speech Therapy re-evaluation. The therapy re-evaluation report must include **all** of the following:

- Date of last therapy evaluation; and
- Number of therapy visits authorized and number of therapy visits attended; and
- Compliance to home program;
- Description of the member's current deficits and their severity level documented using objective data; and
- Objective demonstration of the member's progress towards each treatment goal:
  - Using consistent and comparable methods to report progress on long- and short-term treatment goals established
  - For all unmet goals, baseline and current function so that the member's progress towards goals can be measured

and

- An updated statement of the prescribed treatment modalities and their recommended frequency/duration; and
- A brief prognosis with clearly established discharge criteria; and
- An updated individualized POC must include updated measurable, functional and time based goals:
  - The updated POC/progress summary must not be older than 90 days
  - If the majority of the long and short-term goals were not achieved, the plan of care must include a description of the barriers or an explanation why the goal(s) needed to be modified or discontinued

and

• A revised POC that the treating therapist has not made a meaningful update to support the need for continued services will not be accepted. In addition, the notation of the percentage accuracy towards the member's goals alone is not sufficient to establish a need for continued, Medically Necessary therapy

### Treatment Session Notes

All treatment session notes must include:

- Date of treatment
- Specific treatment(s) provided that match the CPT code(s)s billed
- Start and stop time in treatment corresponding to each CPT code billed
- Total treatment time corresponding to each CPT code billed; an encounter for Speech Therapy is defined as faceto-face time with the patient and caregiver as applicable for a length of time that is consistent with nationally recognized professional speech-language pathology standards
- In exceptional cases, a shortened treatment session may be billed, provided the documentation supports the clinical reason and demonstrates the benefit to the member
- The individual's response to treatment
- Skilled ongoing reassessment of the individual's progress toward the goals
- All progress toward the goals in objective, measurable terms using consistent and comparable methods;
- Any problems or changes to the plan of care

- Member or caregiver involvement in and feedback about home program activities
- Signature and date of the treating clinician

## Visit Guidelines

Therapy visits are evaluated using results from Standardized Assessments based on the following scores:

- Mild (-1 to -1.5 standard deviation from the mean [or a score of 84 to 78])
- Moderate (-1.5 to -2 standard deviation from the mean [or a score of 77 to 71])
- Severe and Profound (>2 standard deviation from the mean [or a score of 70 or below])

If the therapy provider anticipates that the member has a continued need for therapy visits after the duration of the authorization, they may follow the <u>re-evaluation process</u> to request additional visits.

For therapy visit guidelines, refer to: Interqual<sup>®</sup> 2019.

### High Frequency Therapy Visits

A High Frequency Therapy request will be considered with **all** of the following:

- Letter of medical need from the prescribing provider documenting the client's rehabilitation potential for achieving the goals identified. Therapy provided three or more times a week may be considered when all of the following criteria are met:
  - The client has a medical condition that is rapidly changing
  - The client has a potential for rapid progress (e.g., excellent prognosis for skill acquisition) or rapid decline or loss of functional skill (e.g., serious Illness, recent surgery)
  - The client's therapy plan and home program require frequent modification by the licensed therapist

and

- Therapy summary documenting all of the following:
  - Purpose of the high frequency therapy requested (e.g., close to achieving a milestone)
  - Identification of the functional skill which will be achieved with high frequency therapy
  - Specific measurable goals related to the high frequency therapy requested and the expected date the goal will be achieved

and

• The therapist must provide education and training for the member and responsible caregivers. The therapist must also develop and instruct them in a home exercise program to promote effective carryover of the therapy program and management of safety issues

#### **Discharge Criteria**

Discharge criteria includes but is not limited to the following:

- Treatment goals and objectives have been met
- Speech, language, communication, or feeding and Swallowing Disorder are within normal limits or is consistent with the member's baseline
- Communication abilities have become comparable to those of others of the same chronological age and gender
- The desired level of enhanced communication skills that has been agreed to by the member and provider has been achieved
- The skill of a Speech-Language Pathologist or other licensed healthcare professional (within the scope of his/her licensure) is not required
- The member exhibits behavior that interferes with improvement or participation in treatment and efforts to address these factors have not been successful
- In some situations, the member, family, or designated guardian may choose not to participate in treatment, may
  relocate, or may seek another provider if the therapeutic relationship is not satisfactory. Therefore, discharge is
  also appropriate in the following situations, provided that the member/client, family, and/or guardian have been
  advised of the likely outcomes of discontinuation:
  - The member is unwilling to participate in treatment
  - The member exhibits behavior that interferes with improvement or participation in treatment
  - There is a request to be discharged or request continuation of services with another provider
  - The member is transferred or discharged to another location where ongoing service from the current provider is not reasonably available; efforts should be made to ensure continuation of services in the new locale
- The member is unable to tolerate treatment because of a serious medical, psychological, or other condition

## Check the member benefit plan document that may supersede the Additional Considerations and Coverage Limitations and Exclusions listed below.

## **Additional Considerations**

- Speech and Language Therapy received in the home from a home health agency may be covered under home health care.
- Speech and Language Therapy received in the home from an independent Speech-Language Pathologist (a Speech-Language Pathologist that is not affiliated with a home health agency) may be covered under Rehabilitation Services-Outpatient Therapy.
- For members with dyslexia, test results substantiating a diagnosis of receptive or expressive language delay must be included with goals addressing the corresponding language deficits.
- Swallowing and feeding rehabilitation therapy may be done with speech Rehabilitation Services when clinically indicated; if the clinical documentation supports that total treatment time reflect each procedure that was separately performed. Both should be billed and only the Speech Therapy will count toward the Speech Therapy benefit limit, if applicable.
- Swallowing therapy (92526) when billed alone will count toward the Speech Therapy benefit limit, if applicable.
- Cochlear implant monitoring (remapping and reprogramming of implant) and rehabilitation following the cochlear implant surgery is usually billed as Aural Rehabilitation. Language therapy treatment sessions may not be billed concurrently with Aural Rehabilitation therapy treatment sessions. The member specific benefit plan document must be referenced for any applicable limits that may apply to Aural Rehabilitation.

#### **Coverage Limitations and Exclusions**

Coverage limitations and exclusions may include but are not limited to:

- Benefits for cognitive rehabilitation therapy are covered only when Medically Necessary following a post-traumatic brain Injury or cerebral vascular accident
- Devices and computers to assist in communication and speech (refer to the Coverage Determination Guideline titled <u>Durable Medical Equipment</u>, <u>Orthotics</u>, <u>Ostomy Supplies</u>, <u>Medical Supplies and Repairs/Replacements</u>)
- Speech Therapy if the provider is school based
- Idiopathic Developmental Delay (no Illness to explain the cause of Developmental Delay in speech-language)
- Sign language (does not require the services of a licensed or certified healthcare professional)
- Speech and Language Therapy beyond the benefit maximum (visits limits)
- Benefits are not available for Maintenance Program/preventive treatment
- A child being bilingual is not considered a developmental speech or Developmental Delay and Speech Therapy is usually not a covered health service, except when other criteria for Speech Therapy are met (see <u>Speech Delay –</u> <u>Bilingualism</u>)
- Home Speech and Language Therapy for the convenience of a provider or member
- Coverage is excluded for services that are solely educational or vocational in nature or otherwise paid under state
  or federal law for purely educational services

#### DEFINITIONS

Check the definitions within the member benefit plan document that supersede the definitions below.

**Aural Rehabilitation**: Aural Rehabilitation refers to therapeutic intervention that may require sensory management, auditory speech perception training, and counseling services provided to those with hearing loss and their communication partners." Aural Rehabilitation therapy should consist of: facilitating receptive and expressive communication of a beneficiary with hearing loss, achieving improved, augmented or compensated communication processes, improving auditory processing, listening, spoken language processing, auditory memory and overall communication process that benefits daily activities. Aural Rehabilitation is also referred to as audiologic rehabilitation, auditory rehabilitation, hearing rehabilitation, and rehabilitative audiology.

**Comprehensive Care Management**: Care management for complex, chronic conditions including systematic assessment of the patient's medical, functional, and psychosocial needs; system-based approaches to ensure timely receipt of all recommended preventive care services; medication reconciliation with review of adherence and potential interactions; and oversight of patient self-management of medications. (CMS 2016)

**Congenital Anomaly**: A physical developmental defect that is present at the time of birth, and that is identified within the first twelve months of birth.

**Developmental Delay**: Impairment in the performance of tasks or the meeting of milestones that a child should achieve by a specific chronological age.

**Duplicate Services**: Request for care for the same body part or diagnosis by more than one provider is considered duplicate care. Duplicate care is not Medically Necessary, as the member's condition can improve with care provided under one treatment plan and by one provider.

**Early Periodic Screening, Diagnostic, and Treatment (EPSDT)**: Federal mandate that provides a comprehensive array of prevention, diagnostic, and treatment services for low-income infants, children and adolescents under age 21, as specified in Section 1905(r) of the Social Security Act (the Act).

**Functional or Physical Impairment**: A Functional or Physical or physiological Impairment causes deviation from the normal function of a tissue or organ. This results in a significantly limited, impaired, or delayed capacity to move, coordinate actions, or perform physical activities and is exhibited by difficulties in one or more of the following areas: physical and motor tasks; independent movement; performing basic life functions.

**High Frequency Therapy Visits**: The intensive frequency visits varies based on the individual needs of each member and can include 3 or more visits per week for a limited duration of 8 weeks or less. Use of the intensive frequency visits is considered appropriate for children who have a condition that is changing rapidly, need frequent modification in their plan of care, and require a high frequency of intervention for a limited duration to achieve a new skill or recover function lost due to surgery, Illness, or trauma.

Illness: Sickness or disease.

**Individualized Education Program (IEP)**: A tool defined under federal EPSDT and/or disability regulations that addresses the developmental needs of children with a disability ages 3 through 21 and is intended to ensure such children receive certain prevention, diagnostic and treatment services.

**Individualized Family Service Plan (IFSP)**: A tool defined under federal EPSDT and/or disability regulations that addresses the developmental needs of a child with a disability under the age of 3 and is intended to ensure such children receive certain prevention, diagnostic and treatment services.

**Injury**: Damage to the body including all related conditions and symptoms.

**Maintenance Program**: A program with the goals to maintain the functional status or to prevent decline in function.

Medically Necessary: Health care services that are all of the following as determined by us or our designee:

- In accordance with Generally Accepted Standards of Medical Practice.
- Clinically appropriate, in terms of type, frequency, extent, service site and duration, and considered effective for your Sickness, Injury, Mental Illness, substance-related and addictive disorders, disease or its symptoms.
- Not mainly for your convenience or that of your doctor or other health care provider.
- Not more costly than an alternative drug, service(s), service site or supply that is at least as likely to produce
  equivalent therapeutic or diagnostic results as to the diagnosis or treatment of your Sickness, Maintenance,
  disease or symptoms.

*Generally Accepted Standards of Medical Practice* are standards that are based on credible scientific evidence published in peer-reviewed medical literature generally recognized by the relevant medical community, relying primarily on controlled clinical trials.

If no credible scientific evidence is available, then standards that are based on Physician specialty society recommendations or professional standards of care may be considered. We have the right to consult expert opinion in determining whether health care services are Medically Necessary. The decision to apply Physician specialty society recommendations, the choice of expert and the determination of when to use any such expert opinion, shall be determined by us.

We develop and maintain clinical policies that describe the *Generally Accepted Standards of Medical Practice* scientific evidence, prevailing medical standards and clinical guidelines supporting our determinations regarding specific services. These clinical policies (as developed by us and revised from time to time) are available to Covered Persons on <u>www.myuhc.com</u> or the telephone number on your ID card. They are also available to Physicians and other health care professionals on <u>www.UHCprovider.com</u>.

**Restorative Therapy/Rehabilitation Services**: Member must have lost a function that was present, e.g., loss of speech after a stroke. And services must be performed by a physician or by a licensed therapy provider. Benefits include Rehabilitation Services provided in a physician's office or on an outpatient basis at a Hospital or Alternate Facility.

**Sickness**: Physical Illness, disease or pregnancy. The term Sickness includes mental Illness or substance related and addictive disorders, regardless of the cause or origin of the mental Illness or substance related and addictive disorders.

**Significant Change in Functional Level**: Significant Change in Functional Level is defined as a measurable and substantial increase or decrease in the beneficiary's present functional level compared to the level documented at the beginning of treatment.

**Speech and Language Therapy**: The necessary services for the diagnosis and treatment of (1) speech and language disorders that cause communication problems, or (2) Swallowing Disorders (Dysphagia) the Speech Therapy. Typically includes the development and improvement of communication skills with concurrent correction of deficits; the development of alternative or augmentative communication strategies, when required; and efforts to enhance social adaptation of the individual in regard to communication.

**Speech Delay – Bilingualism**: "A bilingual home environment may cause a temporary delay in the onset of both languages. The bilingual child's comprehension of the two languages is normal for a child of the same age, however, and the child usually becomes proficient in both languages before the age of five years. If the child is bilingual, it is important to compare the child's language performance with that of other bilingual children of similar cultural and linguistic backgrounds." (Leung. 1999)

"Comparisons of children's performance in the first and second language indicate that performance in one language, even the dominant language, is not an accurate reflection of the child's level of development. Instead, assessment is most accurate with "best performance" measures that assess the highest level of development attained by a bilingual child across both languages. Therefore, whenever possible, "best performance" measures across the two languages should be the technique of choice during bilingual assessments." (Marian. 2009)

**Speech-Language Pathologists**: The speech-language therapists specialize in the treatment of communication and Swallowing Disorders. The assessment made by a Speech and Language Pathologist is usually the definitive measure of the presence or absence of a communication disorder. The Speech and Language Pathologist has a professional degree and should be certified by The American Speech-Language-Hearing Association (ASHA). Speech Therapy may involve the management of patients who need evaluation of cognitive skill and aphasia resulting from cortical dysfunction, or management of patients with laryngectomy and other head and neck surgical procedures A combination of interview techniques, behavioral observations, and standardized instruments is used by the Speech and Language Pathologist to identify communication disorders as well as patterns of communication that are not pathological.

**Standardized Assessments**: Standardized Assessments are empirically developed evaluation tools with established statistical reliability and validity. A standardized test is one that requires all test takers to answer the same items/questions in the same way and that is scored in a standard or consistent way, thus making it possible to compare the relative performance of individuals or groups of individuals. There are two types of standardized assessment instruments: norm-referenced and criterion-referenced. Speech Language Pathologists should be administering the most appropriate and current versions of the Standardized Assessments and protocols to be in alignment with the American Speech Language Hearing Association.

Swallowing Disorders [also called Dysphagia (dis-FAY-juh)]: Can occur at different stages in the swallowing process:

- Oral Phase: Sucking, chewing, and moving food or liquid into the throat.
- *Pharyngeal Phase*: Starting the swallowing reflex, squeezing food down the throat, and closing off the airway to prevent food or liquid from entering the airway (aspiration) or to prevent choking.
- Esophageal Phase: Relaxing and tightening the openings at the top and bottom of the feeding tube in the throat (esophagus) and squeezing food through the esophagus into the stomach.

#### APPLICABLE CODES

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by federal, state or contractual requirements and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Coverage Determination Guidelines may apply.

CPT Code	Description
92507	Treatment of speech, language, voice, communication, and/or auditory processing disorder; individual

CPT Code	Description
92508	Treatment of speech, language, voice, communication, and/or auditory processing disorder; group, 2 or more individuals
92521	Evaluation of speech fluency (e.g., stuttering, cluttering)
92522	Evaluation of speech sound production (e.g., articulation, phonological process, apraxia, dysarthria)
92523	Evaluation of speech sound production (e.g., articulation, phonological process, apraxia, dysarthria); with evaluation of language comprehension and expression (e.g., receptive and expressive language)
92524	Behavioral and qualitative analysis of voice and resonance
92526	Treatment of swallowing dysfunction and/or oral function for feeding
92597	Evaluation for use and/or fitting of voice prosthetic device to supplement oral speech
92609	Therapeutic services for the use of speech-generating device, including programming and modification
92610	Evaluation of oral and pharyngeal swallowing function
92626	Evaluation of auditory rehabilitation status; first hour
92627	Evaluation of auditory rehabilitation status; each additional 15 minutes (List separately in addition to code for primary procedure)
92630	Auditory rehabilitation; prelingual hearing loss
92633	Auditory rehabilitation; postlingual hearing loss
96105	Assessment of aphasia (includes assessment of expressive and receptive speech and language function, language comprehension, speech production ability, reading, spelling, writing, e.g., by Boston Diagnostic Aphasia Examination) with interpretation and report, per hour

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HCPCS Code	Description
S9152	Speech therapy, re-evaluation
V5362	Speech screening
V5363	Language screening

Revenue Code	Description
0440	Speech pathology
0441	Visit charge
0442	Hourly charge
0443	Group rate
0444	Evaluation or reevaluation
0449	Other speech-language pathology
0979	Speech pathology

#### CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS)

Medicare covers speech-language pathology services when coverage criteria are met. See the <u>Medicare Benefit Policy</u> <u>Manual, Chapter 15, § 220 - Coverage of Outpatient Rehabilitation Therapy Services (Physical Therapy, Occupational</u> <u>Therapy, and Speech-Language Pathology Services) Under Medical Insurance</u>; also see the <u>Medicare Benefit Policy</u> <u>Manual, Chapter 12, § 40.4 – Speech-Language Pathology Services</u>.

Local Coverage Determinations (LCDs) exist; see the LCDs for <u>Home Health Speech-Language Pathology</u>, <u>Outpatient</u> <u>Speech-Language Pathology</u>, <u>Speech-Language Pathology</u> and <u>Speech - Language Pathology</u> (SLP) <u>Services</u>: <u>Communication Disorders</u>.

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## GUIDELINE HISTORY/REVISION INFORMATION

Date	Action/Description
Date	
	Revisions to the original policy update announcement are outlined in red below.
	<ul> <li>Notice of Revision: The following summary of changes has been modified. Revisions to the original policy update announcement are outlined in red below.</li> <li>Application <ul> <li>Added language to indicate:</li> <li>This policy only applies to the following states:</li> <li>Effective 08/01/2019: Hawaii, Maryland, Michigan, New Jersey, Ohio, Rhode Island, Virginia</li> <li>Effective 09/01/2019: California, Kansas, Washington, Wisconsin</li> <li>Effective 09/15/2019: Nebraska</li> <li>Effective 10/01/2019: Arizona, New York, Pennsylvania</li> <li>This policy does not apply to the states of Florida, Louisiana, Massachusetts, Mississippi, Missouri, Tennessee, and Texas:</li> <li>For the state of Florida, refer to the Utilization Review Guidelines titled:</li> <li>Outpatient Speech, Occupational and Physical Therapy Services (for Florida Only)</li> <li>For the state of Tennessee, refer to the Coverage Determination Guideline titled Speech Language Pathology Services (for Tennessee)</li> </ul> </li> </ul>
	Only)
	Coverage Rationale
08/01/2019	<ul> <li>Replaced reference(s) to:         <ul> <li>"Speech Therapy" with "Speech and Language Therapy"</li> <li>"Treatment plan" with "plan of care"</li> </ul> </li> </ul>
	<ul> <li>Removed language indicating:         <ul> <li>Speech and Language Therapy for the treatment of disorders of speech, language, voice, communication and auditory processing are covered when the disorder results from tongue tie</li> <li>Outpatient rehabilitation can occur in the following settings: physician's</li> </ul> </li> </ul>
	<ul> <li>office, therapist's office, member's place of residence, or separate part of a clinic or hospital where speech therapy is performed</li> <li>Added language to indicate services of a Speech-Language Pathologist or other licensed healthcare professional acting within the scope of his/her licensure to treat the [listed] disorders may be covered when:</li> </ul>
	<ul> <li>Services must be ordered by a physician and be Medically Necessary for the member's plan of care</li> <li>The Speech and Language Therapy will correct or improve a Functional or Physical Impairment</li> </ul>
	<ul> <li>The services are not Duplicate Services of another service provided concurrently by any other type of therapy (such as physical therapy and occupational therapy), and must provide different treatment goals, plans, and therapeutic modalities</li> </ul>
	<ul> <li>Added language pertaining to:         <ul> <li><u>Sites of Service</u></li> <li><u>Aural Rehabilitation (includes Speech and Language Therapy)</u></li> <li>Early Childhood Intervention (ECI) and State/School-Based Services</li> </ul> </li> </ul>
	<ul> <li>Visit Guidelines</li> <li>Revised language pertaining to:</li> </ul>
	<ul> <li><u>Restorative Therapy/Rehabilitation Services</u></li> <li><u>Required Documentation</u> for:         <ul> <li><u>Hearing Screening</u></li> <li><u>Initial Therapy Evaluation/Initial Therapy Visit Requests</u></li> <li>Plan of Care</li> <li>Bilingual and Multilingual Speakers</li> <li>Feeding and Swallowing Disorders</li> <li>Aural Rehabilitation Evaluations</li> <li><u>Requests for Continuation of Therapy Visits</u></li> <li><u>Re-Evaluations</u></li> </ul> </li> </ul>

This Coverage Determination Guideline provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the federal, state or contractual requirements for benefit plan coverage must be referenced as the terms of the federal, state or contractual requirements for benefit plan coverage may differ from the standard benefit plan. In the event of a conflict, the federal, state or contractual requirements for benefit plan coverage govern. Before using this guideline, please check the federal, state or contractual requirements for benefit plan coverage. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Coverage Determination Guideline is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the MCG<sup>™</sup> Care Guidelines, to assist us in administering health benefits. The UnitedHealthcare Coverage Determination Guidelines are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.