

# Manipulative Therapy (for Nebraska Only)

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[Instructions for Use](#)

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## Application

This Medical Policy only applies to the state of Nebraska.

## Coverage Rationale

Manipulative therapy is proven and medically necessary for treating [Musculoskeletal Disorders](#), except as noted below.

Manipulative therapy is unproven and not medically necessary for the following due to insufficient evidence of efficacy:

- Non-Musculoskeletal Disorders (e.g., asthma, otitis media, infantile colic, etc.)
- Prevention/maintenance/custodial care
- Internal organ disorders (e.g., gallbladder, spleen, intestinal, kidney, or lung disorders)
- Temporomandibular joint (TMJ) disorder
- Scoliosis
- Craniosacral therapy (cranial manipulation/Upledger technique) or manipulative services that utilize nonstandard techniques including but not limited to applied kinesiology, National Upper Cervical Chiropractic Association (NUCCA), and neural organizational technique

Manipulative therapy is unproven and not medically necessary when any of the following apply:

- The member’s condition has returned to the pre-symptom state
- Little or no improvement is demonstrated within 30 days of the initial visit despite modification of the treatment plan
- Concurrent manipulative therapy, for the same or similar condition, provided by another health professional whether or not the healthcare professional is in the same professional discipline

This policy does not address manipulation under anesthesia; refer to the policy titled [Manipulation Under Anesthesia](#).

## Definitions

**Musculoskeletal Disorders:** For the purposes of this policy, Musculoskeletal Disorders (MSDs) are injuries or conditions originating from joints, muscles, ligaments, discs, or other soft tissues in the spine or limbs, and produce clinically relevant symptoms (e.g., pain, numbness, etc.) and functional limitations (e.g., ability to perform daily activities).

## Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by federal, state, or contractual requirements and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

CPT Code	Description
98925	Osteopathic manipulative treatment (OMT); 1-2 body regions involved
98926	Osteopathic manipulative treatment (OMT); 3-4 body regions involved
98927	Osteopathic manipulative treatment (OMT); 5-6 body regions involved
98928	Osteopathic manipulative treatment (OMT); 7-8 body regions involved
98929	Osteopathic manipulative treatment (OMT); 9-10 body regions involved
98940	Chiropractic manipulative treatment (CMT); spinal, 1-2 regions
98941	Chiropractic manipulative treatment (CMT); spinal, 3-4 regions
98942	Chiropractic manipulative treatment (CMT); spinal, 5 regions
98943	Chiropractic manipulative treatment (CMT); extraspinal, 1 or more regions

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HCPCS Code	Description
S8990	Physical or manipulative therapy performed for maintenance rather than restoration

## Description of Services

Manipulative treatment, also known as mobilization therapy or “adjustment,” refers to manual therapy employed to soft or osseous tissues for therapeutic purposes. This term encompasses a wide variety of physical manipulations, including rhythmic stretching, deep pressure and traction, and spinal adjustments. Spinal manipulation involves manual and mechanical interventions that may be high or low velocity; short or long lever; high or low amplitude; with or without recoil. Most often, manipulation is performed by applying a controlled force into a joint or joints of the spinal column to reduce or correct a specific derangement. Depending on the provider specialty, a joint derangement may be listed as a subluxation, vertebral subluxation complex, osteopathic lesion, somatic dysfunction, or a mechanical dysfunction.

Craniosacral therapy (CST) is a noninvasive osteopathic technique that involves the therapist touching the individual to detect pulsations and rhythms of flow of cerebrospinal fluid (CSF). The therapist then gently works with the skull and spine, with the goal to effect release of potential restrictions to the flow of CSF, without the use of forceful physical manipulation (Hayes, 2018). It is considered a treatment for a variety of conditions, such as multiple sclerosis, asthma, pelvic pain, fibromyalgia, and tension-type and migraine headaches. Many of these disease states are associated with acute and chronic pain.

A variety of non-standard manipulative therapy techniques exist such as applied kinesiology, National Upper Cervical Chiropractic Association (NUCCA), and neural organizational technique (NOT).

Applied kinesiology, also known as muscle strength testing, is a method of diagnosis and treatment based on the belief that various muscles are linked to particular organs and glands, and that specific muscle weakness can signal distant internal problems such as nerve damage, reduced blood supply, chemical imbalances or other organ or gland problems.

The NUCCA technique is a variation of chiropractic care with the goal to improve structural and sustained postural balance that leads to improved spinal stability along with balanced mobility.

The neural organizational technique attempts to treat the cause, the whole person, and work with what the body communicates is out of balance.

Manipulative treatment may be a primary method of treatment for some medical conditions, and for others it may complement or support medical treatment (Axen et al., 2009).

## Clinical Evidence

### Musculoskeletal

#### *Spine-Related Disorders*

##### Back

In a randomized, sham controlled group trial, Nguyen et al. (2021) compared the efficacy of standard osteopathic manipulative treatment (OMT) versus sham OMT for reducing low back pain (LBP) in patients with nonspecific subacute and chronic LBP. 394 patients were randomized into two groups with a primary end point of reducing LBP which was measured with the Quebec Back Pain Disability Index (QBPD). The experimental group received standard OMT; the sham control group received a priori inert procedure which consisted of light touch which stimulated OMT without stimulating physiotherapy or massage. Both groups received therapy for six sessions, two weeks apart. The mean QBPD score for the standard OMT group was 31.5 at baseline and 25.3 at 3 months; and in the sham OMT group the mean score was 27.2 at baseline and 26.1 at 3 months. At twelve months, both groups experienced a decrease in pain however the standard OMT group reported increased pain relief. The authors concluded OMT had a slightly better clinical effect than the sham for patients with LBP. Limitations included a focus on standard OMT only and large loss to follow-up.

In a randomized clinical trial, Schulz et al. (2019) assessed the comparative effectiveness of adding spinal manipulative therapy (SMT) or supervised rehabilitative exercise to home exercise in adults 65 or older with sub-acute or chronic low back pain (LBP). 550 individuals were evaluated with 241 participants recruited and randomized. All participants received 12 weeks of care in one of three treatment groups: 1) Home Exercise Program (HEP); 2) Supervised Exercise (SEP) + HEP; or 3) Spinal Manipulative Therapy (SMT) + HEP. The HEP and SEP programs were delivered by nine exercise therapists and two chiropractors, and the SMT was delivered by 11 licensed chiropractors. Outcomes were measured by patient self-report questionnaires, blinded objective assessment, and in-person and telephone interviews. Patient self-report questionnaires were collected at baseline, and 4, 12, 26, and 52 weeks post-randomization. The authors concluded adding spinal manipulation or supervised rehabilitative exercise to home exercise alone does not appear to improve pain or disability outcomes in either the short- or long-term in older adults with chronic LBP but did enhance satisfaction with care. While the trial had several strengths including adequate sample size and rigorous design, the limitations included blinding patients and providers, absence of measuring outcomes specific to the age of participants and unable to control contextual effects.

A comparative effectiveness report was published under the auspices of the Agency for Healthcare Research and Quality (AHRQ), which assessed the durable effects on pain and function with different noninvasive nonpharmacological treatments for selected chronic pain conditions (Skelly, et al., 2018). The authors found low quality evidence supporting the effectiveness of spinal manipulation for improving pain and function up to 12 months post-intervention in treating chronic low back pain. No serious adverse events or withdrawals due to adverse events were reported. Non-serious adverse events with manipulation (primarily increased pain) were reported in three trials.

Coulter et al. (2018) conducted a systematic literature review and meta-analysis to determine the efficacy, effectiveness, and safety of various mobilization and manipulation therapies for treatment of chronic low back pain. A total of 64 publications were included in this systematic review. The studies measured self-reported pain, function, health-related quality of life, and adverse events; the most common tool for pain evaluation of measurement was the VAS (26 of 51) and the numeric pain rating scale (12 of 51). The authors concluded a small to moderate effect on pain in favor of manipulation, which increased over time at 3 and 6 months follow-up for reducing pain compared with other active comparators (exercise and physical therapy).

In a systematic review Shekelle, et al (2017) assessed the effect of manipulative therapy for persons with acute LBP. Treatment with manipulative therapy improved the outcomes of pain and function in patients with acute low back pain. Evidence quality was judged to be moderate, due to heterogeneity (differences between studies in the consistency of effect sizes) of results. The authors found insufficient evidence to arrive at conclusions regarding manipulative therapy and outcomes for patients with low back pain and sciatica.

Ulger et al. (2017) conducted a randomized controlled trial to determine the effects of spinal stabilization exercises (SSE) and manual therapy methods on pain, function, and quality of life (QoL) levels in individuals with chronic low back pain (CLBP). A total of 113 patients diagnosed as CLBP were enrolled to the study and allocated into Spinal Stabilization group (SG) and manual therapy group (MG), randomly. While SSE performed in SG, soft tissue mobilizations, muscle-energy techniques, joint mobilizations, and manipulations were performed in MG. While the severity of pain was assessed with Visual Analog Scale (VAS), Oswestry Disability Index (ODI) and Short Form 36 (SF-36) assessments were performed to evaluate the functional status and QoL, respectively. All assessments were repeated before and after the treatment. The outcomes of this study showed that SSE and manual therapy methods have the same effects on QoL, while the manual treatment is more effective on the pain and functional parameters in particular. Additional randomized controlled trials with longer term outcomes are needed to evaluate manual therapies in the treatment of CLBP.

In a systematic review and meta-analysis, Paige et al. (2017) evaluated the effectiveness of spinal manipulative therapy (SMT) for acute ( $\leq 6$  weeks) low back pain. Study quality was assessed using the Cochrane Back and Neck (CBN) Risk of Bias tool. Pain (measured by either the 100-mm visual analog scale, 11-point numeric rating scale, or other numeric pain scale), function (measured by the 24-point Roland Morris Disability Questionnaire or ODI [range, 0-100]), or any harms measured within 6 weeks. Of 26 eligible RCTs identified, 15 RCTs (1,699 patients) provided moderate-quality evidence that SMT has a statistically significant association with improvements in pain (pooled mean improvement in the 100-mm visual analog pain scale,  $-9.95$  [95% CI,  $-15.6$  to  $-4.3$ ]). According to the authors, among patients with acute low back pain, spinal manipulative therapy was associated with modest improvements in pain and function at up to 6 weeks, with transient minor musculoskeletal harms. However, heterogeneity in study results was large. Other limitations of this study are that the type of manipulation, study quality, or whether SMT was given alone or as part of a package of therapies was not disclosed.

Franke et al. (2017) conducted a systematic review and meta-analysis on the effectiveness of OMT for low back pain and pelvic girdle pain during and after pregnancy. Of 102 studies, five examined OMT for LBP during in pregnancy and three for postpartum LBP. The authors found moderate-quality evidence suggesting OMT had a significant medium-sized effect on decreasing pain (MD,  $-16.65$ ) and increasing functional status (SMD,  $-0.50$ ) in pregnant women with LBP. Low-quality evidence suggested OMT had a significant moderate-sized effect on decreasing pain (MD,  $-38.00$ ) and increasing functional status (SMD,  $-2.12$ ) in postpartum women with LBP. This review suggests OMT produces clinically relevant benefits for pregnant or postpartum women with LBP. While there is growing evidence that OMT may be beneficial for treatment of pregnancy related or postpartum LBP, the author's findings included small sample sizes, mixed studies of different designs, duplicate data, lack of long-term follow-up and both OMT and non-osteopathic manual therapies utilized so the conclusions should be reviewed with caution. Further research may change estimates of effect, and larger, high-quality RCTs with robust comparison groups are recommended.

A systematic review performed by De Luca, et al. (2016) evaluated the effectiveness and safety of manual therapy interventions on pain and disability in older persons with chronic low back pain (LBP). Four hundred five articles were identified, 38 full-text articles were assessed, and four studies met the inclusion criteria. The main limitation of this review was the lack of randomized controlled trials for review as the eligibility criteria was not met. The older population of participants were excluded from the research if they had existing comorbidities thus the possibility of bias was introduced. A further limitation was the lack of blinding the participants and practitioners due to the nature of the treatment performed. And finally, only one of the studies had a control group. The authors concluded that there is moderate evidence to support the effectiveness of manual therapy (most commonly manipulative therapy) in reducing pain levels in older persons having chronic LBP with or without radiculopathy, however further investigation is warranted.

Hall et al. (2016) conducted a systematic review and meta-analysis to evaluate effectiveness of manual therapies for managing pregnancy-related low back and pelvic pain. A total of 10 studies with 1,198 pregnant women were included. The therapeutic interventions predominantly involved massage and OMT. Meta-analyses found positive effects for manual therapy on pain intensity when compared to usual care and relaxation but not when compared to sham interventions. One limitation included a trial of pregnant participants that were studied primarily for efficacy of manual therapy for depression but also included back

pain in their results; another limitation was the diversity of treatment types and dosage of the manual therapies included in the meta-analysis. And a third limitation was the type of sham control utilized impacting participant blinding. The authors concluded there was limited evidence to support the use of manual therapies including massage and osteopathic manipulative treatment as an option for managing low back and pelvic pain outcomes during pregnancy.

A comparative effectiveness report was published under the auspices of the Agency for Healthcare Research and Quality (AHRQ), which updated the 2007 meta-analysis (Chou, et al., 2016). The authors qualitatively examined whether the results of new studies were consistent with pooled or qualitative findings from prior systematic reviews. For acute low back pain, there was limited evidence that spinal manipulation is associated with some beneficial effects versus a sham therapy, no intervention, or usual care. The beneficial effects of manipulative therapy were small to moderate in magnitude for the treatment of chronic low back pain. The assessment and reporting of harms for non-pharmacological therapies including spinal manipulation were suboptimal but indicated no serious harms. Reported harms were generally related to superficial symptoms at the application site or a temporary increase in pain.

Schwerla et al. (2015) conducted a randomized controlled trial on the use of OMT in women with persistent postpartum lower back pain (LBP) greater than 3 months. Women were allocated to an OMT group (n = 40) and a waitlist control group (n = 40) for a period of 8 weeks. OMT was provided four times at intervals of 2 weeks, with a follow-up after 12 weeks. The control group was not allowed any additional pain relief, e.g., medication, physical therapy, during this time. The main outcome measures were pain intensity as measured by a visual analog scale and the effect of LBP on daily activities as assessed by the Oswestry Disability Index (ODI). Based on the results of 8 weeks of therapy, the authors reported that this study provides some evidence that patients with pregnancy- and childbirth-related LBP may be successfully treated with OMT. Limitations included lack of blinding, self-assessments that may have led to overestimation of ratings and the individual judgement of the therapist's techniques for each participant. And finally, the data obtained at follow-up did not fulfill the criteria of a randomized controlled trial because follow-up could only be carried out for the intervention group. Further studies that include prolonged follow-up periods are warranted to corroborate the current findings.

## Neck

In a randomized control trial, Groisman et al. (2020) assessed the effectiveness of OMT combined with stretching and strengthening exercises in the cervical region on patients with non-specific chronic neck pain. This single-blinded trial randomized 90 patients into two groups: either an exercise only group or an exercise group combined with OMT. The study included weekly exercise and/or OMT for 4 weeks. The primary outcomes were pain and disability which were evaluated by the Numeric Pain Rate Scale (NPRS) and Neck Disability Index (NDI). Secondary outcomes included Pressure Pain Threshold (PPT), range of motion, Fear-Avoidance Beliefs Questionnaire (FABQ), and Pain-self efficacy. The authors found the group that had received exercise combined with OMT had greater reductions in pain and disability than the group that received exercise only; this was evidenced by the lower NPRS and NDI scores. There were no significant differences in the secondary outcomes. Limitations included lack of long-term effects, difficulty in blinding patients with osteopaths and those that received OMT had increased contact with osteopaths leading to potential placebo effect. Despite this, the authors felt the findings of the study were clinically significant.

In a systematic review, Shekelle et al. (2017) evaluated the benefits of SMT for acute neck pain (less than 6 weeks duration) compared to usual care or other forms of acute pain management. Only five studies were identified of SMT compared to a non-SMT treatment group. Although each study reported favorable results on at least one outcome, in total only 198 patients were included and for neck pain, the authors felt there was simply too few studies to draw firm conclusions; additional RCTs are warranted. The primary limitation of this analysis was the diversity in the results.

In a systematic review, Hidalgo et al. (2017) evaluated the evidence for different forms of manual therapy and exercise for patients with various stages of non-specific neck pain. Only RCTs were included. The authors concluded that combining different forms of manual therapy with exercise resulted in more favorable outcomes than manual therapy or exercise alone, and that mobilization need not be applied at the symptomatic level(s) for improvements of neck pain patients. Limitations included much diversity amongst the different trials, lack of ideal classification of manual therapy techniques, and adjuvant therapy in both intervention and comparison groups which led to difficulty in evaluating objectively.

A randomized controlled trial by Puntumetakul et al. (2015) studied forty-eight patients with chronic mechanical neck pain (CMNP). The patients were randomly allocated to single-level thoracic manipulation (STM) at T6-T7 or multiple-level thoracic manipulation (MTM), or to a control group (prone lying). Cervical range of motion (CROM), visual analog scale (VAS), and the

Thai version of the Neck Disability Index (NDI-TH) scores were measured at baseline, and at 24-hour and at 1-week follow-up. At 24-hour and 1-week follow-up, neck disability and pain levels were significantly ( $P < 0.05$ ) improved in the STM and MTM groups compared with the control group. CROM in flexion and left lateral flexion were increased significantly in the STM group when compared with the control group at 1-week follow-up. The CROM in right rotation was increased significantly after MTM compared to the control group at 24-hour follow-up. There were no statistically significant differences in neck disability, pain level at rest, and CROM between the STM and MTM groups. The authors concluded that the results suggest that both single-level and multiple-level thoracic manipulation improve neck disability, pain levels, and CROM at 24-hour and 1-week follow-up in patients with CMNP. Limitations included only post-intervention at 24-hour and 1-week follow-up, thus future studies should examine the long-term effects of STM/MTM in patients with chronic mechanical neck pain and the effects of this clinical intervention in a larger sample size.

Leaver et al. (2010) conducted a randomized controlled trial comparing manipulation with mobilization for recent onset of neck pain in 182 patients. Patients were randomly assigned to receive four treatments of either neck manipulation ( $n = 91$ ) or mobilization ( $n = 91$ ) over 2 weeks. Outcomes were measured by the number of days taken to recover from the episode of neck pain. Median days to recovery were 47 for the manipulation group and 43 days for the mobilization group. The authors concluded that manipulation was no more effective than mobilization in treating recent onset of neck pain. A potential limitation of this study was the inability to blind practitioners or participants to treatment allocation.

A prospective, multicenter case series by Rubinstein et al. (2007), evaluated 529 patients with neck pain to assess clinical outcomes and adverse events. Follow-up occurred at 3- and 12-months using questionnaires. Fifty-six percent of patients reported worsening of symptoms or onset of a new symptom during any one of the first three treatments. Only five patients (1%) reported to be much worse at 12 months. No serious adverse events were recorded during the study period. The authors concluded that while adverse events may be common, they are rarely severe in intensity. Most patients report recovery, particularly in the long term. In the authors' opinion, the benefits of chiropractic manipulative therapy for neck pain seem to outweigh the potential risks. Several limitations of the study included lack of a control group, potential response bias to the questionnaires, potential for recall errors, and imaging of the cervical spine was not always performed and only done so at the discretion of the chiropractor.

## ***Extraspinal Disorders***

### **Extremity Disorders**

A comprehensive review by Bronfort et al. (2010) evaluated the effectiveness of manual therapies including manipulation for a broad range of extremity disorders. The following had positive results: shoulder girdle pain and dysfunction, adhesive capsulitis, hip osteoarthritis, knee osteoarthritis, patello-femoral syndrome, and plantar fasciitis (when combined with exercise). This determination was made based on the results of the most recent and most updated (spans the last five to ten years) systematic reviews of RCTs, widely accepted evidence-based clinical guidelines and/or technology assessment reports, and all RCTs not yet included in the first three categories. The conclusions regarding effectiveness were based on comparisons with placebo controls (efficacy) or commonly used treatments which may or may not have been shown to be effective (relative effectiveness), as well as comparison to no treatment.

### ***Shoulder***

In a randomized control trial, Iqbal et al. (2020) compared the effects of the Spencer muscle energy technique (SMET) and passive stretching on 60 patients with idiopathic frozen shoulder or a stiff painful shoulder joint for at least three months. The participants were randomized into two equal groups. Group 1 contained patients that were treated with a hot pack for 7-10 minutes and then received the SMET; this was repeated 3-5 times with rest intervals over three sessions/week on alternate days for 4 weeks. Group 2 contained patients that were treated with a hot pack for 7-10 minutes and then received specific passive stretching exercises. The shoulder was stretched and rotated for 20 seconds with a ten second rest interval and then repeated ten times over the course of three sessions per week every other day. Shoulder pain was assessed with the numeric pain rating scale (NPRS) which assessed eleven items ranging from zero (no pain) to 10 (worst pain). The authors found that SMET was more effective than passive stretching for decreasing pain shoulder pain and increasing ROM. Limitations included short duration of the study and the lack of appropriate registration with trail registry. It was concluded that future additional long-term RCTs are needed along with long-term follow ups.

Schwerla and colleagues (2020) evaluated the effectiveness of osteopathic treatments in 70 patients suffering from shoulder pain. Participants were randomized into either the intervention group that received osteopathic treatment or a control group

(which remained untreated for eight weeks, but later treated with osteopathic treatment upon conclusion of the study). The main outcome was shoulder pain, and this was assessed using the standard VAS for self-pain measurement. Secondary outcomes were specific shoulder pain and disability determined by the shoulder pain and disability index (SPADI) and quality of life assessed by a SF-36 generic questionnaire. Participants in the intervention group received five osteopathic examinations and treatments of 40-60 minutes each delivered every two weeks for eight weeks. Before each visit and two weeks after the last visit, the VAS and SPADI were completed. The SF-36 generic questionnaire was completed at 4 and 10 weeks. The control group was required to fill out the VAS, SPADI and generic questionnaire at their baseline visit and then told they would be placed on the waiting list for osteopathic treatment to be scheduled 8 weeks later. In both groups, on demand pain medication was allowed. In the control group, 21 patients had no change in their pain and only eight patients showed improvement; in comparison the intervention group had a decrease in pain frequency for 33 patients. Secondary outcome measures had similar findings between the two groups; improvement in quality of life was seen for the intervention group but not the control group. The authors concluded osteopathic treatments over a defined period might be beneficial for patients suffering from shoulder pain, but further studies are needed to validate this finding. Limitations included the control group itself (receiving no treatment until after the study), small sample size and lack of long-term data.

Horst et al. (2017) conducted a randomized controlled study of 66 patients diagnosed with a limited range of motion and pain in the shoulder region (frozen shoulder) to compare the short- and long-term effects of a structural-oriented (manual therapy) with an activity-oriented program. Both groups received 10 days of therapy, 30 minutes each day. The activity-oriented group (n = 33, mean = 44 years, SD = 16 years) included 20 males (61%) and the structural-oriented group (n = 33, mean = 47 years, SD = 17 years) included 21 males (64%). The authors reported that the activity-oriented group revealed significantly greater improvements in the performance of daily life activities and functional and structural tests compared with the group treated with conventional therapy after 10 days of therapy and at the three-month follow-up ( $p < 0.05$ ).

In a systematic review Steuri, et al. (2017) investigated the effectiveness of conservative interventions for pain, function, and range of motion in adults with shoulder impingement syndrome (SIS). For pain, exercise was superior to non-exercise control interventions, but when manual therapy was combined with exercise, it was superior to just exercise alone. Limitations included a broad clinical diversity, lack of control groups, varying length of follow-up, heterogeneity, and trials with high risk of bias. Even though the authors found the quality of evidence was low, exercise should be considered for patients with shoulder impingement symptoms; manual therapy may be added as well.

In an updated Cochrane review on the effectiveness of manual therapy and exercise for rotator cuff disease compared to placebo, no intervention, or other therapies, Page et al. (2016) did not identify any clinically important differences between groups in any outcome. The authors recommend that novel combinations of manual therapy and exercise be compared with a realistic placebo in future trials, and that further trials of manual therapy alone or exercise alone for rotator cuff disease should be based upon a strong rationale and consideration of whether or not they would alter the conclusions of their review.

Noten et al. (2016) performed a systematic review of the literature for efficacy of isolated articular mobilization techniques in patients with primary adhesive capsulitis (AC) of the shoulder. Twelve randomized controlled trials involving 810 patients were included. The efficacy of seven different types of mobilization techniques was evaluated. Overall, the authors found mobilization techniques have beneficial effects in patients with primary AC of the shoulder. The main weakness of this review is the risk of bias; most studies failed to achieve blinding of the patients, therapist, and assessor. Additional limitations included heterogeneity and variation among follow-up, total duration, and frequency of the therapy.

Ho et al. (2009) conducted a systematic review of 14 randomized controlled trials to evaluate the effectiveness of manual therapy (MT) techniques (including massage, joint mobilization, and manipulation) for shoulder disorders. Results were analyzed within diagnostic subgroups (adhesive capsulitis (AC), shoulder impingement syndrome [SIS], non-specific shoulder pain/dysfunction) and a qualitative analysis using levels of evidence to define treatment effectiveness was applied. The authors concluded there was no clear evidence to suggest additional benefits of manual therapy to other interventions for shoulder impingement syndrome. The findings of the higher quality studies, however, favored manual therapy for pain reduction over exercise-alone and conventional physiotherapy-alone. Ranges of motion (ROM) outcomes were equivalent between groups receiving manual therapy and conventional physiotherapy. Studies that measured shoulder function favored the addition of manual therapy to exercises and were more effective than other physiotherapy procedures employed. In contrast, manual therapy was no more effective than other interventions in improving pain, range of motion, and function for the treatment of adhesive capsulitis. For non-specific shoulder pain/dysfunction, manual therapy was effective in reducing pain and short-term

active range of motion, when compared to control groups and sham treatment. Perceived recovery favored manual therapy at both short-term and long-term follow-up.

Green et al. (2003) conducted a Cochrane review of 26 trials evaluating physiotherapy interventions for shoulder pain. Of the 26 trials included in the review, only three studies evaluated manual therapy and mobilization with and without exercise. The authors noted that combining mobilization with exercise resulted in additional benefit when compared to exercise alone for rotator cuff disease; however, the same is not true for adhesive capsulitis.

Bergman et al. (2004) conducted a randomized, controlled trial of 150 patients with shoulder symptoms and dysfunction of the shoulder girdle. Patients were evenly allocated to receive manipulative therapy plus usual medical care (n = 79) or usual medical care alone (n = 71). Patients were prescribed oral analgesics or nonsteroidal anti-inflammatory drugs if necessary and if this was not effective, patients could receive up to three corticosteroid injections. Patients were followed for 52 weeks. Outcomes were measured by patient-perceived recovery, severity of the main complaint, shoulder pain, shoulder disability, and general health. During treatment (6 weeks), no significant differences were found between study groups. After completion of treatment (12 weeks), 43% of the intervention group and 21% of the control group reported full recovery. After 52 weeks, approximately the same difference in recovery rate (17 percentage points) was seen between groups. The authors concluded that manipulative therapy for the shoulder girdle in addition to usual medical care accelerates recovery of shoulder symptoms.

### *Elbow, Wrist or Hand*

Five systematic reviews assessed the efficacy of manipulation or mobilization for elbow lateral epicondyle pain disorders (Heiser, et al. 2013; Hoogvliet, et al. 2013; Lucado, et al. 2018; Piper, et al. 2016; Sutton, et al. 2016). Collectively, mobilization and manipulation techniques directed at the elbow, as a single intervention or as part of multimodal care, were more beneficial than comparison groups at clinically improving pain in the short term (< 3 months) and intermediate term (up to 6-months). Mobilization appeared to be more beneficial than control groups at improving grip strength in the short term. Comparators included corticosteroid injection, exercise, physical modalities, sham, placebo, and no treatment. The body of evidence was limited to relatively few studies that were largely of low quality.

Burnham et al. (2015) conducted a single-blinded quasi-controlled trial to evaluate the effectiveness of OMT in the management of carpal tunnel syndrome. Patients underwent weekly OMT sessions for six consecutive weeks. The main outcome measures were the Boston Carpal Tunnel Syndrome Questionnaire (BCTQ), a sensory symptom diagram (SSD), patient estimate of overall change, electrophysiologic testing of the median nerve (trans-carpal tunnel motor and sensory nerve conduction velocity and amplitude ratio), and carpal tunnel ultrasound imaging of the cross-sectional area of the median nerve and transverse carpal ligament length and bowing. The authors reported that OMT resulted in patient-perceived improvement in symptoms and function associated with CTS. However, median nerve function and morphology at the carpal tunnel did not change, possibly indicating a different mechanism by which OMT acted, such as central nervous system processes. Limitations of this study include unknown patient population and short follow-up period.

Two systematic reviews encompassing a range of physiotherapies for lateral epicondylitis concluded the evidence is insufficient for most physiotherapy interventions including manipulation or mobilization (Bisset et al., 2005; Smidt et al., 2003).

Two systematic reviews that included an assessment of extraspinal manipulation or mobilization for carpal tunnel syndrome reached disparate conclusions. A Cochrane review by O'Connor et al. (2003) of non-surgical treatment (other than steroid injection) for carpal tunnel syndrome concluded, "Current evidence shows significant short-term benefit from oral steroids, splinting, ultrasound, yoga and carpal bone mobilization... More trials are needed to compare treatments and ascertain the duration of benefit."

Goodyear-Smith and Arroll (2004) also authored a systematic review of nonsurgical treatment options for carpal tunnel syndrome. This review found, "The evidence does not support the use of nonsteroidal anti-inflammatory drugs, diuretics, pyridoxine (vitamin B<sub>6</sub>), chiropractic [manipulative] treatment, or magnet treatment."

In a comparative study by Struijs et al. (2003), 31 patients with lateral epicondylitis were randomly assigned to receive either manipulation of the wrist (n = 15) or ultrasound, friction massage, and muscle stretching and strengthening exercises (n = 16). Follow-up was at 3 and 6 weeks with three patients electing to drop out of the study. After 3 and 6 weeks of intervention, no differences in mean improvement in range of motion was found within or between the groups. The authors were unable to



definitively conclude the effectiveness of manipulation and recommend further research with randomization, and longer-term follow-up to further evaluate the use of manipulation for lateral epicondylitis.

### *Hip Osteoarthritis*

Systematic reviews and meta-analyses were conducted by Sampath et al. (2016) and Beumer et al. (2016) to explore the effects of exercise and manual therapy on pain associated with hip osteoarthritis (OA). Best available evidence in both studies indicated that exercise therapy is more effective than minimal control in managing pain associated with hip OA in the short term. Low quality evidence in the Sampath et al. study showed a benefit of manual therapy in short-term pain control. Larger high-quality RCTs are needed to establish the effectiveness of exercise and manual therapies in the medium and long term in the treatment of hip OA.

In their systematic review and meta-analysis of manual therapy in the treatment of hip OA, Wang et al. (2015) reported that limitations of their systematic review included the paucity of literature and inevitable heterogeneity between included studies and due to this, they were unable to find any evidence that manual therapy benefits the patients at short-, intermediate- or long-term follow-up.

A randomized clinical trial by Hoeksma et al. (2004) evaluated 109 patients with osteoarthritis of the hip to compare the effectiveness of a manual therapy (n = 56) with exercise therapy (n = 53) with a mean age of 72 years. The manual therapy group received therapy including manipulations and vigorous stretching while the control group received standard exercise therapy, which may have included stretching but did not include manipulation. The treatment period was 5 weeks (9 sessions). Outcomes were measured by general perceived improvement after treatment, level of pain, hip function, walking speed, range of motion, and quality of life. No major differences were found on baseline characteristics between groups. Success rates (primary outcome) after 5 weeks were 81% in the manual therapy group and 50% in the exercise group. Furthermore, patients in the manual therapy group had significantly better outcomes on pain, stiffness, hip function, and range of motion with results maintained after 29 weeks. The authors concluded that manual therapy is superior to exercise therapy for patients with OA of the hip.

### *Knee Osteoarthritis*

In a systematic review and meta-analysis of manual therapy for the treatment of OA of the knee, Salameh et al. (2017) reported that their findings support the use of manual therapy versus a number of different comparators for improvement in self-reported knee function. As lesser support is present for pain reduction, the authors were not able to make an endorsement of functional performance at the time. The conclusions were based on 12 studies; four of which were felt to have a low risk for bias and high treatment fidelity.

A randomized control trial was performed by Reza et. al. (2021). It contained two-arm parallel-group with a total of (n = 32) individuals with known knee osteoarthritis. Group A received a supervised exercise protocol; and Group B received specified manual therapies in combination with a supervised exercise protocol. Pain intensity and functional disability were primary outcomes and assessed with the numeric pain rating scale (NPRS) and the Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC). The data was collected at baseline, 2 weeks and 4 weeks post-intervention; all data was collected by the same assessor who was blind to the study. Group A was given specific strengthening exercises that included static quad knee extensions, standing terminal knee extension, seated leg press, partial squats, and step ups; stretching exercises included calf, hamstring and quadricep stretches. Group A performed three sessions every other day for two weeks. Group B received myofascial mobilization technique 10 times/session every other day for two weeks. The outcomes for NPRS and WOMAC demonstrated superiority for group B over group A. The authors concluded group B's interventions were found to be more effective than a group A's for improving the pain intensity and functional status of patients with knee osteoarthritis. Future studies are suggested in order to study the retention effects of the intervention protocols. Limitations included short intervention time frame, small sample size and no observation for long-term data. The study was limited due to the availability of the intervention protocols and the interventions not able to be carried out for a long period, such as 4 to 8 weeks. Future research is recommended to include studies that measure long-term effects and retention effects.

Licciardone et al. (2004) conducted a randomized controlled trial of 30 patients who recently underwent surgery for knee osteoarthritis to evaluate the efficacy of osteopathic manipulative treatment (OMT) in the hospital setting. Patients were randomly assigned to receive either OMT or sham treatment. Patients receiving OMT for knee osteoarthritis had longer length

of stays, decrease efficiency in rehabilitation and vitality. The authors concluded that osteopathic manipulative treatment does not appear to be efficacious in this hospital rehabilitation population.

### *Ankle and Foot*

Plaza-Manzano et al. (2016) conducted a randomized single-blind controlled clinical trial to analyze the effects of proprioceptive strengthening exercises versus the same exercises and manual therapy in the management of recurrent ankle sprains (n = 56). The control group performed 4 weeks of proprioceptive strengthening exercises; the experimental group performed 4 weeks of the same exercises combined with manual therapy (mobilizations to influence joint and nerve structures). Pain, self-reported functional ankle instability, pressure pain threshold (PPT), ankle muscle strength, and active range of motion (ROM) were evaluated in the ankle joint before, just after and one month after the interventions. The authors concluded that the protocol involving proprioceptive and strengthening exercises and manual therapy resulted in greater improvements in pain, self-reported functional joint stability, strength, and ROM compared to exercises alone. Larger studies with longer follow-up periods are needed.

Brantingham et al. (2012) performed an updated literature review for manipulative therapy (MT) for lower extremity conditions. 142 abstracts were reviewed which included RCTs, case series and case reports. Of the 142 studies, eight pertained to conditions effecting the knee, four regarding the hip, five regarding the ankle, and two regarding the foot. The authors found insufficient evidence for MT of the ankle and/or foot combined with multimodal or exercise therapy for hallux abducto valgus. Further research is needed with inclusion of larger randomized, controlled trials and improved methodology.

Cleland et al. (2009) conducted a multicenter randomized clinical trial of 60 patients with plantar heel pain to compare the effectiveness of electrophysical agents and exercise (EPAX) which included iontophoresis with dexamethasone and stretching of the gastrocnemius muscle and/or plantar fascia or a manual physical therapy and exercise (MTEX) which included aggressive soft tissue mobilization directed at the triceps surae and the insertion of the plantar fascia at the medial calcaneal tubercle. Patients were equally split between the control and treatment groups and followed for 6 months. Outcomes were measured utilizing a number of patient self-report questionnaires, including the Lower Extremity Functional Scale (LEFS), the Foot and Ankle Ability Measure (FAAM), and the Numeric Pain Rating Scale (NPRS). The primary aim (effects of treatment on pain and disability) was examined with a mixed-model analysis of variance (ANOVA). Both groups demonstrated a significant improvement over time; however, the patients receiving in the MTEX group experienced greater clinical benefits in terms of function and pain than the patients in the EPAX group.

A randomized trial by du Plessis et al. (2011) compared manual and manipulative therapy (MMT) with standard care of a night splint(s) for symptomatic mild to moderate hallux abducto valgus (HAV). Thirty patients were equally assigned to each group. The control group used a night splint(s) while the experimental group (MMT) received 4 MMT 4 treatments over a 2-week period. Outcomes were measured with visual analogue scale, foot function index and hallux dorsiflexion. Outcome measure scores in the control group (night splint) regressed between the 1-week follow-up and 1-month follow-up when patients did not use the night splint, while the scores in the experimental group (MMT) were sustained up to the 1-month follow-up. The authors concluded that a structured protocol of manual and manipulative therapy is equivalent to standard care of a night splint(s) for symptomatic mild to moderate HAV in the short term.

### *Headache*

Rist et al. (2019) performed a systematic review and meta-analysis of published randomized clinical trials (RCTs) to evaluate the evidence regarding spinal manipulation as an alternative therapy in reducing migraine pain and disability. The search identified six RCTs with a total of 677 participants eligible for meta-analysis. Outcomes included measures of migraine days, migraine pain/intensity, and migraine disability. Methodological quality varied across the studies. For example, some studies received high or unclear bias scores for methodological features such as compliance, blinding, and completeness of outcome data. Heterogeneity across the studies was low. The authors observed that spinal manipulation may be an effective therapeutic technique in reducing migraine days and pain/intensity. The results are considered to be preliminary and future rigorous, large-scale RCTs are warranted to further evaluation spinal manipulation as a treatment for migraine. (Author Chaibi 2017a/b which was previously cited in this policy, is included in the Rist et al. (2019) and Rani et al. (2019) meta-analysis).

Rani, et al (2019) published an evidence synthesis of previously reported systematic reviews that described the effectiveness of physical therapy interventions for the treatment of individuals diagnosed with cervicogenic headache. This approach allowed for the inclusion of systematic reviews of overlapping interventions such as manipulation, manual therapy, and mobilization.

Additionally, this ‘overview’ of existing reviews incorporated a qualitative appraisal of the strengths and limitations of existing systematic reviews. Based on six moderate to high quality systematic reviews, the authors concluded that manipulation and mobilization therapies are effective in reducing pain and functional disability in patients having cervicogenic headache.

The effectiveness of mobilization and manipulation was compared to other conservative treatments on reducing pain intensity, frequency, and disability in patients with cervicogenic and tension-type headaches in a systematic review and meta-analysis (Coelho et al., 2019). Nine RCTs totaling 793 participants were included in the systematic review. Of these, only three trials were judged to have a low risk of bias. Manipulation/mobilization was found to be equally as effective as other conservative treatments in reducing pain, disability, and frequency of headache in individuals with cervicogenic headache.

Manipulation/mobilization was found to be more effective than comparative conservative care over the short-term (up to 4 weeks) and similar to other interventions at 3 months follow-up for individuals with tension-type headache.

A systematic review and meta-analysis evaluated the effectiveness of manual therapies, including manipulation, on health-related quality of life in patients with tension-type headache, migraine or cervicogenic headache (Maistrello et al., 2019). Manual therapy obtained more favorable clinically significant effects compared to usual care and placebo in terms of quality-of-life patients with tension-type and migraine headaches. The results should be viewed with caution due to the very low overall level of evidence and high risk of bias of the most influential studies. In patients with cervicogenic headache, the results were inconsistent. There is a need to make new specific studies for this type of headache. The authors concluded, “In the face of significant improvements compared to baseline and the absence of adverse effects, manual therapy should, therefore, be considered as a valid approach, being able to positively affect the quality of life of patients with headache.”

Comprehensive evidence syntheses of the effectiveness of manual therapies including manipulation were published by Bronfort et al. (2010) and updated by Clar et al. (2014). Both reported that spinal manipulation is effective for the treatment of acute low back pain, acute/subacute neck pain, and chronic neck pain (when combined with exercise). Neither report found conclusive evidence for cervical manipulation/mobilization for tension type headaches as well as manipulation alone for coccydynia, sciatica and fibromyalgia. In contrast to the earlier report by Bronfort, et al. (2010), the evidence synthesis by Clar, et al (2014) concluded there is moderate (positive) evidence for mobilization techniques for the treatment of cervicogenic headache.

### ***Temporomandibular Joint (TMJ) Disorders (TMD)***

The available evidence for use of manual therapy in the treatment of TMJ disorders is insufficient to consider the procedure proven to be safe and effective; additional quality long-term randomized control trials are needed.

Two systematic reviews evaluated the effectiveness of manual therapy in the treatment of pain related to temporomandibular joint disorder (TMD). The systematic review by Herrera Valenci et al. (2020) found six RCTs; two studies were of low quality and the other four were considered high quality. While the analysis concluded that manual therapy was an effective treatment for TMD, the positive effect seems to decrease over time unless paired with therapeutic exercise (TE) which seem to favor long term effects on decreasing pain. The de Melo et al. (2020) systematic review consisted of five studies which found manual therapy to be effective for pain relief, however there was a high risk of bias. Both studies concluded due to the low number of studies and the variability within each, the conclusion was further research is needed on the topic to validate the efficacy and long-term effects of manual therapy for TMD.

A systematic literature search identified two systematic reviews with meta-analysis (Martins, 2016; Armijo-Olivo, 2016), an additional three systematic reviews (Adelizzi, 2016; Calixtre, 2015; De Castro 2018), and two RCTs (Corum, 2018; Brochado, 2018) that were not included in any evidence synthesis.

The individual studies investigated the treatment of participants with different temporomandibular dysfunction (TMD) diagnostic classifications (arthrogenous, myogenous, mixed) using a range of manual therapy (MT) interventions including manipulation of the jaw and cervical spine, with or without exercise, in comparison to passive and active interventions. The reviews and one of two RCTs reported results favoring manipulative therapy for the outcomes of pain intensity, maximal mouth opening (MMO) and pressure pain threshold (PPT), typically over the short-term (< 3 months follow-up). Most of the individual studies were judged to have a high or unclear risk of bias (RoB). In particular, most studies did not satisfy critical indicators of methodological risk of bias (likely to over-estimate beneficial treatment effects) such as randomization, allocation concealment, blinding and intention-to-treat analyses. Additionally, it was uncertain if sample size was adequate for most of the included studies and clinical significance was not routinely described. Therefore, the data in the reviews should be interpreted carefully.

Four of the systematic reviews had one or more critical flaws along with other methodologic weaknesses and could not be relied on to provide an accurate and comprehensive summary of the available studies. Adelizzi, et al. (2016) was rated as being of critically low quality due to limitations in reporting the research questions and inclusion criteria for the review, uncertainty about the comprehensiveness of the literature search strategy, and methods used to assess RoB in non-randomized studies of interventions (NRSI). Calixtre, et al. (2015) was judged to be of low quality due to a critical flaw regarding the accuracy of the results. The analysis (Tables 4 and 5) reported absolute changes incorrectly, as effect sizes, and further compounded the error by interpreting the magnitude of results using Cohen's d criteria. Non-critical weaknesses were identified increasing the risk of selection and funding bias. The systematic review authored by De Castro, et al. (2018) contained several critical methodologic flaws relating to the development of the review, the approach used to identify and extract study data, and the failure to incorporate the role of study bias into the analysis. A systematic review with meta-analysis (Martins, et al; 2016) was deemed to be of critically low quality. There were critical flaws pertaining to the literature search strategy and the statistical methods used to interpret the meta-analytic results, which likely over-estimated the effects of manipulative therapy for pain intensity and MMO.

The systematic review and meta-analysis conducted by Armijo-Olivo, et al. (2016) was rated as moderate overall quality. A detailed assessment of the review showed that for pain intensity MT interventions including manipulative therapy, when used as a monotherapy, did not achieve clinically relevant outcomes. Further, it was not possible to distinguish the effects on pain intensity of MT when combined with exercise interventions. Over the short-term, MT demonstrated potentially clinically meaningful benefit concerning MMO. MT-alone (6 RCTs) showed mixed results for individuals diagnosed as having mixed (arthrogenous and myogenous) TMD.

A RCT conducted by Corum, et al; 2018 was not included in any of the evidence syntheses. As with previous trials on the topic, the study had a high RoB due to significant flaws concerning treatment allocation, blinding and failure to include all participants in the analysis. Also, there were concerns about the potential for bias due to compliance with the intervention and avoidance of co-interventions. Further, the treatment arms did not permit conclusions about the discreet effects of MT on pain and MMO. Additionally, the statistical approach did not allow for judgments about clinical relevance and precision.

Another RCT that was not assessed in the included reviews was performed by Brochado, et al. (2018). The authors investigated the comparative effectiveness of photobiomodulation (laser therapy) and MT, alone or combined. Outcomes measured included pain intensity, MMO, psychosocial aspects, and anxiety symptoms of TMD patients. While all groups improved across the measured outcomes, the change in mean scores did not differ significantly between groups during the 90-day evaluation time.

In summary, the current body of evidence regarding the efficacy of MT for TMD consists of generally promising results across patient-important outcomes. However, confidence in the estimates of effect is limited by the low quality of evidence, uncertainty about clinical relevance, and durability of outcomes.

## Prevention Manipulative Treatment Care

There is insufficient evidence to conclude manipulative therapy is effective for prevention, maintenance, or custodial care. Additional research involving larger, well-designed studies is needed to establish its safety and efficacy.

Chow et. al (2021) conducted a systematic review which investigated the association between Spinal Manipulative Therapy (SMT) and its efficacy and effectiveness in preventing or improving the immune system and infectious disease outcomes. The analysis included 529 participants from eight high quality articles. While SMT has been associated with immediate changes in the levels of selected immunological biomarkers, the duration of these changes and their clinical significance is unknown. The authors concluded the evidence analyzed neither supported nor refuted the effectiveness of SMT and its association with lymphocyte levels among patients with low back pain; further studies of high RCTs are warranted. Limitations included English published studies only and that study screening was performed by only one investigator rather than two.

Eklund et al. (2018) conducted a pragmatic randomized controlled trial to investigate the effectiveness of chiropractic maintenance care (MC) versus symptom-guided treatment for recurrent and persistent low back pain (LBP) who had an early favorable response to chiropractic care. After an initial course of treatment, eligible subjects were randomized to either MC (n = 166) or control (symptom-guided treatment) (n = 161). The primary outcome was total number of days with bothersome LBP during the 52 weeks collected weekly with text-messages and estimated by a GEE model. Of the subjects who were eligible after the first visit, 32% were lost and of the subjects who were eligible at the fourth visit, 25% were lost. During the 12-month study period, the MC group (n = 163, 3 dropouts) reported 12.8 (95% CI = 10.1, 15.5; p = < 0.001) fewer days in total with

bothersome LBP compared to the control group (n = 158, 4 dropouts) and received 1.7 (95% CI = 1.8, 2.1; p = < 0.001) more treatments. The 12.8% reduction from MC did not meet the prespecified clinically meaningful difference of 20% for acute LBP and 30% for chronic LBP. The authors' concluded that for selected patients with recurrent or persistent non-specific LBP who respond well to an initial course of chiropractic care, MC should be considered an option for tertiary prevention. Further research is likely to have an important impact on confidence in estimate of effect of MC and may change the estimate. Limitation included lack of a sham intervention and possibility of social desirability in participants' report of symptoms.

Brumm et al. (2012) conducted a prospective cohort study to apply a preventive OMT protocol for cross-country athletes to reduce the incidence of stress fractures. Examinations of cross-country athletes at an NCAA (National Collegiate Athletic Association) Division I university were performed over successive academic years. More than 1,800 participant examinations were performed on 124 male and female participants over the course of five consecutive academic years. Data from these academic years were compared with data from the previous eight academic years. The intervention included osteopathic structural examination and OMT that focused on somatic dysfunction identified in the pelvis, sacrum, and lower extremities. According to the authors, the results demonstrated a statistically significant decrease in the cumulative annual incidence of stress fractures in male, but not female, cross-country athletes after receiving OMT. The study is limited by the lack of a contemporary comparison group. Further research with randomized controlled trials is needed to validate these findings.

Martel et al. (2011) conducted a randomized controlled trial to compare the efficacy of preventive spinal manipulative therapy (SMT) to no treatment in 108 patients with non-specific chronic neck pain. The trial was divided into two phases. The first was the non-randomized, symptomatic phase during which all eligible participants received a short course of SMT. Ten patients dropped out of the study following the symptomatic phase. After completing the symptomatic phase, the remaining 98 participants were randomly assigned to 1 of 3 parallel groups (no treatment (n = 29), a SMT group (n = 36) or a SMT plus exercise group (n = 33)). The second preventive phase lasted 10 months. Outcomes were measured using visual analog scale (VAS), active cervical ranges of motion (cROM), the neck disability index (NDI) and the Bournemouth questionnaire (BQ). Patients were also asked to keep an exercise diary. Mean adherence to the home exercise program was 48.8%. In the preventive phase, all three groups showed outcomes scores similar to those obtain following the non-randomized, symptomatic phase. Overall spinal manipulation or spinal manipulation combined with exercises did not have any significant advantages when compared to the no treatment strategy. The authors found that preventive therapy was no more effective than no treatment at all for patients with non-specific chronic neck pain. Limitations included small sample size and absence of blinding.

A randomized controlled trial by Senna and Machaly (2011) investigated the effects of maintenance spinal manipulation therapy for chronic non-specific low back pain. Subjects were randomized into three groups and followed for 10 months. Group 1 (n = 40) received sham manipulation during the first month and no treatment over the subsequent 9 months. Group 2 (n = 27) received manipulation during the first month but no treatment during the following 9 months. Group 3 (n = 26) received manipulation during the first month and 'maintenance' manipulation every 2 weeks for an additional 9 months. At the end of 10 months, 33 subjects declined follow-up. Five withdrew in the first phase before treatment began. Of the remaining 88 subjects, 80 were evaluated at 4 months, 71 at 7 months and 60 at 10 months. Subjects in groups 2 and 3 experienced significantly lower pain and disability scores compared to the control group after the initial 1-month treatment period. At the end of 10 months, group 3 reported significantly lower pain and disability scores compared to Group 2. The authors concluded that spinal manipulation is an effective treatment for chronic non-specific low back pain. While Group 3 reported better outcomes, the basis of this improvement could not be determined as to whether it was the manipulation or the placebo effect of continued visits. The study is further limited by serious methodological flaws e.g., 35% drop-out rate; incomplete outcome data; lack of blinding; and uncertainty about allocation concealment, use of co-interventions, and compliance across groups.

### **Non-Musculoskeletal Disorders (e.g., Asthma, Otitis Media, Infantile Colic, etc.)**

The long-term safety and effectiveness of the use of chiropractic management and manual therapies in the treatment of non-neuromusculoskeletal conditions, including but not limited to hypertension, asthma, colic, and otitis media have not been proven in the medical literature through quality research, such as long-term, randomized, controlled clinical trials.

The global summit on the efficacy and effectiveness of spinal manipulative therapy (SMT) for the prevention and treatment of non-musculoskeletal disorders conducted a systematic review of the literature and found no evidence that SMT has a positive effect for management of non-musculoskeletal disorders including infantile colic, childhood asthma and migraines (Côté, et al. 2021).

Numerous systematic literature reviews have investigated manipulative therapies for a range of non-musculoskeletal disorders. Relevant systematic reviews address the treatment of respiratory disorders such as asthma (Alcantara et al., 2012; Hondras et al., 2005, Kaminsky et al., 2010; Pepino et al., 2013) cystic fibrosis, bronchiolitis, recurrent infections (Pepino et al., 2013); and chronic pulmonary obstructive disease (Heneghan et al., 2013).

Four systematic reviews examined the use of manipulation for the management of gastro-intestinal disorders affecting infants e.g., infantile colic (Alcantara et al., 2011; Dobson et al., 2012), adults for irritable bowel syndrome (Müller et al., 2013), gastroesophageal reflux and duodenal ulcers (Ernst, 2011).

Three systematic reviews reported on the efficacy manual therapy for the treatment of otitis media. (Carr and Nahata, 2006; Leighton, 2009; Pohlman, 2012)

Systematic reviews of manipulation as part of manual therapy interventions were identified for the treatment of attention deficit hyperactivity disorder [ADHD] (Karpouzis et al., 2010), hypertension (Mangum et al., 2012), nocturnal enuresis (Huang et al., 2011), insomnia (Kingston et al., 2010), and lower urinary tract symptoms [LUTS] (Franke and Hoesele, 2013).

Collectively, the direction of outcomes favored subjects receiving manual therapy interventions. However, the limited number of studies and the quality of research evidence (designs, methodologies, sample sizes, variation of interventions, and outcomes measured) do not permit confident judgments about the effectiveness and safety of manual therapy interventions including manipulation for the treatment of non-musculoskeletal disorders.

Additional systematic reviews that included a wide range of non-musculoskeletal disorders found the evidence lacking, inconclusive or unproven in assessing the effectiveness of manual therapy interventions including manipulative therapy for the treatment of non-musculoskeletal disorders (Clar et al., 2014; Posadzki et al., 2013; Gleberzon et al., 2012; Gotlib and Rupert, 2008; Ferrance and Miller, 2010).

### ***Neuroimmunoendocrine Effects***

A rapid evidence review examined research cited in support of claims of effectiveness for spinal manipulation in conferring or enhancing immunity (Kawchuk et al., 2020). The authors critically assessed seven cited studies. They found no credible, scientific evidence that spinal manipulation has any clinically relevant effect on the immune system. The available studies had small sample sizes and lacked symptomatic subjects. The authors concluded there exists no credible scientific evidence of effectiveness for conferring or enhancing immunity through spinal manipulation. Therefore, the use of spinal manipulation to treat or prevent infectious diseases is unproven.

### **Visceral Disorders**

The available evidence is limited and insufficient to conclude that manipulative therapy is effective for disorders of the internal organs. Additional robust, high quality studies are needed to establish the safety of this treatment.

A randomized, double blind, placebo-controlled trial was conducted by Eguaras et al. (2019) to evaluate the effects osteopathic visceral treatment on patients with Gastroesophageal Reflux Disease (GERD). Sixty patients were recruited and randomized into two groups, each receiving two sessions of treatment with a weeklong lapse between each. The GerdQ questionnaire was used to assess symptom changes. The experimental group received a visceral osteopathic technique conducted by a professional osteopath. The sham group had the same osteopath, however only physical contact was made with the patients; no pressure was applied, nor any actual osteopathic treatment was applied. The scores of the GerdQ test showed the application of the osteopathic manual treatment produced a significant improvement in symptoms for the experimental group compared to the sham group. The authors concluded that the osteopathic visceral technique may be useful on patients for improvement in their GERD symptoms. Limitations included lack of long-term follow-up, restriction to one technique for only two sessions and absence of practitioner blinding.

Parnell Prevost et al. (2019) conducted a systematic review which evaluated the use of osteopathic treatment for clinical conditions in the pediatric population. Examples of clinical conditions consisted of ADHD, autism, asthma, infantile colic, constipation, otitis media, scoliosis, and torticollis. Of the fifty studies found, 32 were RCTs and 18 were observational; 23 studies were specific to OMT, 17 used chiropractic manipulative therapy and 10 with mobilization. While some pediatric conditions such as low back pain and pulled elbow had a positive outcome with implementation of osteopathic treatment, the

authors found the overall results as inconclusive. It was determined that additional research investigating osteopathic treatment on pediatric conditions is needed.

Silva et al. (2018) conducted a randomized, double-blind, placebo-controlled pilot study to evaluate the effect of osteopathic visceral manipulation (OVM) on pain, cervical range of motion, and upper trapezius (UT) muscle activity in patients with chronic nonspecific neck pain (NS-NP) and functional dyspepsia. Twenty-eight NS-NP patients were randomly assigned into two groups: treated with OVM (OVMG;  $n = 14$ ) and treated with placebo visceral manipulation (PVMG;  $n = 14$ ). The effects were evaluated immediately and 7 days after treatment through pain, cervical range, and electromyographic activity of the UT muscle. Significant effects were confirmed for both groups immediately after treatment (OVMG and PVMG) for numeric rating scale scores ( $p < 0.001$ ) and pain area ( $p < 0.001$ ). Significant increases in EMG amplitude were identified immediately and 7 days after treatment for the OVMG ( $p < 0.001$ ). No differences were identified between the OVMG and the PVMG for cervical range of motion ( $p > 0.05$ ). The authors' concluded that this study demonstrated that a single visceral mobilization session for the stomach and liver reduces cervical pain and increases the amplitude of the EMG signal of the UT muscle immediately and 7 days after treatment in patients with nonspecific neck pain and functional dyspepsia. Limitations of this study include small sample size, lack of blinding, and short follow-up period. These findings need to be independently reproduced with focus on group difference rather than before-after changes.

In a randomized, placebo-controlled trial, Panagopoulos et al. (2015) investigated whether the addition of visceral manipulation, to a standard physiotherapy algorithm, improved outcomes in patients with low back pain. Sixty-four patients with low back pain who presented for treatment at a private physiotherapy clinic were randomized to one of two groups: standard physiotherapy plus visceral manipulation ( $n = 32$ ) or standard physiotherapy plus placebo visceral manipulation ( $n = 32$ ). The primary outcome was pain (measured with the 0-10 Numerical Pain Rating Scale) at 6 weeks. Secondary outcomes were pain at 2 and 52 weeks, disability (measured with the Roland-Morris Disability Questionnaire) at 2, 6 and 52 weeks and function (measured with the Patient-Specific Functional Scale) at 2, 6 and 52 weeks. The addition of visceral manipulation did not affect the primary outcome of pain at 6 weeks (-0.12, 95% CI = -1.45 to 1.21). There were no significant between-group differences for the secondary outcomes of pain at 2 weeks or disability and function at 2, 6 or 52 weeks. The group receiving addition of visceral manipulation had less pain than the placebo group at 52 weeks (mean 1.57, 95% CI = 0.32 to 2.82). The results suggest that visceral manipulation in addition to standard care is not effective in changing short-term outcomes but may produce clinically worthwhile improvements in pain at 1 year.

## Scoliosis

The available evidence for manual therapy including spinal manipulation for the treatment of adolescent and adult idiopathic scoliosis is insufficient to consider the procedure proven to be safe and effective.

Th roux et al. (2017) conducted a systematic review of four studies which met the inclusion criteria of prospective trials evaluating spinal manipulative therapy (e.g., chiropractic, osteopathic, physical therapy) for adolescent idiopathic scoliosis. The findings of the included studies indicated that spinal manipulative therapy might be effective for preventing curve progression or reducing Cobb angle. However, the lack of controls and small sample sizes precluded robust estimation of the interventions' effect sizes. The authors concluded that there is currently insufficient evidence to establish whether spinal manipulative therapy may be beneficial for adolescent idiopathic scoliosis. The results of the included studies suggest that spinal manipulative therapy may be a promising treatment, but these studies were all at substantial risk of bias. Further high-quality studies are warranted to conclusively determine if spinal manipulative therapy may be effective in the management of adolescent idiopathic scoliosis.

In a systematic review to evaluate the current body of literature on chiropractic treatment of IS, Morningstar et al. (2017) identified 15 case reports, 10 case series, one prospective cohort, and 1 RCT. Of the 27 studies, only two described their outcomes as recommended in a 2014 SOSORT and the SRS Non-Operative Management Committee consensus paper. The consensus paper details the format and types of outcomes they collectively believe are the most important and relevant to the patient. Among the chiropractic studies located in this review, two described outcomes consistent with how SOSORT recommends they be reported. Given that these consensus papers form the basis for nonoperative treatment recommendations and outcome reporting, future chiropractic studies should seek to report their outcomes as recommended by these papers. This may allow for better interprofessional collaboration and methodologic comparison.

Czaprowski (2016) conducted a systematic review to assess the efficacy of non-specific manual therapy (manual therapy, chiropractic, osteopathy) used in the treatment of children and adolescents with IS. Results of these studies are contradictory,

ranging from Cobb angle reduction to no treatment effects whatsoever. The papers analyzed are characterized by poor methodological quality, small group sizes, incomplete descriptions of the study groups, and no follow-up or control groups.

Additional systematic reviews reported on manual therapy for the treatment of idiopathic scoliosis (Everett and Patel, 2007; Romano and Negrini, 2008; Gleberzon et al., 2012; Posadzki et al., 2013). All of the reviews arrived at similar conclusions; there is a lack of evidence, which does not permit conclusions on the efficacy of manual therapy including spinal manipulation for the treatment of adolescent and adult idiopathic scoliosis.

## **Craniosacral Therapy (CST)**

CST is considered unproven as there is insufficient evidence to support its role in manipulative therapy; additional robust, high quality studies are needed to support its safety and efficacy.

The effectiveness and safety of craniosacral therapy for chronic pain conditions was investigated by Haller, et al (2020). Ten RCTs of 681 patients with neck and back pain, migraine, headache, fibromyalgia, epicondylitis, and pelvic girdle pain were included. Craniosacral therapy showed small/moderate greater post intervention effects on pain intensity and disability compared to treatment as usual care, sham, and active manual treatments. Effects were maintained through 6-months follow-up. The implications of the findings were viewed by the authors as preliminary due to the small number of studies included in the meta-analysis. Most individual analyses included only two studies with a median pooled sample of 138 (range 119-230) participants, which produced imprecise results across primary and secondary outcomes. It is likely that additional studies will change the estimates of effect. Confidence in the reported estimates of effect was also reduced due to the frequent unclear risk of bias profile of the included RCTs. Many RCTs did not report allocation concealment, blinding of outcome assessment, and alternative methods of decreasing the risk of performance bias. Additionally, the study does not allow for making conclusions about the effectiveness of craniosacral therapy for specific pain conditions. (Author Haller et al. (2016) which was previously cited in this policy, is included in the Haller et al. (2020) meta-analysis).

Castejón-Castejón, et al (2019) conducted a small RCT (n = 58) to assess the effectiveness of craniosacral therapy in the treatment of infantile colic. The authors reported clinically significant benefits for crying time (hours), colic severity and sleep duration favoring craniosacral therapy at 7, 14, and 24 days follow up assessments. Confidence in the conclusions was limited due to a high risk of detection, performance, and attrition bias. In addition to methodologic limitations, the results are likely not generalizable as the study was conducted at a single site by one clinician.

Guillaud, et al (2016) critically evaluated the scientific literature describing with the reliability of diagnosis and the clinical efficacy of cranial osteopathy techniques (craniosacral therapy). The systematic review included nine studies concerning the reliability of diagnosis and 14 RCTs that described the efficacy of craniosacral therapy for a range of musculoskeletal and non-musculoskeletal conditions. The authors found no evidence to support the reliability of diagnoses made using craniosacral therapy. Most studies were vulnerable to a high risk of bias and failed to demonstrate any reliability for the selected outcomes. The authors also concluded there were very few well conducted trials demonstrating the clinical efficacy of techniques and therapeutic strategies used in craniosacral therapy. Most were seriously flawed and those with a low risk of bias reported only modest results that cannot be ruled out as being due to the non-specific effects of treatments. The authors concluded, there is insufficient evidence to support craniosacral therapy as being relevant for the diagnosis or treatment of patients.

In a preliminary report on the utility of CST techniques in the treatment of patients with lumbosacral spine overload Białoszewski et al., (2014) compared its effectiveness to that of trigger point therapy, another type of therapeutic approach. The study enrolled 55 selected patients with low back pain. The participants were randomly assigned to one of two groups: patients treated with craniosacral therapy (G-CST) and patients treated with trigger point therapy (G-TPT). The authors concluded that both CST and trigger point therapy may be clinically effective in the treatment of patients with non-specific lumbosacral spine pain, and that the present findings represent a basis for conducting further and prospective studies of larger and randomized samples.

## **Manipulative Therapy with Non-Standard Techniques**

Published peer-reviewed literature was not identified for non-standard manipulative therapy techniques such as applied kinesiology, National Upper Cervical Chiropractic Association (NUCCA), and neural organizational technique (NOT).



## Clinical Practice Guidelines

### *American Osteopathic Association (AOA)*

In a systematic review on the use of osteopathic manipulative treatment (OMT) in patients with low back pain (LBP), the AOA's updated clinical guideline (2016) concludes that this therapy significantly reduces pain and improves functional status in patients, including pregnant and postpartum women, with nonspecific acute and chronic LBP. The AOA recommends that larger randomized controlled trials with robust comparison groups be conducted to further validate the effects of OMT on LBP. In addition, more research is needed to understand the mechanics of OMT and its short- and long-term effects, as well as the cost-effectiveness of such treatment.

### *American College of Physicians (ACP)/American Pain Society (APS)*

The ACP clinical practice guideline "Noninvasive Treatments for Acute, Subacute, and Chronic Low Back" recommends nonpharmacologic treatment including manipulative therapy as a first line approach for individuals with acute, subacute or chronic LBP (Qaseem, et al; 2017).

Clinical guidelines published jointly by the ACP and the APS for the diagnosis and treatment of low back pain recommend spinal manipulation for patients who do not improve with self-care options along with a number of other nonpharmacological therapies, (Chou et al., 2007).

## U.S. Food and Drug Administration (FDA)

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

Manipulative therapy and craniosacral therapy are procedures and not subject to FDA regulation.

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## Policy History/Revision Information

Date	Summary of Changes
06/01/2022	<b>Related Policies</b> <ul style="list-style-type: none"><li>Updated reference links to the Medical Policies titled <i>Diagnostic Spinal Ultrasonography</i> and <i>Neuropsychological Testing Under the Medical Benefit</i></li></ul>
04/01/2022	<b>Related Policies</b> <ul style="list-style-type: none"><li>Updated reference link to reflect title change for the Medical Policy titled <i>Diagnostic Spinal Ultrasonography (for Nebraska Only)</i> [previously titled <i>Spinal Ultrasonography (for Nebraska Only)</i>]</li></ul>
01/01/2022	<b>Supporting Information</b> <ul style="list-style-type: none"><li>Updated <i>Clinical Evidence</i> and <i>References</i> sections to reflect the most current information</li><li>Removed <i>CMS</i> section</li><li>Archived previous policy version CS076NE.I</li></ul>

## Instructions for Use

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the federal, state or contractual requirements for benefit plan coverage must be referenced as the terms of the federal, state or contractual requirements for benefit plan coverage may differ from the standard benefit plan. In the event of a conflict, the federal, state or contractual requirements for benefit plan coverage govern. Before using this policy, please check the federal, state or contractual requirements for benefit plan coverage. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the InterQual<sup>®</sup> criteria, to assist us in administering health benefits. The UnitedHealthcare Medical Policies are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.