

Neuropsychological Testing Under the Medical Benefit

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[➔ Instructions for Use](#)

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Related Optum Guideline

- [Psychological and Neuropsychological Testing](#)

Coverage Rationale

[➔ See Benefit Considerations](#)

Neuropsychological testing is proven and medically necessary for evaluating members with the following conditions when the result of testing will be useful in determining a diagnosis, prognosis, or influence treatment planning:

- Attention-deficit/hyperactivity disorder (ADHD) when all of the following are present:
 - Specific neurocognitive behavioral deficits related to ADHD need to be evaluated and
 - Testing has been recommended by a physician and is related or secondary to a known or suspected organic-medical condition resulting from brain injury or disease process (e.g., concussion, intractable seizure disorder, cancer treatment effects, genetic disorders, inborn errors of metabolism)

Note: The scope of these criteria is applicable only to neuropsychological testing that is covered by the medical benefit. These criteria do not apply to evaluate or determine educational interventions.

- Confirmed space-occupying brain lesion including but not limited to the following:
 - Brain abscess
 - Brain tumors
 - Arteriovenous malformations within the brain
- Dementia or symptoms of dementia such as memory impairment or memory loss (including extrapyramidal disorders such as Parkinson’s disease) that is associated with a new onset or progressive memory loss and a decline in at least one of the following cognitive domains (DSM-5):
 - Complex attention
 - Executive function
 - Learning and memory
 - Language
 - Perceptual-motor
 - Social cognition
- Demyelinating disorders including multiple sclerosis
- Intellectual disability or intellectual developmental disorder when all of the following are present:

- The intellectual disability or intellectual developmental disorder is associated with a known or suspected medical cause (e.g., Traumatic Brain Injury, in utero toxin exposure, early seizure disorder, sickle cell disease, genetic disorders) and
- The intellectual disability or intellectual developmental disorder meets all of the following criteria (DSM-5):
 - Deficits in intellectual function, such as reasoning, problem solving, planning, abstract thinking, judgment, academic learning, and learning from experience, confirmed by both clinical assessment and individualized, standardized intelligence testing,
 - Deficits in adaptive functioning that result in failure to meet developmental and sociocultural standards for personal independence and social responsibility. Without ongoing support, the adaptive deficits limit functioning in one or more activities of daily life, such as communication, social participation, and independent living across multiple environments, such as home, school, work and community, and
 - Onset of intellectual and adaptive deficits during the developmental period.

Note: The scope of these criteria is applicable only to neuropsychological testing that is covered by the medical benefit. These criteria do not apply to evaluate or determine educational interventions.

- Encephalopathy including acquired immunodeficiency syndrome (AIDS) encephalopathy, human immunodeficiency virus (HIV) encephalopathy, hepatic encephalopathy, Lyme disease encephalopathy including neuroborreliosis, Wernicke's encephalopathy and systemic lupus erythematosus (SLE) encephalopathy.
- Neurotoxin exposure with at least one of the following:
 - Demonstrated serum levels of neurotoxins
 - Member with one or more of the following:
 - Documented prenatal alcohol, drug, or toxin exposure
 - History of radiation therapy or chemotherapy
- Seizure disorder including members with epilepsy
- Stroke
- [Traumatic Brain Injury \(TBI\)](#)
- The member is being considered for a medical or surgical procedure that may affect brain function (e.g., epilepsy surgery, resection of brain tumors or arteriovenous malformations, deep brain stimulation, stem cell or organ transplants)

The following are unproven and not medically necessary due to insufficient evidence of efficacy:

- Baseline neuropsychological testing in asymptomatic members at risk for sport-related concussions
- Computerized cognitive testing such as Cognivue®, Mindstreams® Cognitive Health Assessment, BrainCare™, and QbTest
- Computerized neuropsychological testing when used as a stand-alone test for evaluating concussions
- Neuropsychological testing for the following diagnoses alone without other proven conditions as noted above:
 - Headaches including migraine headache
 - History of myocardial infarction
 - Intermittent explosive disorder
- Neuropsychological testing that is comprised exclusively of self-administered or self-scored inventories, or as screening tests of cognitive function or neurological disease whether paper-and-pencil or computerized (e.g., AIMS, Folstein Mini-Mental Status Examination).
- Neuropsychological testing that is used as a routine screening tool
- Neuropsychological testing that is administered for educational or vocational purposes that do not alter or direct medical or health management
- Repeat neuropsychological testing that is not required for medical decision-making
- The member is neurologically, cognitively, or psychologically unable to participate in a meaningful way in the neuropsychological testing process
- The member has been diagnosed previously with brain dysfunction, such as Alzheimer's disease, and there is no expectation that neuropsychological testing would impact the member's medical, functional, or behavioral management

Definitions

Traumatic Brain Injury (TBI): TBI is defined as a bump, blow, or jolt to the head or a penetrating head injury that disrupts the normal function of the brain. (Centers for Disease Control and Prevention).

Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this guideline does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by the member specific benefit plan document and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

| CPT Code | Description |
|----------|---|
| 96116 | Neurobehavioral status exam (clinical assessment of thinking, reasoning and judgment, [e.g., acquired knowledge, attention, language, memory, planning and problem solving, and visual spatial abilities]), by physician or other qualified health care professional, both face-to-face time with the patient and time interpreting test results and preparing the report; first hour |
| 96121 | Neurobehavioral status exam (clinical assessment of thinking, reasoning and judgment, [e.g., acquired knowledge, attention, language, memory, planning and problem solving, and visual spatial abilities]), by physician or other qualified health care professional, both face-to-face time with the patient and time interpreting test results and preparing the report; each additional hour (List separately in addition to code for primary procedure) |
| 96132 | Neuropsychological testing evaluation services by physician or other qualified health care professional, including integration of patient data, interpretation of standardized test results and clinical data, clinical decision making, treatment planning and report, and interactive feedback to the patient, family member(s) or caregiver(s), when performed; first hour |
| 96133 | Neuropsychological testing evaluation services by physician or other qualified health care professional, including integration of patient data, interpretation of standardized test results and clinical data, clinical decision making, treatment planning and report, and interactive feedback to the patient, family member(s) or caregiver(s), when performed; each additional hour (List separately in addition to code for primary procedure) |
| 96136 | Psychological or neuropsychological test administration and scoring by physician or other qualified health care professional, two or more tests, any method; first 30 minutes |
| 96137 | Psychological or neuropsychological test administration and scoring by physician or other qualified health care professional, two or more tests, any method; each additional 30 minutes (List separately in addition to code for primary procedure) |
| 96138 | Psychological or neuropsychological test administration and scoring by technician, two or more tests, any method; first 30 minutes |
| 96139 | Psychological or neuropsychological test administration and scoring by technician, two or more tests, any method; each additional 30 minutes (List separately in addition to code for primary procedure) |
| 96146 | Psychological or neuropsychological test administration, with single automated, standardized instrument via electronic platform, with automated result only |

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Description of Services

Neuropsychological testing is a set of formal procedures utilizing diagnostic tests specifically focused on identifying the presence of brain damage, injury or dysfunction and any associated functional deficits. Measurement of deficits cannot be based on single test results and should always be assessed in the context of the medical and neurological examination. Neuropsychological testing is customarily associated with neurological diagnoses rather than behavioral health diagnoses.

Neuropsychological tests are administered in a variety of contexts including paper-and-pencil, computers, and visual aids. Following an initial clinical interview with a neuropsychologist, tests are strategically selected to identify specific deficits and preserved abilities. Standardized tests are then administered by a trained technician or neuropsychologist. Some tests offer multiple forms making them useful for repeated administration to the same patient, thereby minimizing practice effects. In light of the numerous procedures available for assessment of different neurocognitive functions, test selection is based on familiarity

of the examiner with certain tests, availability of appropriate normative data, ability of the patient to participate in testing, and validity of particular procedures for the specific function being measured.

Neuropsychological tests include but are not limited to the following: Boston Diagnostic Aphasia Examination (BDAE), Conners' Continuous Performance Test (CCPT), Controlled Oral Word Association Test (COWAT), Delis-Kaplan Test Battery, Freedom from Distractibility Index (FFDI) from the Wechsler Intelligence Scales, Gordon Diagnostic System (GDS), Halstead-Reitan Neuropsychological Battery, Rey Auditory Verbal Learning Test (RAVLT), Rey-Osterreith Complex Figure Test, Stroop Color and Word Test, Test of Variables of Attention (TOVA), Trail Making Tests, Wechsler Adult Intelligence Scale-Revised (WAIS-III/IV), Wide Range Assessment of Memory and Learning (WRAML), and Wisconsin Card Sorting Test (WCST). At times, neurocognitive measures are supplemented by emotional functioning and personality testing and include but are not limited to the following: Minnesota Multiphasic Personality Inventory-2 (MMPI-2)/Minnesota Multiphasic Personality Inventory-A (MMPI-A), Personality Assessment Inventory (PAI), Geriatric Rating Scale, Beck Depression Inventory (BDI), Beck Anxiety Inventory (BAI), and Rorschach Inkblot Method.

Computerized testing for dementia and cognitive impairment including the Mindstreams® Cognitive Health Assessment (NeuroRx® Corp.) uses computer-based assessments in an attempt to identify cognitive impairment in the elderly. The software programs give members various stimuli or puzzles to solve using a mouse or a keypad. The Mindstreams system automatically generates a report that details the member's performance in the standard cognitive domains, or areas, e.g., memory, attention, executive function, visual spatial perception, verbal skills, motor planning, and information processing. According to NeuroTrax, BrainCare™ is the current version of the original MindStreams product. Cognivue (Cerebral Assessment Systems, Inc.) is another computerized cognitive test that is intended for early detection of dementia signs. Members take the 10-minute test using the Cognivue mobile computer workstation to assess visuomotor coordination, perceptual processing, and memory. Cognivue is intended to help identify patients who may be in the early stages of dementia and should undergo further evaluation. The QbTest is an online computerized test that measures activity, attention and impulsivity for assessment of attention-deficit hyperactivity disorder (ADHD).

Computerized neuropsychological tests have been proposed to be used as part of the overall medical management of concussion to monitor recovery. Most computer-based cognitive assessment tools are designed to detect the speed and accuracy of attention, memory, and thinking ability. Currently available computerized tests include ImPACT (Immediate Post-Concussion Assessment and Cognitive Testing, ImPACT Applications, Inc.), ANAM (Automated Neuropsychological Assessment Metrics, the United States Army Medical Department), CogState Sport (Axon Sports, Ltd.), and HeadMinder (Headminder, Inc.). These tests are being investigated for baseline testing of asymptomatic persons and managing concussions once they occur.

Neuropsychological testing is within the scope of the provider's professional training and licensure when the provider is any of the following:

- A doctoral-level psychologist who is licensed to practice independently and demonstrates sufficient training and experience.
- A credentialed psychiatrist who meets the following requirements:
 - Recognized certification in neurology through the American Board of Psychiatry and Neurology;
 - Accreditation in behavioral neurology and neuropsychiatry through the American Neuropsychiatric Association;
 - State medical licensure specifically allowing for the provision of neuropsychological testing service(s);
 - Evidence of professional training and expertise in the specific tests and/or assessment measures for which authorization is requested;
 - Physician and supervised psychometrician(s) adhere to the prevailing national professional and ethical standards regarding test administration, scoring, and interpretation.
- A board-certified neurologist

See the following Optum Supplemental Clinical Criteria for more information:

- Psychological and Neuropsychological Testing (to access this guideline, go to: [Optum Provider Express > Clinical Resources > Guidelines/Policies/Manuals > Supplemental Clinical Criteria](#))

Benefit Considerations

Some benefit documents within UnitedHealthcare exclude neuropsychological testing for some or all indications. The exclusions section of the member specific benefit plan document must be consulted in order to determine benefit coverage for neuropsychological testing

Neuropsychological testing for attention-deficit/hyperactivity disorder (ADHD) is a medical benefit service when medically referred and related or secondary to a known/suspected organic-medical condition resulting from brain injury or disease process (e.g., concussion, intractable seizure disorder, cancer treatment effects). Neuropsychological testing for ADHD is a mental health benefit service when representing a developmental condition not due to specific brain injury or disease process, where there are suspected organic functional impairments.

The scope of the criteria for attention-deficit/hyperactivity disorders and developmental disorders or significant developmental delays is applicable only to neuropsychological testing that is covered by the medical benefit.

Clinical Evidence

Attention Deficit Hyperactivity Disorder (ADHD)

Bechtel et al. (2012) evaluated whether boys with epilepsy-related ADHD and developmental ADHD share a common behavioral, pharmaco-responsive, and neurofunctional pathophysiology. Seventeen boys with diagnosed combined epilepsy/ADHD, 15 boys with developmental ADHD, and 15 healthy controls (aged 8-14 years) performed on working memory tasks (N-back) while brain activation was recorded using functional magnetic resonance imaging. On a behavioral level, boys with epilepsy-related ADHD as well as those with developmental ADHD performed similarly poorly on tasks with high cognitive load when compared to healthy controls. On the functional level, both patient groups showed similar reductions of activation in all relevant parts of the functional network of working memory when compared to controls. The study data showed strong similarities between epilepsy-related and developmental ADHD on the behavioral, pharmaco-responsive, and neural level, favoring the view that ADHD with and without epilepsy shares a common underlying neurobehavioral pathophysiology.

Dementia, Possible Dementia, Memory Loss, and Memory Impairment

For memory impairment or dementia screening, a single test of global measures of function or a measure of cognitive function is usually administered along with a test of behavioral or emotional symptoms. In addition to brief screening tests, for some patients, comprehensive neuropsychological testing may be indicated to confirm a diagnosis, evaluate effects of treatment, and assist in designing rehabilitative or intervention strategies for the patient. Standardized test batteries are too long for most patients with dementia; specialized dementia batteries or an individualized test battery is usually more appropriate.

A definitive diagnosis of Alzheimer's disease is based on the presence of memory deficits along with deficits in at least one other aspect of cognition, and in some cases is made on neuropsychological test results alone (Talwalker, 1996). Impairment in primary (short-term) memory alone is not a useful diagnostic marker for Alzheimer's disease in the early stages. Tests of delayed recall (long-term memory) and retrieval of facts of common knowledge have been shown to be the most useful measures to distinguish normal aging and early Alzheimer's disease. Dementia due to Alzheimer's disease can be distinguished from dementia due to vascular disease by differences in pattern of memory impairment and the progressive nature of Alzheimer's disease (Costa et al., 2017). Careful interpretation of test results, taken in conjunction with medical findings, allows differentiation of Alzheimer's disease from normal memory loss due to aging, and from vascular dementia.

In a systematic review and meta-analysis, Belleville et al. (2017) determined the extent to which cognitive measures can predict progression from mild cognitive impairment (MCI) to Alzheimer's type dementia (AD), assessed the predictive accuracy of different cognitive domain categories, and determined whether accuracy varies as a function of age and length of follow-up. The authors systematically reviewed and meta-analyzed data from longitudinal studies reporting sensitivity and specificity values for neuropsychological tests to identify individuals with MCI who will develop AD. Twenty-eight studies met the eligibility criteria (2365 participants) and reported predictive values from 61 neuropsychological tests with a 31-month mean follow-up. Values were pooled to provide combined accuracy for 14 cognitive domains. Many domains showed very good predictive accuracy with high sensitivity and specificity values. Verbal memory measures and many language tests yielded very high predictive accuracy. Other domains (e.g., executive functions, visual memory) showed better specificity than sensitivity.

Predictive accuracy was highest when combining memory measures with a small set of other domains or when relying on broad cognitive batteries. The authors concluded that neuropsychological assessment can strongly contribute to predicting dementia while individuals are still in the MCI phase. According to the authors, cognitive tests are excellent at predicting MCI individuals who will progress to dementia and should be a critical component of any toolkit intended to identify AD at the pre-dementia stage.

Pedersen et al. (2017) examined the incidence, progression, and reversion of mild cognitive impairment in patients with Parkinson disease (PD-MCI) over 5 years. A population-based cohort of patients with incident PD underwent repeated neuropsychological testing of attention, executive function, memory, and visuospatial abilities at baseline (n = 178), 1 year (n = 175), 3 years (n = 163), and 5 years (n = 150). Patients were classified as PD-MCI and diagnosed with dementia according to published criteria. Thirty-six patients (20.2%) fulfilled criteria for PD-MCI at baseline. Among those with normal cognition at baseline (n = 142), the cumulative incidence of PD-MCI was 9.9% after 1 year, 23.2% after 3 years, and 28.9% after 5 years of follow-up. Overall, 39.1% of patients with baseline or incident PD-MCI progressed to dementia during the 5-year study period. The conversion rate to dementia was 59.1% in patients with persistent PD-MCI at 1 year vs 7.2% in those with normal cognition during the first year. A total of 27.8% of patients with baseline PD-MCI and 24.2% of those with incident PD-MCI had reverted to normal cognition at study end, but the reversion rate decreased to 9.4% in those with persistent PD-MCI at 2 consecutive visits. Compared with cognitively normal patients, PD-MCI reverters within the first 3 years of follow-up were at increased risk of subsequently developing dementia. The authors concluded that early PD-MCI, regardless of persistence or reversion to normal cognition, has prognostic value for predicting dementia in patients with PD.

Developmental Disorders

In general, empirical data, rather than evidence from prospective studies with long-term follow-up, support the use of neuropsychological testing for developmental disorders in infants and children.

Johnson et al. (2016) explored comorbidity in intellectual disability (ID) and learning disabilities (LD) in children born extremely preterm (EP; <26+0weeks' gestation). A UK national cohort of 161 EP children and 153 term-born controls without neurosensory impairments was assessed at 11 years of age (the EPICure Study). Neuropsychological abilities commonly affected by EP birth were assessed using the NEPSY Developmental Neuropsychological Test. Overall, 75 (47%) EP children and 7 (4.6%) controls had ID or LD. Comorbidity in ID/LD was more common among EP children than controls (24% vs. 0%). EP children with comorbid ID/LD had significantly poorer neuropsychological abilities and curriculum-based attainment than EP children with an isolated disability or no disabilities. LD was associated with a 3 times increased risk for special educational needs (SEN). However, EP children with ID alone had poorer neuropsychological abilities and curriculum-based attainment than children with no disabilities, yet there was no increase in SEN provision among this group. The authors concluded that EP children are at high risk for comorbid intellectual and learning disabilities. According to the authors, education professionals should be aware of the complex nature of EP children's difficulties and the need for multi-domain assessments to guide intervention.

Hartman et al. (2010) examined the motor skills and executive functions in school-age children with borderline and mild intellectual disabilities (ID). Sixty-one children aged between 7 and 12 years diagnosed with borderline ID (33 boys and 28 girls; 71 < IQ < 79) and 36 age peers with mild ID (24 boys and 12 girls; 54 < IQ < 70) were assessed. Their abilities were compared with those of 97 age- and gender-matched typically developing children. Qualitative motor skills, i.e. locomotor ability and object control, were evaluated with the Test of Gross Motor Development (TGMD-2). Executive functioning (EF), in terms of planning ability, strategic decision-making and problem solving, was gauged with the Tower of London (TOL) task. Compared with the reference group, the full ID cohort scored significantly lower on all assessments. According to the investigators, the study results support the notion that besides being impaired in qualitative motor skills, intellectually challenged children are also impaired in higher-order executive functions. The authors conclude that deficits in the two domains are interrelated, so early interventions boosting their motor and cognitive development are recommended.

Traumatic Brain Injury

Longitudinal and case controlled studies along with numerous case reports support the use of neuropsychological tests to assess the severity of injury and the prognosis for patients with closed head trauma, to monitor progression, and to provide measures of outcome for determining degree of recovery (Hanks et al., 2016; Carlozzi et al., 2015).

Other Disorders

Neuropsychological testing may have a role in the clinical management of the following medical disorders:

- Brain lesions including abscesses, tumors, and arteriovenous malformations in the brain (Pranckeviciene et al., 2017; Meskal et al., 2016; Walsh et al., 2016; Cochereau et al., 2016)
- Demyelinating disease including multiple sclerosis (Wojcik et al., 2019; von Bismarck et al., 2018; Ruet and Brochet, 2018; Vollmer et al., 2016)
- Encephalopathy (Raves et al., 2019; Moore et al., 2017; Burton et al., 2017)
- Epilepsy and seizure disorders (Parra-Diaz and Garcia-Caseres, 2017; Grau-López et al., 2017; Wilson et al., 2015; Filippini et al., 2016; Patrikelis et al., 2016)
- Neurotoxin exposure (Nascimento et al., 2016)
- Stroke (Lo Buono et al., 2018; Tan et al., 2017; Zweifel-Zehnder et al., 2015; Chen et al., 2015)

Computerized Neuropsychological Testing for Concussion

The evidence is insufficient to establish the validity and reliability of computerized tests to evaluate concussions. Prospective controlled trials are needed to demonstrate the clinical utility of these tests to detect impairment following concussion.

In a prospective longitudinal observational cohort study, Takagi et al. (2019) examined whether cognitive functioning (measured by CogSport) has prognostic value for predicting rapid versus slow recovery. Data were collected at 1-4, 14, and 90 days post-injury. Eligible children were aged ≥ 5 and < 18 years presenting to the Emergency Department having sustained a concussion within 48 hours. Concussion was defined according to the Zurich/Berlin Consensus Statement on Concussion in Sport. Dependent variables were reaction times and error rates on the CogSport Brief Battery. In total, 220 cases were analyzed; 98 in a rapid recovery group (asymptomatic at 14 days post-injury, mean age 11.5 [3.2], 73.5% male) and 122 in a slow recovery group (symptomatic at 14 days post-injury, mean age 12.0 [3.1], 69.7% male). Longitudinal GEE analyses modeled the trajectories of both mean log₁₀-transformed reaction time and error rates between groups over time (1-4, 14 and 90 days). Both group main and interaction (time by group) terms for all models were non-significant ($p > .05$). The authors concluded that cognitive functioning, measured by CogSport and assessed within 1-4 days of concussion, does not predict prolonged recovery in a pediatric sample. Further, there were no significant group differences at any time point. The authors stated that considering the widespread use and promotion of Computerized neuropsychological tests (CNTs), it is important that clinicians understand the significant limitations of the CogSport battery.

Ivins et al. (2019) assessed the agreement between the following four brief computerized neurocognitive assessment tools by comparing rates of low scores: Automated Neuropsychological Assessment Metrics (ANAM); CogState, also known as CogSport or Axon Sports; Central Nervous System Vital Signs (CNSVS); and Immediate Post-concussion Assessment and Cognitive Test (ImPACT). Four hundred and six US Army service members (SMs) with and without acute mild traumatic brain injury completed two randomly assigned CNTs with order of administration also randomly assigned. A base rate analysis was performed for each CNT to determine the proportions of SMs in the control and mild traumatic brain injury (mTBI) groups who had various numbers of scores that were 1.0+, 1.5+, and 2.0+ standard deviations below the normative mean. These results were used to identify a hierarchy of low score levels ranging from poorest to least poor performance. The agreement was compared between every low score level from each CNT pair administered to the SMs. More SMs in the mTBI group had low scores on all CNTs than SMs in the control group. As performance worsened, the association with mTBI became stronger for all CNTs. Most if not all SMs who performed at the worst level on any given CNT also had low scores on the other CNTs they completed but not necessarily at an equally low level. The authors indicated that the psychometric comparability and clinical utility of these CNTs are not well understood and until such studies are done it will not be possible to make any judgments about which CNT, if any, is superior to the others. The authors state that until more evidence emerges, these CNTs should be used cautiously and only as one source of information from among many other types of clinical assessments. None of them should be used as a definitive or standalone diagnostic tool. An important limitation of this study is that there were relatively small numbers of SMs in each CNT pair who performed at the poorest levels so the results of this study should be treated as preliminary. Another limitation is that the data is from military service members and these findings may not be generalizable to other populations such as high school and college athletes.

Cole et al. (2018) investigated the validity of four computerized neurocognitive assessment tools (NCATs): the ANAM4, CNS-VS, CogState, and ImPACT. Two NCATs were randomly assigned and a battery of traditional neuropsychological (NP) tests administered to 272 healthy control active duty service members and to 231 service members within 7 days of an mTBI. Analyses included correlations between NCAT and the NP test scores to investigate convergent and discriminant validity, and regression analyses to identify the unique variance in NCAT and NP scores attributed to group status. Effect sizes (Cohen's f^2) were calculated to guide interpretation of data. Only 37 (0.6%) of the 5,655 correlations calculated between NCATs and NP tests are large. The majority of correlations are small, with no clear patterns suggestive of convergent or discriminant validity

between the NCATs and NP tests. Though there are statistically significant group differences across most NCAT and NP test scores, the unique variance accounted for by group status is minimal with effect sizes indicating small to no meaningful effect. The authors concluded that although the results are not overly promising for the validity of the four NCATs investigated, traditional methods of investigating psychometric properties may not be appropriate for computerized tests.

Broglio et al. (2018) evaluated the test-retest reliability of commonly implemented and emerging concussion assessment tools across a large nationally representative sample of student-athletes. The assessments were divided into mandatory (Level A measures) and optional emerging concussion measures (Level B measures). Level A measures included Immediate Post-Concussion Assessment and Cognitive Test (ImPACT), Automated Neuropsychological Assessment Metrics, and the Cogstate Computerized Cognitive Assessment Tool (CCAT, formerly named Axon). Participants (n = 4874) from the Concussion Assessment, Research, and Education Consortium completed annual baseline assessments on two or three occasions. Each assessment included measures of self-reported concussion symptoms, motor control, brief and extended neurocognitive function, reaction time, oculomotor/oculovestibular function, and quality of life. The authors concluded that this investigation noted less than optimal reliability for most common and emerging concussion assessment tools. None of the assessment tools met or exceeded the accepted threshold for clinical utility. According to the authors, the use of these tools is still necessitated by the absence of a gold standard diagnostic measure, with the ultimate goal of developing more refined and sound tools for clinical use.

The Centers for Disease Control and Prevention (CDC) National Center for Injury Prevention and Control Board of Scientific Counselors, a federal advisory committee, established the Pediatric Mild Traumatic Brain Injury Guideline Workgroup and developed a guideline based on a previous systematic review of the literature (Lumba-Brown et al., 2018) to obtain and assess evidence toward developing clinical recommendations for health care professionals related to the diagnosis, prognosis, and management/treatment of pediatric mild traumatic brain injury (mTBI). The CDC guideline included the recommendations on the diagnosis, prognosis, and management/treatment of pediatric mTBI that were assigned a level of obligation (i.e., must, should, or may) based on confidence in the evidence. Regarding computerized cognitive testing, the CDC stated that health care professionals may use validated, age-appropriate computerized cognitive testing in the acute period of injury as a component of the diagnosis of mTBI (moderate; level C).

In a consensus statement, the 5th International Conference on Concussion in Sport states that the use of neuropsychological testing (NP) contributes significant information in concussion assessment. Brief computerized cognitive evaluation tools are a commonly utilized component of these assessments worldwide given the logistical limitation in accessing trained neuropsychologists. However, it should be noted that these are not substitutes for complete NP assessment. For children, it is recommended that age-specific validated symptom-rating scales be used in sport-related concussion (SRC) assessment, and further research is required to establish the role and utility of computerized NP testing in this age group. The consensus statement suggests that baseline testing may be useful, but is not necessary for interpreting post-injury scores (McCroly et al., 2017).

Farnsworth et al. (2017) analyzed reliability data for computerized neurocognitive tests (CNTs) using meta-analysis and examined moderating factors that may influence reliability. Studies were included in the meta-analysis if they met all of the following criteria: used a test-retest design, involved at least 1 CNT, provided sufficient statistical data to allow for effect-size calculation, and were published in English. Two independent reviewers investigated each article to assess inclusion criteria. Eighteen studies involving 2674 participants were retained. Intraclass correlation coefficients were extracted to calculate effect sizes and determine overall reliability. Moderator analyses were conducted to evaluate the effects of the length of the test-retest interval, intraclass correlation coefficient model selection, participant demographics, and study design on reliability. Heterogeneity was evaluated using the Cochran Q statistic. The proportion of acceptable outcomes was greatest for the Axon Sports CogState Test (75%) and lowest for the Immediate Post-Concussion Assessment and Cognitive Testing (ImPACT) (25%). Moderator analyses indicated that the type of intraclass correlation coefficient model used significantly influenced effect-size estimates, accounting for 17% of the variation in reliability. The authors concluded that the Axon Sports CogState Test, which has a higher proportion of acceptable outcomes and shorter test duration relative to other CNTs, may be a reliable option; however, future studies are needed to compare the diagnostic accuracy of these instruments (The Nakayama et al. (2014) study which was previously cited in this policy, is included in the Farnsworth et al. (2017) meta-analysis).

Gaudet and Weyandt (2017) conducted a systematic review of existing research investigating Immediate Post-Concussion and Cognitive Testing (ImPACT) and the prevalence of invalid baseline results including the effectiveness of ImPACT's embedded invalidity indicators in detecting suspect effort. Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines

were followed in order to systematically structure a search across four databases and analysis of studies that presented data related to the prevalence of invalid performance and/or the effectiveness of ImPACT's embedded invalidity indicators. A total of 17 studies included prevalence rates of invalid performances or examined the effectiveness of ImPACT's invalidity indicators. Of the 17 studies, 12 included prevalence rates of invalid baseline results; and across this group of studies (after removing an outlier), the weighted prevalence rate of invalid baseline results was 6%. Four of the 17 studies examined the effectiveness of ImPACT's embedded invalidity indicators. ImPACT's embedded invalidity indicators correctly identified suboptimal effort in approximately 80% of individuals instructed to perform poorly and avoid detection ('coached') or instructed to perform poorly ('naïve'). According to the authors, these findings raise a number of issues pertaining to the use of ImPACT. Invalid performance incidence may increase with large group versus individual administration, use in nonclinical settings, and among those with Attention Deficit-Hyperactivity Disorder or learning disability. Additionally, the older desktop version of ImPACT appears to be associated with a higher rate of invalid performances than the online version. Although ImPACT's embedded invalidity indicators detect invalid performance at a rate of 6% on average, known group validity studies suggest that these measures miss invalid performance approximately 20% of the time when individuals purposefully underperform.

Nelson et al. (2017) evaluated the reliability and validity of three computerized neurocognitive assessment tools (Automated Neuropsychological Assessment Metrics [ANAM], Defense Automated Neurobehavioral Assessment [DANA], and Immediate Post-Concussion Assessment and Cognitive Testing [ImPACT]) for assessing mild traumatic brain injury (mTBI). The study included mTBI (n=94) and matched trauma control (n=80) subjects recruited from a level I trauma center emergency department (ED) completed symptom and neurocognitive assessments within 72 hours of injury and at 15 and 45 days post-injury. Concussion symptoms were also assessed via phone at 8 days post-injury. Computerized neurocognitive assessment tools (CNTs) did not differentiate between groups at any time point. Roughly a quarter of stability coefficients were over .70 across measures and test-retest intervals in controls. The authors concluded that the CNTs evaluated, developed and widely used to assess sport-related concussion, did not yield significant differences between patients with mTBI versus other injuries. Symptom scores better differentiated groups than CNTs, with effect sizes weaker than those reported in sport-related concussion studies. According to the authors, nonspecific injury factors, and other characteristics common in ED settings, likely affect CNT performance across trauma patients as a whole and thereby diminish the validity of CNTs for assessing mTBI in this patient population. The authors indicated that this investigation had several limitations. First, subjects were evaluated in a laboratory setting within 72 hr of injury; thus, it is possible that stronger group differences in clinical assessment measures would have been found had subjects been assessed more acutely (such as within the ED). Second, the study design (i.e., assignment of two of three CNTs to each subject) and presence of loss to follow-up (16% at 45 days post-injury) contributed to smaller sample sizes (<50) for some CNT measures and at some time points.

In a systematic review, Alsalaheen et al. (2016) assessed the literature on the reliability of the Immediate Post-Concussion Assessment and Cognitive Testing (ImPACT). Ten studies that met the inclusion criteria were included in the review. With the exception of processing speed, all composite scores consistently exhibited poor to moderate reliability. When considering 2 time points, participants who were misclassified as experiencing a "reliable change" in any score ranged between 5% and 26% for verbal memory, 2.2% and 19.6% for visual memory, 4% and 24% for processing speed, and 4% and 23.2% for reaction time. The authors concluded that the majority of ImPACT composite scores did not consistently demonstrate good reliability. According to the authors, clinicians should be cautious when ImPACT is used as a criterion for medical clearance to return to play after concussion. Because of its widespread use in concussion-related clinical research, researchers must exercise due diligence when utilizing ImPACT to evaluate outcomes after concussion or to validate other outcome measures.

Nelson et al. (2016) evaluated the reliability and validity of three computerized neurocognitive tests (CNTs): ANAM, Axon Sports/Cogstate Sport, and ImPACT-in a common sample. High school and collegiate athletes completed two CNTs each at baseline. Concussed (n=165) and matched non-injured control (n=166) subjects repeated testing within 24 hours and at 8, 15, and 45 days post-injury. Group differences in performance were mostly moderate to large at 24 hours and small by day 8. The sensitivity of reliable change indices (RCIs) was best at 24 hours (67.8%, 60.3%, and 47.6% with one or more significant RCIs for ImPACT, Axon, and ANAM, respectively) but diminished to near the false positive rates thereafter. Across time, the CNTs' sensitivities were highest in those athletes who became asymptomatic within 1 day before neurocognitive testing but was similar to the tests' false positive rates when including athletes who became asymptomatic several days earlier. Test-retest reliability was similar among these three CNTs and below optimal standards for clinical use on many subtests. The authors indicated that their findings suggest that the clinical utility of CNTs in the context of SRC management is maximal very soon (within 24 hours) after injury or after symptom resolution and quite limited at later time points (day 8 and beyond). According to the authors, the rapid clinical recovery course from concussion and modest stability probably jointly contribute to limited signal detection capabilities of neurocognitive tests outside a brief post-injury window.

Kontos et al. (2014) performed a meta-analysis assessing the effects of sport-related concussion as measured by computerized neurocognitive tests (NCT) 1-week post injury. Thirty-seven studies involving 3960 participants between 2000 and 2011 were included. Code substitution, visual memory, processing speed, and memory tasks demonstrated negative effects for concussion. Younger adolescents had lower NCT performance than older adolescents and college aged athletes. ImPACT studies demonstrated a negative effect for concussion as did those involving contact sports. The authors found that computerized neurocognitive testing results suggest athletes suffer impairments within one week of a concussion. Several factors such as age, type of neurocognitive test, and test administrator may lead to more pronounced impairments. The authors indicated that no single tool can or should be used to measure the effect of concussion. Instead, clinicians and researchers should adopt a comprehensive approach to assessing this injury.

Echemendia et al. (2013) critically reviewed the literature from the past 12 years regarding key issues in sports-related neuropsychological assessment of concussion. Based on the review of the literature, the authors concluded that traditional and computerized neuropsychological tests are useful in the evaluation and management of concussion. Brief cognitive evaluation tools are not substitutes for formal neuropsychological assessment. According to the authors, there is insufficient evidence to recommend the widespread routine use of baseline neuropsychological testing.

Hang et al. (2015) determined if computerized neurocognitive testing (Immediate Post-Concussion Assessment and Cognitive Testing [ImPACT]) in the emergency department (ED) can be used as a prognostic tool to detect young athletes at risk of having protracted concussive symptoms. This was a prospective cohort study of athletes aged 11 to 18 years who presented to an ED less than 24 hours after sustaining a sports-related concussion. ImPACT was administered in the ED, and performance was categorized as "poor" if the athlete had 3 (of 4) or greater low domain scores. Participants completed the Post-Concussion Symptom Scale (PCSS) in the ED and by phone at 1 and 2 weeks after injury. Athletes were symptomatic if their PCSS score was more than 6 in males and more than 8 in females. One hundred nine patients were enrolled; 60% and 36% remained symptomatic at 1 and 2 weeks after injury, respectively. "Poor" ImPACT performance was not particularly useful in predicting athletes with protracted symptoms. In bivariate analysis, a higher ED PCSS score was associated with protracted symptoms. The authors concluded that computerized neurocognitive testing in the ED has limited usefulness in predicting protracted symptoms. Total acute symptom burden may be a useful prognostic tool in the ED evaluation of concussed young athletes, yet further research is necessary.

Baseline Neuropsychological Testing for Concussion

There is insufficient evidence to indicate that the use of baseline neuropsychological testing in athletes or other individuals alters risk from concussion. There is insufficient evidence that baseline tests influence physician decision-making or outcomes of treatment of concussion.

Tsushima et al. (2019) identified valid, invalid (identified by five embedded Invalidity Indicators), and sandbagging (identified by three "red flags") results in the ImPACT baseline test scores of 6,346 high school athletes. In addition, the ImPACT postconcussion scores of 266 athletes who sustained a concussion during the school year were evaluated to compare the baseline-to-postconcussion changes of valid versus a combined group of invalid and sandbagging scorers. There were 3,299 (51.99%) athletes who had valid baseline scores, 269 (4.24%) had invalid scores, and 3,009 (47.42%) had sandbagging scores. (There were 231 who obtained both invalidity and sandbagging scores.) The overall difference in baseline-to-postconcussion changes between the valid scorers and the combined group of invalid and sandbagging scorers was statistically significant. The authors stated that the high rate of athletes who had invalid and sandbagging scores raised concern that the underperformance of baseline testing occurs more commonly than is probably realized by those who utilize computerized neuropsychological testing with high school athletes. Accordingly, efforts are needed to improve test administration procedures so that maximal attention and effort can be maintained among the test takers. According to the authors, increased caution is called for in employing the baseline-to-postconcussion paradigm when return-to-play decisions are made.

Abeare et al. (2018) assessed the prevalence of invalid performance on baseline neurocognitive testing using embedded measures within computerized tests and individually administered neuropsychological measures, and examined the influence of incentive status and performance validity on neuropsychological test scores. A total of 83 collegiate football athletes completing their preseason baseline assessment within the University's concussion management program and a control group of 140 non-athlete students were included in the study. The cross-sectional design of the study was based on differential incentive status: motivated to do poorly to return to play more quickly after sustaining a concussion (athletes) versus motivated to do well due to incentivizing performance (students). The main measures of the study included Immediate Post-Concussion

and Cognitive Testing (ImPACT), performance validity tests, and measures of cognitive ability. Half of the athletes failed at least 1 embedded validity indicator within ImPACT (51.8%), and the traditional neuropsychological tests (49.4%), with large effects for performance validity on cognitive test scores, incentive status, and the combination of both factors on measures of attention and processing speed. The authors concluded that invalid performance on baseline assessment is common (50%), consistent across instruments (ImPACT or neuropsychological tests) and settings (one-on-one or group administration), increases as a function of incentive status (risk ratios: 1.3-4.0) and results in gross underestimates of the athletes' true ability level, complicating the clinical interpretation of the postinjury evaluation and potentially leading to premature return to play.

In a retrospective, cross-sectional study, Abeare et al. (2018) assessed the prevalence of invalid performance on baseline testing and assessed whether the prevalence varies as a function of age and validity indicator. Participants included 7897 consecutively tested equivalently proportioned male and female athletes aged 10 to 21 years, who completed baseline neurocognitive testing for the purpose of concussion management. Baseline assessment was conducted with the Immediate Postconcussion Assessment and Cognitive Testing (ImPACT). Base rates of failure on published ImPACT validity indicators were compared within and across age groups. Hypotheses were developed after data collection but prior to analyses. Of the 7897 study participants, 4086 (51.7%) were male, mean (SD) age was 14.71 years, 7820 (99.0%) were primarily English speaking, and the mean (SD) educational level was 8.79 years. The base rate of failure ranged from 6.4% to 47.6% across individual indicators. Most of the sample (55.7%) failed at least 1 of 4 validity indicators. The base rate of failure varied considerably across age groups (117 of 140 [83.6%] for those aged 10 years to 14 of 48 [29.2%] for those aged 21 years), representing a risk ratio of 2.86. The authors indicated that the results for base rate of failure were surprisingly high overall and varied widely depending on the specific validity indicator and the age of the examinee. The strong age association, with 3 of 4 participants aged 10 to 12 years failing validity indicators, suggests that the clinical interpretation and utility of baseline testing in this age group is questionable. According to the authors, these findings underscore the need for close scrutiny of performance validity indicators on baseline testing across age groups.

MacDonald and Duerson (2015) examined the test-retest reliability of a computerized neurocognitive test used for baseline assessments in high school athletes over 1 year. Study participants included high school athletes (N = 117) participating in American football or soccer. All study participants completed 2 baseline computerized neurocognitive tests taken 1 year apart at their respective schools. The test measures performance on 4 cognitive tasks: identification speed (Attention), detection speed (Processing Speed), one card learning accuracy (Learning), and one back speed (Working Memory). Reliability was assessed by measuring the intraclass correlation coefficient (ICC) between the repeated measures of the 4 cognitive tasks. Pearson and Spearman correlation coefficients were calculated as a secondary outcome measure. The measure for identification speed performed best and the measure for one card learning accuracy performed worst. All tests had marginal or low reliability. The authors concluded that in a population of high school athletes, computerized neurocognitive testing performed in a community setting demonstrated low to marginal test-retest reliability on baseline assessments 1 year apart. The authors stated that further investigation should focus on (1) improving the reliability of individual tasks tested, (2) controlling for external factors that might affect test performance, and (3) identifying the ideal time interval to repeat baseline testing in high school athletes. According to the authors, this study adds to the evidence that suggests in this population baseline testing may lack sufficient reliability to support clinical decision making.

Computerized Cognitive Testing such as Cognivue, Mindstreams BrainCare, and QbTest

Available clinical trials fail to document a beneficial effect of computerized cognitive testing on long-term clinical outcomes. The evidence is insufficient to establish the validity of computerized cognitive testing compared with traditional tests for the assessment of dementia and cognitive impairment.

In a cohort of 114 patients presenting to an attention deficit hyperactivity disorder (ADHD) outpatient clinic, Brunkhorst-Kanaan et al. (2020) investigated how well a commercially available continuous performance test (CPT) (QbTest) can differentiate between patients with ADHD (n=94) and patients with a disconfirmed ADHD diagnosis (n=20). Both groups showed numerous comorbidities, predominantly depression (27.2% in the ADHD group vs. 45% in the non-ADHD group) and substance-use disorders (18.1% vs. 10%, respectively). Patients with ADHD showed significant higher activity (2.07 ± 1.23) than patients without ADHD (1.34 ± 1.27 , $dF=112$; $p=0.019$), whereas for the other core parameters, inattention and impulsivity no differences could be found. Reaction time variability has been discussed as a typical marker for inattention in ADHD. Therefore, the authors investigated how well ex-Gaussian analysis of response time can differentiate between ADHD and other patients, showing, that it does not help to identify patients with ADHD. Even though patients with ADHD showed significantly higher activity, this parameter differed only poorly between patients. The authors concluded that CPTs do not help to identify patients with ADHD

in a specialized outpatient clinic. According to the authors, the usability of this test for differentiating between ADHD and other psychiatric disorders is poor and a sophisticated analysis of reaction time did not decisively increase the test accuracy.

Cahn-Hidalgo et al. (2020) determined the cut-off scores for classification of cognitive impairment, and assessed Cognivue safety and efficacy in a validation study. Adults (age 55-95 years) at risk for age-related cognitive decline or dementia were invited via posters and email to participate in two cohort studies conducted at various outpatient clinics and assisted- and independent-living facilities. In the cut-off score determination study (n = 92), optimization analyses by positive percent agreement (PPA) and negative percent agreement (NPA), and by accuracy and error bias were conducted. In the clinical validation study (n = 401), regression, rank linear regression, and factor analyses were conducted. Participants in the clinical validation study also completed other neuropsychological tests. For the cut-off score determination study, 92 participants completed St. Louis University Mental Status (SLUMS, reference standard) and Cognivue tests. Analyses showed that SLUMS cut-off scores of < 21 (impairment) and > 26 (no impairment) corresponded to Cognivue scores of 54.5 (NPA = 0.92; PPA = 0.64) and 78.5 (NPA = 0.5; PPA = 0.79), respectively. Therefore, conservatively, Cognivue scores of 55-64 corresponded to impairment, and 74-79 to no impairment. For the clinical validation study, 401 participants completed ≥ 1 testing session, and 358 completed 2 sessions 1-2 wk apart. Cognivue classification scores were validated, demonstrating good agreement with SLUMS scores (weighted κ 0.57; 95%CI: 0.50-0.63). Reliability analyses showed similar scores across repeated testing for Cognivue (R² = 0.81; r = 0.90) and SLUMS (R² = 0.67; r = 0.82). Psychometric validity of Cognivue was demonstrated vs. traditional neuropsychological tests. Scores were most closely correlated with measures of verbal processing, manual dexterity/speed, visual contrast sensitivity, visuospatial/executive function, and speed/sequencing.

The investigators concluded that Cognivue scores ≤ 50 avoid misclassification of impairment, and scores ≥ 75 avoid misclassification of unimpairment. According to the investigators, this validation study demonstrates good agreement between Cognivue and SLUMS; superior reliability; and good psychometric validity. A limitation of these studies is the use of a single reference standard, SLUMS. Longitudinal follow-up studies are needed to evaluate the ability of Cognivue to monitor cognitive deterioration over time.

Groppell et al., (2019) determined the accuracy and validity of BrainCheck Memory as a diagnostic aid for age-related cognitive impairment, as compared against physician diagnosis and other commonly used neurocognitive screening tests, including the Saint Louis University Mental Status (SLUMS) exam, the Mini-Mental State Examination (MMSE), and the Montreal Cognitive Assessment (MoCA). A total of 583 volunteers over the age of 49 were tested from various community centers and living facilities. The volunteers were divided into five cohorts: a normative population and four comparison groups for the SLUMS exam, the MMSE, the MoCA, and physician diagnosis. Each comparison group completed their respective assessment and BrainCheck Memory. A total of 398 subjects were included in the normative population. A total of 84 participants were in the SLUMS exam cohort, 51 in the MMSE cohort, 35 in the MoCA cohort, and 18 in the physician cohort. BrainCheck Memory assessments were significantly correlated to the SLUMS exam, with coefficients ranging from .5 to .7. Correlation coefficients for the MMSE and BrainCheck and the MoCA and BrainCheck were also significant. Of the 18 subjects evaluated by a physician, 9 (50%) were healthy, 6 (33%) were moderately impaired, and 3 (17%) were severely impaired. A significant difference was found between the severely and moderately impaired subjects and the healthy subjects (P=.02). The investigators found that the BrainCheck Memory composite score showed stronger correlations with the standard assessments as compared to the individual BrainCheck assessments. Receiver operating characteristic (ROC) curve analysis of this composite score found a sensitivity of 81% and a specificity of 94%. The investigators concluded that BrainCheck Memory provides a sensitive and specific metric for age-related cognitive impairment in older adults, with the advantages of a mobile, digital, and easy-to-use test. According to the authors, some participants were unable to complete BrainCheck's entire battery of assessments. While this was accounted for during the analysis, the missing data may have limited statistical power. The investigators also indicated that due to the study's small sample size, more research is needed to compare and validate BrainCheck against physician diagnosis.

Hollis et al. (2018) evaluated the impact of providing a computerized test of attention and activity (QbTest) report on the speed and accuracy of diagnostic decision-making in children with suspected ADHD. A randomized, parallel, single-blind controlled trial in mental health and community pediatric clinics in England was conducted. Participants were 6-17 years-old and referred for ADHD diagnostic assessment; all underwent assessment-as-usual, plus QbTest. Participants and their clinician were randomized to either receive the QbTest report immediately (QbOpen group) or the report was withheld (QbBlind group). The primary outcome was number of consultations until a diagnostic decision confirming/excluding ADHD within 6-months from baseline. One hundred and thirty-two participants were randomized to QbOpen group (123 analyzed) and 135 to QbBlind group (127 analyzed). Clinicians with access to the QbTest report (QbOpen) were more likely to reach a diagnostic decision about

ADHD (hazard ratio 1.44, 95% CI 1.04-2.01). At 6-months, 76% of those with a QbTest report had received a diagnostic decision, compared with 50% without. QbTest reduced appointment length by 15% (time ratio 0.85, 95% CI 0.77-0.93), increased clinicians' confidence in their diagnostic decisions (odds ratio 1.77, 95% CI 1.09-2.89) and doubled the likelihood of excluding ADHD. There was no difference in diagnostic accuracy. The authors concluded that the QbTest may increase the efficiency of ADHD assessment pathway allowing greater patient throughput with clinicians reaching diagnostic decisions faster without compromising diagnostic accuracy. Limitations of the study include that follow-up was limited to a six-month time period. Given that overall, almost one third of participants had still not received a diagnostic decision after six months, it was not possible to determine the impact of QbTest on the eventual diagnosis of those participants still awaiting a diagnostic decision at the end of the study. Additionally, the study was not powered enough to assess possible age effects.

Aslam et al. (2018) conducted a systematic review to determine whether automated computerized tests accurately identify patients with progressive cognitive impairment and, if so, to investigate their role in monitoring disease progression and/or response to treatment. Six electronic databases were searched from January 2005 to August 2015 to identify papers for inclusion. Studies assessing the diagnostic accuracy of automated computerized tests for mild cognitive impairment (MCI) and early dementia against a reference standard were included. Where possible, sensitivity, specificity, positive predictive value, negative predictive value, and likelihood ratios were calculated. The Quality Assessment of Diagnostic Accuracy Studies tool was used to assess risk of bias. Sixteen studies assessing 11 diagnostic tools for MCI and early dementia were included. No studies were eligible for inclusion in the review of tools for monitoring progressive disease and response to treatment. The overall quality of the studies was good. However, the wide range of tests assessed and the non-standardized reporting of diagnostic accuracy outcomes meant that statistical analysis was not possible. The authors concluded that some tests have shown promising results for identifying MCI and early dementia. However, concerns over small sample sizes, lack of replicability of studies, and lack of evidence available make it difficult to make recommendations on the clinical use of the computerized tests for diagnosing, monitoring progression, and treatment response for MCI and early dementia.

Racine et al. (2016) conducted a study that included 469 late middle-aged participants from the Wisconsin Registry for Alzheimer's Prevention (mean age 63.8±7 years at testing; 67% female; 39% APOE4+) to evaluate whether computerized cognitive assessments, like the CogState battery, are sensitive to preclinical cognitive changes or pathology in people at risk for Alzheimer's disease (AD). The study examined relationships between a CogState abbreviated battery (CAB) of seven tests and demographic characteristics, traditional paper-based neuropsychological tests as well as a composite cognitive impairment index, cognitive impairment status (determined by consensus review), and biomarkers for amyloid and tau (CSF phosphorylated-tau/Aβ42 and global PET-PiB burden) and neural injury (CSF neurofilament light protein). CSF and PET-PiB were collected in n=71 and n=91 participants, respectively, approximately four years prior to CAB testing. For comparison, three traditional tests of delayed memory in parallel were examined. Similar to studies in older samples, the CAB was less influenced by demographic factors than traditional tests. CAB tests were generally correlated with most paper-based cognitive tests examined and mapped onto the same cognitive domains. Greater composite cognitive impairment index was associated with worse performance on all CAB tests. Cognitively impaired participants performed significantly worse compared to normal controls on all but one CAB test. Poorer One Card Learning test performance was associated with higher levels of CSF phosphorylated-tau/Aβ42. The authors concluded that these results support the use of the CogState battery as measures of early cognitive impairment in studies of people at risk for Alzheimer's disease. However, according to the authors, the study also suggests that CogState at a single time point may not substantially improve preclinical AD detection over traditional neuropsychological tests.

Shopin et al. (2013) compared a computerized battery of neuropsychological tests for memory, attention and executive functions (MindStreams) with the Montreal Cognitive Assessment (MoCA) to detect mild-to-moderate cognitive impairments in poststroke patients. A total of 454 patients with transient ischemic attack (TIA) or stroke enrolled to the TABASCO (Tel Aviv Brain Acute Stroke Cohort) study, a prospective study which includes consecutive first-ever mild-to-moderate stroke patients, were included. All participants underwent neurological and cognitive evaluations. The patients' mean MoCA and MindStreams scores were lower than normal; however, the TIA group presented significantly better scores using either method. The correlation between the MoCA and the computerized global score was 0.6. A significant correlation was found between the subcategory scores (executive function, memory and attention). However, the MoCA identified many more subjects with low scores (<26) compared to the MindStreams (70.6 vs. 15.7%).

Intermittent Explosive Disorder

There is insufficient clinical evidence to demonstrate that the use of neuropsychological testing for individuals with intermittent explosive disorder without associated cognitive disorders can be used effectively for clinical decision making to improve patient management of this condition.

There are no clear underlying medical issues associated with intermittent explosive disorder, nor are there published clinical trials that support the use of neuropsychological testing for this disorder. According to the Diagnostic and Statistical Manual of Mental Disorders (DSM-5), published by the American Psychiatric Association, the following criteria must be met in order for a patient to be diagnosed with intermittent explosive disorder:

- Recurrent behavioral outbursts that represent a failure to control aggressive impulses as manifested by one of the following:
 - Verbal aggression (e.g., temper tantrums, tirades, verbal arguments or fights) or physical aggression towards property, animals, or other individuals, occurring, on average, twice weekly for a period of three months. The physical aggression does not result in damage or destruction of property and does not result in physical injury to animals or other individuals.
 - Three behavioral outbursts involving damage or destruction of property and/or physical assault with physical injury against animals or other individuals occurring within a 12-month period.
- The magnitude of aggressiveness expressed during the recurrent outbursts is grossly out of proportion to the provocation or any precipitating psychosocial stressors.
- The recurrent aggressive outbursts are not premeditated (i.e. are impulsive) and are not committed to achieve some tangible objective (e.g., money, power, intimidation).
- The recurrent aggressive outbursts cause either marked distress in the individual or impairment in occupational or interpersonal functioning, or are associated with financial or legal consequences.
- Chronological age is at least 6 years (or equivalent developmental level).
- The recurrent aggressive outbursts are not better explained by another mental disorder and are not attributable to another medical condition or to physiological effects of a substance.

Headaches Including Migraine

There is insufficient clinical evidence to demonstrate that the use of neuropsychological testing for individuals with migraine or other headaches without associated cognitive disorders can be used effectively for clinical decision making to improve patient management of this condition.

Foti et al. (2017) identified 16 studies evaluating the association between migraine and cognitive impairment. The authors found that these studies demonstrated conflicting results. Some studies show a detrimental effect of migraine on cognitive skills and other studies have shown no difference in cognitive skills for patients with migraine headaches.

Dresler et al. (2012) evaluated three neuropsychological tests (Trail Making Test (TMT), Go/Nogo Task and Stroop Task) that were completed by four headache patient samples (chronic CH, episodic CH in the active or inactive period, and migraine patients) and compared to healthy controls. Analyses revealed that patients with chronic and active episodic CH appeared particularly impaired in tests relying more on intact executive functioning (EF) than on basal cognitive processes. Within the CH groups performance decreased linearly with increasing severity. The authors stated that impaired EF could also result from medication and sleep disturbances due to active CH. The authors went on to say that because decreased performance was also present outside the attacks it may hint at generally altered brain function, but does not necessarily reflect clinically relevant behavior.

History of Myocardial Infarction

There is insufficient clinical evidence to demonstrate that the use of neuropsychological testing for individuals with a history of myocardial infarction without associated cognitive disorders can be used effectively for clinical decision making to improve patient management of this condition.

Studies on the relationship between myocardial infarction and cognitive functioning have demonstrated conflicting results. Some studies show a detrimental effect of myocardial infarction on cognitive skills (Sauvé et al., 2009; Almeida et al., 2008). Other studies have shown no difference in cognitive skills for patients with myocardial infarctions (Ahto et al., 1999; Grubb et al., 2000).

In a systematic review, Cameron et al. (2016) evaluated the diagnostic accuracy of cognitive screening instruments in screening for mild cognitive impairment (MCI) in heart failure (HF) patients. Inclusion criteria for the review were as follows: primary studies examining cognitive impairment in HF, administration of a cognitive screening instrument and neuropsychological test

battery, and cognitive impairment indicated by performance on neuropsychological tests 1.5 SDs less than that of normative data. The precision, accuracy, and receiver operating characteristic curves of the Mini Mental State Examination were computed. From 593 citations identified, 8 publications met inclusion criteria. Risk of bias included selective HF patient samples, and no study examined the diagnostic test accuracy of the cognitive screening instruments. The Mini Mental State Examination had low sensitivity (26%) and high specificity (95%) with a score of 28 or less as the optimal threshold for MCI screening. The authors concluded that screening for cognitive impairment in HF is recommended; however, future studies need to establish the diagnostic accuracy of screening instruments of MCI in this population.

Professional Societies

American Academy of Neurology (AAN)

In an evidence-based guideline update for the evaluation and management of concussion in sports, the AAN states that it is likely that neuropsychological testing of memory performance, reaction time, and speed of cognitive processing, regardless of whether administered by paper-and-pencil or computerized method, is useful in identifying the presence of concussion (sensitivity 71%–88% of athletes with concussion). This is based on evidence from 1 Class II study and multiple Class III studies. The AAN also states that both types of testing (paper-and-pencil or computerized) generally require a neuropsychologist for accurate interpretation, although the tests may be administered by a non-neuropsychologist. According to AAN, there is insufficient evidence to support conclusions about the use of neuropsychological testing in identifying concussion in preadolescent age groups. The AAN goes on to say that inexperienced licensed health care providers (LHCPs) should be instructed in the proper administration of standardized validated sideline assessment tools. This instruction should emphasize that these tools are only an adjunct to the evaluation of the athlete with suspected concussion and cannot be used alone to diagnose concussion (Level B-probably effective). The AAN further states that LHCPs caring for athletes might utilize individual baseline scores on concussion assessment tools, especially in younger athletes, those with prior concussions, or those with preexisting learning disabilities/attention deficit/hyperactivity disorder, as doing so fosters better interpretation of postinjury scores (Level C - Possibly effective) (Giza et al., 2013).

A practice guideline update for disorders of consciousness (DoC) developed by the American Academy of Neurology; the American Congress of Rehabilitation Medicine; and the National Institute on Disability, Independent Living, and Rehabilitation Research indicates that clinicians should use standardized neurobehavioral assessment measures that have been shown to be valid and reliable to improve diagnostic accuracy for the purpose intended in patients with DoC (Giacino et al. 2018).

In a practice guideline update summary for mild cognitive impairment (MCI), the AAN recommends that when performing a Medicare Annual Wellness Visit, clinicians should not rely on historical report of subjective memory concerns alone when assessing for cognitive impairment (Level B). Various assessment instruments have acceptable diagnostic accuracy for detecting MCI, with no instrument being superior to another. The guideline states that because brief cognitive assessment instruments are usually calibrated to maximize sensitivity rather than specificity, patients who test positive for MCI should then have further assessment (e.g., more in-depth cognitive testing, such as neuropsychological testing with interpretation based on appropriate normative data) to formally assess for this diagnosis (Petersen et al., 2018).

A practice parameter for the screening and diagnosis of autism developed by the American Academy of Neurology and the Child Neurology Society indicates that neuropsychological, behavioral, and academic assessments should be performed as needed, in addition to the cognitive assessment, to include social skills and relationships, educational functioning, problematic behaviors, learning style, motivation and reinforcement, sensory functioning, and self-regulation for the diagnosis of autism (Filipek et al., 2000; Reaffirmed on October 18, 2003, July 28, 2006, July 10, 2010, and August 9, 2014).

American Heart Association and the American Stroke Association

In a guideline for Healthcare Professionals from the American Heart Association and the American Stroke Association, Winstein et al. (2016) provided a synopsis of best clinical practices in the rehabilitative care of adults recovering from stroke. According to the guideline, a formal neuropsychological examination (including assessment of language, neglect, praxis, memory, emotional responses, and specific cognitive syndromes) may be helpful after the detection of cognitive impairment with a screening instrument. Neuropsychological protocols must be sensitive to a wide range of abilities, especially the assessment of executive and attentional functions. These guidelines state that screening for cognitive deficits is recommended for all stroke patients before discharge home (class I, level B evidence). The guidelines also indicate that when screening reveals cognitive deficits, a more detailed neuropsychological evaluation to identify areas of cognitive strength and weakness may be beneficial (class IIa, level C evidence).

American Psychological Association (APA)

The American Psychological Association published a Psychological and Neuropsychological Testing Billing and Coding Guide (American Psychological Association, 2019). The guide states that neuropsychological testing is considered medically necessary where initial assessment or assessment over time is needed to:

- Measure cognitive or behavioral deficits related to known or suspected CNS impairment, trauma, or neuropsychiatric disorders, including when the information will be useful in determining a diagnosis, prognosis, or informing treatment planning;
- Evaluate primary symptoms of impaired attention and concentration that can occur in many neurological and psychiatric conditions;
- Determine the potential impact of substances that may cause cognitive impairment (e.g., radiation, chemotherapy, prescribed or illicit drugs, toxins) or result in measurable improvement in cognitive function, including when this information is used to determine treatment planning
- Conduct pre-surgical or treatment-related measurement of cognitive function to determine whether it is safe to proceed with a medical or surgical procedure that may impact brain function (e.g., deep brain stimulation, resection of brain tumors or arteriovenous malformations, epilepsy surgery, stem cell or organ transplant) or significantly alter a patient's functional status;
- Design, administer, and/or monitor outcomes of cognitive rehabilitation procedures, such as compensatory memory training for brain-injured patients;
- Measure cognitive or functional deficits in children and adolescents based on an inability to develop expected knowledge, skills or abilities as required to adapt to cognitive, social, emotional, or physical demands;
- Evaluate primary symptoms of impaired attention and concentration that can occur in many neurological and psychiatric conditions.

The American Psychological Association Guide (2019) also indicates that neuropsychological testing is not considered reasonable and necessary when:

- Administered for educational or vocational purposes that do not inform medical or health management
- Comprised exclusively of self-administered or self-scored inventories, or as screening tests of cognitive function or neurological disease (whether paper-and-pencil or computerized; e.g., AIMS, Folstein Mini-Mental Status Examination).
- The patient is neurologically, cognitively, or psychologically unable to participate in a meaningful way in the testing process
- Used as a routine screening tool given to the individual or to general populations
- Repeat testing is not required for medical decision-making
- Administered when the patient is currently under the influence or impaired by alcohol, drugs (prescription or illicit), or other substances
- The patient has been diagnosed previously with brain dysfunction, such as Alzheimer's disease, and there is no expectation that the testing would impact the patient's medical, functional, or behavioral management

The American Psychological Association published updated guidelines for the evaluation of dementia and age-related cognitive change. The guidelines include the following information regarding neuropsychological testing for this condition (American Psychological Association, 2012):

- Neuropsychological evaluation and cognitive testing remain among the most effective differential diagnostic methods in discriminating pathophysiological dementia from age-related cognitive decline, cognitive difficulties that are depression-related, and other related disorders. Even after reliable biological markers have been discovered, neuropsychological evaluation and cognitive testing will still be necessary to determine the onset of dementia, the functional expression of the disease process, the rate of decline, the functional capacities of the individual, and hopefully, response to therapies.
- Comprehensive neuropsychological evaluations for dementia and cognitive change include tests of multiple cognitive domains, typically including memory, attention, perceptual and motor skills, language, visuospatial abilities, reasoning, and executive functions. Measures of mood and personality may be relevant in many cases. Psychologists are encouraged to refer to current compendia resources and the clinical research literature in selecting assessment instruments. Psychologists are encouraged to use standardized, reliable, and valid tests.
- Technology assisted assessments (e.g., computer administered cognitive batteries, tele-health visits) are rapidly advancing but appropriate psychometric properties and normative data are nascent. These technologies may have significant advantages for older persons with limited mobility or health-care access, but may also disadvantage older persons with limited experience and expertise interacting with technology.

American Psychiatric Association

In its guidelines on the treatment of Alzheimer's disease and other dementias, the American Psychiatric Association states that neuropsychological testing may be helpful in deciding whether a patient with subtle or atypical symptoms actually has dementia. Neuropsychological testing is particularly useful in the evaluation of individuals who present with mild cognitive impairment, which requires evidence of memory and/or other cognitive difficulties in the presence of intact functioning, and in the evaluation of individuals with the onset of dementia early in life. Testing may help to characterize the extent of cognitive impairment, to distinguish among the types of dementias, and to establish baseline cognitive function. The American Psychiatric Association also states that a variety of research definitions for mild cognitive impairment are in place, but there is no consensus on the optimal definition. The most widely accepted definition requires the following (American Psychiatric Association, 2007):

- Subjective cognitive complaints,
- Evidence of objective deficits in cognitive function based on age- and education-adjusted norms on standardized neuropsychological tests,
- Intact daily functioning,
- Evidence of cognitive decline from a prior level, and
- Evidence of not meeting the criteria for dementia.

American Academy of Pediatrics (AAP)

A joint statement for learning disabilities, dyslexia, and vision from the American Academy of Pediatrics, Section on Ophthalmology, Council on Children with Disabilities; American Academy of Ophthalmology; American Association for Pediatric Ophthalmology and Strabismus; and the American Association of Certified Orthoptists states that children who exhibit signs of learning disabilities should be referred for educational, psychological, neuropsychological, and/or medical diagnostic assessments (AAP, 2009; Reaffirmed 2014).

In 2018, the AAP updated the clinical report guidance for sport-related concussion (SRC) in children and adolescents. The authors of the report indicate that there are numerous studies evaluating the reliability of various computerized neurocognitive tests (CNTs) platforms; however, studies conducted independently of the developers of the tests have questioned the overall reliability of testing from year to year. The reliability of pencil and paper testing has also been questioned. The authors indicate that ideally, neurocognitive testing is performed and interpreted by a neuropsychologist. However, given the large number of athletes participating in sports and the relative scarcity of and limited access to neuropsychologists, a widespread CNT program would not be practical or possible. If a non-neuropsychologist is using CNTs, collaboration with a neuropsychologist to aid in test administration and interpretation may be beneficial. CNTs or baseline testing is not specifically addressed in the conclusion or recommendation sections of the report (Halstead et al., 2018).

American Academy of Child and Adolescent Psychiatry (AACAP)

Practice parameters from the American Academy of Child and Adolescent Psychiatry (Volkmar et al., 2014) state that neuropsychological correlates of autism spectrum disorder include impairments in executive functioning (e.g., simultaneously engaging in multiple tasks) (Ozonoff et al., 1991), weak central coherence (integrating information into meaningful wholes) (Happé and Frith, 2006), and deficits in theory-of-mind tasks (taking the perspective of another person) (Baron-Cohen et al., 1985).

National Academy of Neuropsychology (NAN)

The National Academy of Neuropsychology developed an education paper to provide information to clinicians, healthcare administrators, and policy developers about the purpose, strengths, and limitations of computerized cognitive screening tests versus comprehensive neuropsychological evaluations. Screening tests are generally brief and narrow in scope, they can be administered during a routine clinical visit, and they can be helpful for identifying individuals in need of more comprehensive assessment. Some screening tests can also be helpful for monitoring treatment outcomes. Comprehensive neuropsychological assessments are multidimensional in nature and used for purposes such as identifying primary and secondary diagnoses, determining the nature and severity of a person's cognitive difficulties, determining functional limitations, and planning treatment and rehabilitation. Cognitive screening tests are expected to play an increasingly important role in identifying individuals with cognitive impairment and in determining which individuals should be referred for further neuropsychological

assessment. However, limitations of existing cognitive screening tests are present and cognitive screening tests should not be used as a replacement for comprehensive neuropsychological testing (Roebuck-Spencer et al, 2017).

In a policy for the evaluation of childhood learning disorders, the NAN states that when comprehensive information about a child's brain-related strengths and weaknesses is necessary to understand potential sources of the problem and implications for functioning, a neuropsychological evaluation is most often the best choice (Silver et al., 2006).

In a position paper on the diagnosis and management of sports-related concussion, the NAN states that neuropsychological evaluation is recommended for the diagnosis, treatment, and management of sports-related concussion at all levels of play (Moser et al., 2007).

American Academy of Clinical Neuropsychology (AACN) and National Academy of Neuropsychology (NAN)

A joint position paper of the AACN and NAN sets forth their position on appropriate standards and conventions for computerized neuropsychological assessment devices (CNADs). The authors state that CNADs are subject to, and should meet, the same standards for the development and use of educational, psychological, and neuropsychological tests (American Psychological Association, 1999) as are applied to examiner-administered tests. The authors also state that those employing CNADs have the education, training, and experience necessary to interpret their results in a manner that will best meet the needs of the patients they serve (Bauer et al., 2012).

American Medical Society for Sports Medicine

The American Medical Society for Sports Medicine position statement on concussion in sport states that baseline testing may be useful in some cases but is not necessary, required or an accepted standard of care for the appropriate management of sport-related concussion (Harmon et al., 2019).

U.S. Food and Drug Administration (FDA)

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

In June 2015, the FDA cleared Cognivue through the de novo classification pathway. The de novo pathway is used for low- to moderate-risk medical devices that are not equivalent to an already legally marketed device. FDA identifies Cognivue as a "Computerized Cognitive Assessment Aid." According to the FDA, this test is indicated as an adjunctive tool for evaluating perceptual and memory function in individuals aged 55 to 95 years old. See the following website for more information: https://www.accessdata.fda.gov/cdrh_docs/pdf13/DEN130033.pdf. (Accessed November 3, 2020)

On August 22, 2016, the FDA began to allow the marketing of two computerized neurocognitive tests for assessing individuals immediately following a suspected brain injury or concussion: ImPACT and ImPACT Pediatric (ImPACT Applications). Both tests were reviewed via the agency's de novo classification process, a pathway to market for certain "first-of-a-kind" and low- to moderate-risk medical devices. ImPACT and ImPACT Pediatric are computerized cognitive assessment aids intended for use in conjunction with standard medical evaluation for signs and symptoms of a head injury. ImPACT is designed to assess people 12 to 59 years of age, while ImPACT Pediatric is designed for children aged 5 to 11 years. The FDA states that these tests should not be used to "rule out a concussion or determine whether an injured player should return to a game." See the following websites for more information:

- http://www.accessdata.fda.gov/cdrh_docs/pdf15/DEN150037.pdf.
- <http://www.fda.gov/NewsEvents/Newsroom/PressAnnouncements/ucm517526.htm>.

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Guideline History/Revision Information

| Date | Summary of Changes |
|------------|--|
| 01/01/2021 | <p>Template Update</p> <ul style="list-style-type: none"> Reformatted policy; transferred content to new template <p>Coverage Rationale</p> <ul style="list-style-type: none"> Replaced language indicating “neuropsychological testing is proven and medically necessary for evaluating members with the [listed] conditions when the result of testing will <i>influence clinical decision making</i>” with “neuropsychological testing is proven and medically necessary for evaluating members with the [listed] conditions when the result of testing will <i>be useful in determining a diagnosis, prognosis, or influence treatment planning</i>” Revised list of conditions for which neuropsychological testing is proven and medically necessary: <ul style="list-style-type: none"> Added: <ul style="list-style-type: none"> Neurotoxin exposure in an individual with history of radiation therapy or chemotherapy The member is being considered for a medical or surgical procedure that may affect brain function (e.g., epilepsy surgery, resection of brain tumors or arteriovenous malformations, deep brain stimulation, stem cell or organ transplants) Replaced “seizure disorder including members with epilepsy <i>and members being considered for epilepsy surgery</i>” with “seizure disorder including members with epilepsy” Revised list of unproven and not medically necessary indications: <ul style="list-style-type: none"> Added: <ul style="list-style-type: none"> Neuropsychological testing that is comprised exclusively of self-administered or self-scored inventories, or as screening tests of cognitive function or neurological disease whether paper-and-pencil or computerized (e.g., AIMS, Folstein Mini-Mental Status Examination) Neuropsychological testing that is used as a routine screening tool Neuropsychological testing that is administered for educational or vocational purposes that do not alter or direct medical or health management Repeat neuropsychological testing that is not required for medical decision-making The member is neurologically, cognitively, or psychologically unable to participate in a meaningful way in the neuropsychological testing process The member has been diagnosed previously with brain dysfunction, such as Alzheimer’s disease, and there is no expectation that neuropsychological testing would impact the member’s medical, functional, or behavioral management Replaced: <ul style="list-style-type: none"> “Baseline neuropsychological testing in asymptomatic members at risk for sport-related concussions <i>or brain injuries</i>” with “baseline neuropsychological testing in asymptomatic members at risk for sport-related concussions” “Computerized cognitive testing <i>including but not limited to Cognivue[®], Mindstreams[®] Cognitive Health Assessment, and BrainCare[™] for diagnosing dementia or cognitive impairment</i>” with “computerized cognitive testing <i>such as Cognivue[®], Mindstreams[®] Cognitive Health Assessment, BrainCare[™] and QbTest</i>” “Computerized neuropsychological testing for evaluating concussions <i>or brain injuries</i>” with “computerized neuropsychological testing <i>when used as a stand-alone test</i> for evaluating concussions” <p>Supporting Information</p> <ul style="list-style-type: none"> Updated <i>Description of Services, Clinical Evidence, and References</i> sections to reflect the most current information Archived previous policy version MMG088.L |

Instructions for Use

This Medical Management Guideline provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the member specific benefit plan document must be referenced as the terms of the member specific

benefit plan may differ from the standard plan. In the event of a conflict, the member specific benefit plan document governs. Before using this guideline, please check the member specific benefit plan document and any applicable federal or state mandates. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Management Guideline is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the MCG™ Care Guidelines, to assist us in administering health benefits. UnitedHealthcare West Medical Management Guidelines are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.

Member benefit coverage and limitations may vary based on the member's benefit plan Health Plan coverage provided by or through UnitedHealthcare of California, UnitedHealthcare Benefits Plan of California, UnitedHealthcare of Oklahoma, Inc., UnitedHealthcare of Oregon, Inc., UnitedHealthcare Benefits of Texas, Inc., or UnitedHealthcare of Washington, Inc.